

# $GL(3)$ subconvexity in the twist aspect

Yongxiao Lin  
EPF Lausanne

London learning seminar on analytic number theory  
March 24, 2021

## Content

- Character twists of  $GL_3$ 
  - Selfdual case.
  - Munshi's result.
  - Holowinsky–Nelson's simplification.
  - Sketch of Munshi and H-N's proof
- Some comparisons for twists of  $GL_d$ 
  - The  $GL_1$  case
  - The  $GL_2$  case
  - The  $GL_3 \times GL_2$  case
  - Remained questions and new challenge.

## Self-dual case: a result of Blomer

Let  $\chi \bmod q$  be a primitive Dirichlet character. Let  $\varphi \in \mathrm{GL}_3(\mathbb{Z})$  be a *fixed* Hecke–Maass cusp form.

Using a first moment method (à la Xiaoqing Li)

$$\frac{1}{q} \sum_{f_j \in \mathcal{B}(q)} (1 + |t_j|)^{-B} L(1/2, \varphi \times f_j \cdot \chi) \\ + \frac{1}{q} \int_{-\infty}^{\infty} \frac{|t|^2}{(1 + |t|)^B} |L(1/2 + it, \varphi \cdot \chi)|^2 dt \ll q^{1/4+\varepsilon},$$

Blomer derived (among other thing)

$$L(1/2, \varphi \cdot \chi_q) \ll_{\varphi} q^{5/8+\varepsilon},$$

for  $\varphi = \mathrm{sym}^2 g$  and  $\chi_q^2 = 1$ .

*Question:* How to extend the results to more general  $\varphi$  and  $\chi$ ?

## Self-dual case: a result of Blomer

Let  $\chi \bmod q$  be a primitive Dirichlet character. Let  $\varphi \in \mathrm{GL}_3(\mathbb{Z})$  be a *fixed* Hecke–Maass cusp form.

Using a first moment method (à la Xiaoqing Li)

$$\frac{1}{q} \sum_{f_j \in \mathcal{B}(q)} (1 + |t_j|)^{-B} L(1/2, \varphi \times f_j \cdot \chi) \\ + \frac{1}{q} \int_{-\infty}^{\infty} \frac{|t|^2}{(1 + |t|)^B} |L(1/2 + it, \varphi \cdot \chi)|^2 dt \ll q^{1/4+\varepsilon},$$

Blomer derived (among other thing)

$$L(1/2, \varphi \cdot \chi_q) \ll_{\varphi} q^{5/8+\varepsilon},$$

for  $\varphi = \mathrm{sym}^2 g$  and  $\chi_q^2 = 1$ .

*Question:* How to extend the results to more general  $\varphi$  and  $\chi$ ?

# Why the $t$ -aspect approach fails?

Recall in his [III], Munshi used Kloosterman's circle method + "conductor-lowering" trick (removed by Aggarwal later)

$$\frac{1}{K} \int_{\mathbb{R}} V\left(\frac{v}{K}\right) \left(\frac{n}{r}\right)^{iv} dv \approx 1_{|n-r| < N/K}$$

to obtain  $L(1/2 + it, \varphi) \ll_{\varphi} t^{3/4-\delta}$ . (See lecture by Jesse Jäsaari.)  
Such a feature does not exist in the  $q$ -aspect case, i.e., we would need

$$\sum_{n \sim N} \lambda_{\varphi}(1, n) \chi(n) = \sum_{n \sim N} \lambda_{\varphi}(1, n) \sum_{r \sim N} \chi(r) \delta\left(\frac{n-r}{\ell}, 0\right) \cdot 1_{\ell | (n-r)}$$

for some  $\ell | q$  and then apply DFI to detect  $\delta((n-r)/\ell, 0)$ . This is possible only if the moduli  $q$  are composite (e.g.,  $q = q_1 q_2$  or  $q = p^r$ ).

It was unclear how to extend the  $t$ -aspect approach to the  $q$ -aspect case (with  $q$  prime).

# Character twists of $GL_3$ : Munshi's result

Munshi took a different approach and showed

**Theorem (Munshi, 15'-Annals +16'-preprint)**

*Let  $\varphi$  be a  $SL_3(\mathbb{Z})$ -invariant cusp form. Let  $q$  be a prime. Let  $\chi \pmod{q}$  be primitive Dirichlet characters. Then*

$$L(1/2, \varphi \cdot \chi) \ll_{\varphi} q^{3/4 - \delta + o(1)}, \quad \delta = 1/308.$$

Munshi's proof uses

- a variant of the DFI  $\delta$ -symbol method (via Petersson trace formula), Voronoi summation, reciprocity for Kloosterman fractions ( $e(\frac{n\bar{m}}{q}) = e(-\frac{n\bar{q}}{m})e(\frac{n}{qm})$ ), and Cauchy-Schwarz.
- multiplicativity of Dirichlet characters, so that “amplification” is possible.

Later, Holowinsky and Nelson found a simplification of Munshi's approach, leading to:

# Character twists of $GL_3$ : HN's improvement

## Theorem (Holowinsky–Nelson, 2018)

Same notation as above. Then

$$L(1/2, \varphi \cdot \chi) \ll_{\varphi} q^{3/4 - \delta + o(1)}, \quad \delta = 1/36.$$

- Their proof removed the use of the Petersson  $\delta$ -symbol.
- This was extended to general trace functions  $K$  by Kowalski–L.–Michel–Sawin, who showed that

$$\sum_{n \geq 1} \lambda_{\varphi}(1, n) K(n) V\left(\frac{n}{N}\right) = o(N)$$

as long as  $N \gg q^{4/3}$  and  $\|\widehat{K}\|_{\infty} \ll 1$ . Here

$$\widehat{K}(z) = \frac{1}{q^{1/2}} \sum_{x(q)} K(x) e\left(\frac{xz}{q}\right)$$

denotes the (discrete) Fourier transform of  $K$ .

Below we sketch Munshi's approach and HN's simplification.

# proof preparation: Poisson & Voronoi summations

Let  $K(n; q)$  be a  $q$ -periodic function.

Lemma (Poisson summation:  $GL_1$ )

$$\sum_{n \sim N} K(n; q) \approx \frac{N}{\sqrt{q}} \sum_{\tilde{n} \ll \frac{q}{N}} \widehat{K}(\tilde{n}; q),$$

where  $\widehat{K}(\tilde{n}; q) = \frac{1}{\sqrt{q}} \sum_{x \in (q)} K(x; q) e\left(\frac{x\tilde{n}}{q}\right)$ .

Let

$$Kl_i(m; q) := \frac{1}{q^{\frac{i-1}{2}}} \sum_{x_1, \dots, x_{i-1} \in (q)} e\left(\frac{x_1 + \dots + m \overline{x_1 x_2 \dots x_{i-1}}}{q}\right)$$

be  $(i-1)$ -dim'l normalized hyper-Kloosterman sums.

Lemma (Voronoi summation:  $GL_d(\mathbb{Z})$ -case)

$$\sum_{n \sim N} \frac{\lambda_F(n) Kl_i(an; q)}{\sqrt{n}} \approx \sum_{\tilde{n} \ll \frac{q^d}{N}} \frac{\overline{\lambda_F(\tilde{n})} Kl_{d-i}(\tilde{n}; q)}{\sqrt{\tilde{n}}}.$$



# Recap of versions of delta-symbol

For  $-N \leq n \leq N$ , to detect  $\delta(n, 0)$ , we have

- “trivial”  $\delta$ -symbol

$$\delta(n, 0) = \frac{1}{c} \sum_{a(c)} e\left(\frac{an}{c}\right), \quad \text{if } c > |n|;$$

*application:* subconvexity for  $L(1/2 + it, f \cdot \chi)$  (Burgess-Weyl type),  $L(1/2, f \times g)$ , etc.

- Jutila  $\delta$ -symbol

$$\delta(n, 0) \approx \frac{1}{|C|^2} \sum_{c \in C} \sum_{a(c)}^* e\left(\frac{an}{c}\right) \frac{1}{2\delta} \int_{-\delta}^{\delta} e(nx) dx + \text{“error”};$$

*application:* subconvexity for  $L(1/2 + it, f \cdot \chi)$ ,  $GL_3 \times GL_2$  shifted convolution.

# Recap of versions of delta-symbol (cont)

- Kloosterman  $\delta$ -symbol

$$\delta(n, 0) = 2\operatorname{Re} \sum_{c \leq C} \sum_{a \leq c+C}^* \frac{1}{ca} e\left(\frac{\bar{a}n}{c}\right) \int_0^1 e\left(-\frac{nx}{ca}\right) dx;$$

*application:* quaternary quadratic forms, subconvexity for  $L(1/2 + it, \varphi)$ , etc.

- DFI  $\delta$ -symbol

$$\delta(n, 0) = \frac{1}{C} \sum_{1 \leq c \leq C} \frac{1}{c} \sum_{a(c)}^* \underbrace{e\left(\frac{an}{c}\right)}_{\text{arithmetic}} \int_{\mathbb{R}} g(c, x) \underbrace{e\left(\frac{nx}{cC}\right)}_{\text{archimedean}} dx;$$

various of applications...

and other versions...

# proof preparation: Petersson $\delta$ -symbol

Iwaniec (97', "Topics") interpreted the Petersson formula

$$\sum_{f \in \mathcal{B}_k(m, \psi)} \omega_f^{-1} \overline{\lambda_f(r)} \lambda_f(n) = \delta(r, n) + 2\pi i^{-k} \sum_{c=1}^{\infty} \frac{S_{\psi}(r, n; cm)}{cm} J_{k-1} \left( \frac{4\pi\sqrt{rn}}{cm} \right)$$

as a spectral decomposition of  $\delta(r, n)$ , and performed averaging over the weight  $k$  to derive applications.

Here

$$S_{\psi}(r, n; c) = \sum_{x \bmod c}^* \psi(x) e \left( \frac{rx + n\bar{x}}{c} \right).$$

Munshi wrote

$$\delta(r, n) = \sum_{f \in \mathcal{B}_k(m, \psi)} \omega_f^{-1} \overline{\lambda_f(r)} \lambda_f(n) - 2\pi i^{-k} \sum_{c=1}^{\infty} \frac{S_{\psi}(r, n; cm)}{cm} J_{k-1} \left( \frac{4\pi\sqrt{rn}}{cm} \right).$$

For the level-aspect problems, one has the flexibility of performing averages over  $\psi$  and the level  $m$  (rather than over  $k$ ).

# proof preparation: Petersson $\delta$ -symbol

Iwaniec (97', "Topics") interpreted the Petersson formula

$$\sum_{f \in \mathcal{B}_k(m, \psi)} \omega_f^{-1} \overline{\lambda_f(r)} \lambda_f(n) = \delta(r, n) + 2\pi i^{-k} \sum_{c=1}^{\infty} \frac{S_{\psi}(r, n; cm)}{cm} J_{k-1} \left( \frac{4\pi\sqrt{rn}}{cm} \right)$$

as a spectral decomposition of  $\delta(r, n)$ , and performed averaging over the weight  $k$  to derive applications.

Here

$$S_{\psi}(r, n; c) = \sum_{x \bmod c}^* \psi(x) e \left( \frac{rx + n\bar{x}}{c} \right).$$

Munshi wrote

$$\delta(r, n) = \sum_{f \in \mathcal{B}_k(m, \psi)} \omega_f^{-1} \overline{\lambda_f(r)} \lambda_f(n) - 2\pi i^{-k} \sum_{c=1}^{\infty} \frac{S_{\psi}(r, n; cm)}{cm} J_{k-1} \left( \frac{4\pi\sqrt{rn}}{cm} \right).$$

For the level-aspect problems, one has the flexibility of performing averages over  $\psi$  and the level  $m$  (rather than over  $k$ ).

# Averaging over Petersson formula

For  $\chi \bmod q$ , to show

$$L(1/2, \varphi \cdot \chi) \ll_{\varphi} q^{3/4-\delta},$$

By *Approx. FE*, it suffices to show

$$\sum_{n \sim N} \lambda_{\varphi}(1, n) \chi(n) \ll_{\varphi} N^{1-\delta'}, \quad N \ll q^{3/2}.$$

Munshi (16'-preprint) took the following averages over  $\psi$  and  $m$  ( $= pq$ ):

$$\begin{aligned} \delta(r, n\ell) = & \frac{1}{P^*} \sum_{p \sim P} \sum_{\substack{\psi \bmod p \\ \psi(-1)=-1}} \sum_{f \in \mathcal{B}_k(pq, \psi)} \omega_f^{-1} \overline{\lambda_f(r)} \lambda_f(n\ell) \\ & - \frac{2\pi i^{-k}}{P^*} \sum_{p \sim P} \sum_{\substack{\psi \bmod p \\ \psi(-1)=-1}} \sum_{c=1}^{\infty} \frac{S_{\psi}(r, n\ell; cpq)}{cpq} J_{k-1} \left( \frac{4\pi \sqrt{rn\ell}}{cpq} \right). \end{aligned}$$

Here  $P^* \approx P^2$ .

# Munshi's approach: applying Petersson $\delta$ -symbol

With this expression, Munshi wrote (assume  $N = q^{3/2}$ )

$$\begin{aligned} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \chi(n) &\approx \frac{1}{L} \sum_{\ell \sim L} \bar{\chi}(\ell) \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \sum_{r \sim q^{3/2}L} \chi(r) \delta(r, n\ell) \\ &:= \mathcal{F}^* + \mathcal{O}^*. \end{aligned}$$

Rmk: the  $\ell$ -sum is reminiscent of the amplification method; multiplicity of  $\chi$ :  $\chi(n\ell) = \chi(n)\chi(\ell)$  is needed.

**The Goal:** To beat the bounds  $\mathcal{F}^*, \mathcal{O}^* \ll q^{3/2}$  (w/ appropriate choices of  $P$  and  $L$ ). Here

$$\begin{aligned} \mathcal{O}^* &\approx \frac{1}{P^2 L} \sum_{p \sim P} \sum_{\ell \sim L} \bar{\chi}(\ell) \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \sum_{r \sim q^{3/2}L} \chi(r) \\ &\quad \sum_{\substack{\psi \pmod p \\ \psi(-1) = -1}} \sum_{c \ll \sqrt{q}L/P} \frac{S_\psi(r, n\ell; cpq)}{cpq} J_{k-1} \left( \frac{4\pi\sqrt{rn\ell}}{cpq} \right), \end{aligned}$$

and  $\mathcal{F}^* =$  (next slide).

# Munshi's approach: treatment of $\mathcal{F}^*$

$$\mathcal{F}^* \approx \frac{1}{P^2 L} \sum_{p \sim P} \sum_{\ell \sim L} \bar{\chi}(\ell) \sum_{\substack{\psi \bmod p \\ \psi(-1) = -1}} \sum_{f \in \mathcal{B}_k(pq, \psi)} \omega_f^{-1} \\ \sum_{r \sim q^{3/2} L} \chi(r) \overline{\lambda_f(r)} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \lambda_f(n\ell).$$

Munshi took the following steps to treat  $\mathcal{F}^*$ .

- Functional equations to the  $n$  and  $r$ -sums.
- Petersson formula over  $f$ . No diagonal contribution; only left with the off-diagonal terms  $\sum_{c \ll \sqrt{q} P^2}$ . Sum over  $\psi$  and simplify the sum.
- $GL_3$ -Voronoi over the  $n$ -sum with modulus  $c$ .
- Poisson summation over the  $c$ -sum to arrive at

$$\sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q} P / L} \sum_{n \sim P^3} \lambda_\varphi(1, n) \bar{\chi}(r\ell\bar{p}) S(-qn\bar{p}, 1; r\ell).$$

- Cauchy-Schwarz with  $p, \ell$ -sums inside the square  $\sum_{\ell n} \sum_{r\ell} \left| \sum_{\ell n} \sum_{\ell} \bar{\chi}(\ell\bar{p}) S(-qn\bar{p}, 1; r\ell) \right|^2$ , followed with Poisson in

# Munshi's approach: treatment of $\mathcal{F}^*$

$$\mathcal{F}^* \approx \frac{1}{P^2 L} \sum_{p \sim P} \sum_{\ell \sim L} \bar{\chi}(\ell) \sum_{\substack{\psi \bmod p \\ \psi(-1) = -1}} \sum_{f \in \mathcal{B}_k(pq, \psi)} \omega_f^{-1} \\ \sum_{r \sim q^{3/2} L} \chi(r) \overline{\lambda_f(r)} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \lambda_f(n\ell).$$

Munshi took the following steps to treat  $\mathcal{F}^*$ .

- Functional equations to the  $n$  and  $r$ -sums.
- Petersson formula over  $f$ . No diagonal contribution; only left with the off-diagonal terms  $\sum_{c \ll \sqrt{q} P^2}$ . Sum over  $\psi$  and simplify the sum.
- $GL_3$ -Voronoi over the  $n$ -sum with modulus  $c$ .
- Poisson summation over the  $c$ -sum to arrive at

$$\sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q} P / L} \sum_{n \sim P^3} \lambda_\varphi(1, n) \bar{\chi}(r\ell\bar{p}) S(-qn\bar{p}, 1; r\ell).$$

- Cauchy–Schwarz with  $p, \ell$ -sums inside the square  $\sum_n \sum_r \left| \sum_p \sum_\ell \bar{\chi}(\ell\bar{p}) S(-qn\bar{p}, 1; r\ell) \right|^2$ , followed with Poisson in  $n$ -sum.



# Holowinsky's initial alternative treatment of $\mathcal{F}^*$

- Functional equation to the  $r$ -sum only.
- Petersson formula over  $f$ . Simplifying the sum gives

$$\sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q}P/L} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \bar{\chi}(r\ell\bar{p}) S(nr\ell\bar{p}, 1; q).$$

- $GL_3$ -Voronoi over the  $n$ -sum to get

$$\mathcal{F}^q = \frac{1}{P^2} \sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q}P/L} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \bar{\chi}(r\ell\bar{p}) e\left(\frac{n\bar{r}\ell p}{q}\right).$$

- Reciprocity  $e\left(\frac{n\bar{r}\ell p}{q}\right) = e\left(-\frac{np\bar{q}}{r\ell}\right) e\left(\frac{np}{r\ell q}\right)$ , and then Voronoi in the  $n$ -sum with modulus  $r\ell$ , to arrive at

$$\sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q}P/L} \sum_{n \sim P^3} \lambda_\varphi(1, n) \bar{\chi}(r\ell\bar{p}) S(-qn\bar{p}, 1; r\ell).$$

- Same as in Munshi's.

# Holowinsky–Nelson's observation

HN observed: if one applied Poisson summation over the  $r$ -sum in  $\mathcal{F}^q$ , the zero frequency  $\tilde{r} = 0$  contributes our initial object of interest  $\sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \chi(n)$ , and the non-zero frequencies  $\tilde{r} \neq 0$  give a sum  $\mathcal{O}^q$  (similar to Munshi's  $\mathcal{O}^*$ -term).

i.e., they discovered the relation

$$\begin{aligned} \mathcal{F}^q &= \frac{1}{P^2} \sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q}P/L} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \chi(r\ell\bar{p}) e\left(\frac{n\bar{r}\ell p}{q}\right) \\ &\approx \varepsilon_{\bar{\chi}} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \chi(n) + \mathcal{O}^q, \end{aligned}$$

where

$$\mathcal{O}^q = \frac{1}{PL} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \sum_{p \sim P} \sum_{\ell \sim L} \sum_{0 \neq |\tilde{r}| \ll \sqrt{q}L/P} \frac{S_{\bar{\chi}}(n, \tilde{r}p\bar{\ell}; q)}{\sqrt{q}}.$$

$\rightsquigarrow$  one can eliminate Munshi's previous steps (particularly the Petersson  $\delta$ -symbol), and begin just with the sum  $\mathcal{F}^q$ .

# One-page summary of HN's simplification ( $\chi \rightsquigarrow K \bmod q$ )

Poisson summation gives

$$\frac{L}{P} \sum_{r \sim \sqrt{q}P/L} \widehat{K}(-\overline{r\ell}p) e\left(\frac{\overline{r\ell}np}{q}\right) = K(n) + \sum_{0 \neq \tilde{r} < \sqrt{q}L/P} \widetilde{S}_{\widehat{K}}(n, \tilde{r}p\bar{\ell}; q),$$

where  $\widetilde{S}_{\widehat{K}}(n, \tilde{r}p\bar{\ell}; q) := \frac{1}{\sqrt{q}} \sum_{z(q)} \widehat{K}(z) e\left(\frac{-zn}{q}\right) e\left(\frac{-\tilde{z}\tilde{r}p\bar{\ell}}{q}\right)$ . Recall here  $\widehat{K}(z) = \frac{1}{q^{1/2}} \sum_{x(q)} K(x) e\left(\frac{zx}{q}\right)$ .

Then

$$\mathcal{F}_q = \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) K(n) + \mathcal{O}_q,$$

where

$$\mathcal{F}_q := \frac{1}{P^2} \sum_{p \sim P} \sum_{\ell \sim L} \sum_{r \sim \sqrt{q}P/L} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \widehat{K}(-\overline{r\ell}p) e\left(\frac{\overline{r\ell}pn}{q}\right);$$

and

$$\mathcal{O}_q := \frac{1}{PL} \sum_{n \sim q^{3/2}} \lambda_\varphi(1, n) \sum_{p \sim P} \sum_{\ell \sim L} \sum_{0 \neq \tilde{r} < \sqrt{q}L/P} \widetilde{S}_{\widehat{K}}(n, \tilde{r}p\bar{\ell}; q).$$

# Munshi and HN's treatment for $\mathcal{F}_q$ and $\mathcal{O}_q$

- Treatment of  $\mathcal{O}_q$ : Cauchy–Schwarz

$$\mathcal{O}_q \ll \frac{1}{PL} \left( \sum_{n \sim q^{3/2}} |\lambda_\varphi(1, n)|^2 \right)^{1/2} \left( \sum_{n \sim q^{3/2}} \left| \sum_p \sum_\ell \sum_{\tilde{r} \neq 0} \tilde{S}_{\hat{K}}(n, \tilde{r}p\bar{\ell}; q) \right|^2 \right)^{1/2};$$

Open the square and Poisson in the  $n$ -variable  $\ll q^{3/2} \|\hat{K}\|_\infty \frac{q^{1/4}}{P}$ .

- Treatment of  $\mathcal{F}_q$ : Reciprocity  $e\left(\frac{\bar{r}\ell np}{q}\right) = e\left(-\frac{np\bar{q}}{r\ell}\right)e\left(\frac{np}{r\ell q}\right)$ , then Voronoi  $\sum_n \lambda_\varphi(1, n)e\left(-\frac{np\bar{q}}{r\ell}\right) \rightarrow \sum_n \overline{\lambda_\varphi(1, n)} \text{Kl}_2(\bar{p}qn; r\ell)$ , to get

$$\begin{aligned} \mathcal{F}_q &= \frac{q^{3/4}}{P^{7/2}} \sum_{n \sim P^3} \sum_{r \sim \sqrt{q}P/L} \overline{\lambda_\varphi(1, n)} \sum_{p \sim P} \sum_{\ell \sim L} \hat{K}(-r\bar{\ell}p) \text{Kl}_2(\bar{p}qn; r\ell) \\ &\ll \frac{q^{3/4}}{P^{7/2}} \left( \sum_{n \sim P^3} \sum_r |\overline{\lambda_\varphi(1, n)}|^2 \right)^{1/2} \left( \sum_{n \sim P^3} \sum_r \left| \sum_p \sum_\ell (\dots) \right|^2 \right)^{1/2}. \end{aligned}$$

Open the square and apply Poisson in the  $n$ -sum,  
 $\ll q^{3/2} \|\hat{K}\|_\infty \left( \frac{P}{q^{1/4}L^{1/2}} + \left(\frac{PL}{q^{1/2}}\right)^{1/4} \right)$ .

- Balance the parameters  $\Rightarrow \mathcal{F}_q + \mathcal{O}_q \ll \|\hat{K}\|_\infty q^{3/2-\delta}$ ; done.

# Comparisons: character twists of $GL_d$ ( $d = 1, 2, 3 \times 2$ )

**Question:** What the shortest range is for getting cancellation? i.e., how small  $\eta$  can we take so that

$$\sum_{n \sim (\sqrt{\text{Cond}})^\eta} \lambda_F(n) K(n) = o(N)?$$

- $GL_1$  case. Pólya–Vinogradov:  $\sum_{n < N} \chi(n) \ll \sqrt{q} \log q$ ; Burgess:  $\sum_{n < N} \chi(n)$  has cancellation when  $N \gg q^{1/4}$ .

- $GL_2$  case. Fouvry–Kowalski–Michel (après Bykovskii):

$$\sum_{n \sim N} \lambda_f(n) K(n) V\left(\frac{n}{N}\right) \ll_{f, V, C(\rho)} N^{1/2} q^{3/8},$$

hence it has cancellation when  $N \gg q^{3/4}$ .

- $GL_3 \times GL_2$  case. Sharma and L.–Michel–Sawin show

$$\sum_{r, n \geq 1} \lambda_\varphi(r, n) \lambda_f(n) K(n) V\left(\frac{r^2 n}{N}\right) = o(N),$$

when  $N \gg q^{3-1/4} = (q^3)^{\frac{11}{12}}$ .

# Comparisons: character twists of $GL_d$ ( $d = 1, 2, 3 \times 2$ )

**Question:** What the shortest range is for getting cancellation? i.e., how small  $\eta$  can we take so that

$$\sum_{n \sim (\sqrt{\text{Cond}})^\eta} \lambda_F(n) K(n) = o(N)?$$

- $GL_1$  case. Pólya–Vinogradov:  $\sum_{n < N} \chi(n) \ll \sqrt{q} \log q$ ; Burgess:  $\sum_{n < N} \chi(n)$  has cancellation when  $N \gg q^{1/4}$ .

- $GL_2$  case. Fouvry–Kowalski–Michel (après Bykovskii):

$$\sum_{n \sim N} \lambda_f(n) K(n) V\left(\frac{n}{N}\right) \ll_{f, V, C(\rho)} N^{1/2} q^{3/8},$$

hence it has cancellation when  $N \gg q^{3/4}$ .

- $GL_3 \times GL_2$  case. Sharma and L.–Michel–Sawin show

$$\sum_{r, n \geq 1} \lambda_\varphi(r, n) \lambda_f(n) K(n) V\left(\frac{r^2 n}{N}\right) = o(N),$$

when  $N \gg q^{3-1/4} = (q^3)^{\frac{11}{12}}$ .

# “natural” threshold for shortest length of cancellation?

- **Guess:** Maybe a “natural” proof in all these problems would produce an exponent  $\frac{2d-1}{2d}$  (s.t.  $N \gg (\sqrt{\text{Cond}})^{\frac{2d-1}{2d}}$ )?

This holds for  $d = 1, 2, 3 \times 2$ .

- *Issue:* The  $d = 3$  case does not match this bound yet: a “natural” proof should give  $N \gg q^{5/4} = (q^3)^{5/6}$ .

Recall Holowinsky–Nelson and KLMS obtain

$$\sum_{n \geq 1} \lambda_\varphi(1, n) K(n) V\left(\frac{n}{N}\right) \ll_{\varphi, V} q^{2/9} N^{5/6} \|\widehat{K}\|_\infty,$$

showing cancellation for  $N \gg q^{4/3}$ . Though this is sufficient for subconvexity, for other applications it is desirable to go down further. **Example:** If one was able to obtain cancellation for  $N \gg q$ , then taking  $K(n) = Kl_3(an; q)$  this would imply  $\{\lambda_\varphi(1, n) : n \leq X\}$  being equidistributed in  $n \equiv a \pmod q$ , for  $q < X^{\vartheta_3}$  with  $\vartheta_3 = 1/2 + \eta$ .

# Limitation of the Munshi-HN approach

- By specifying Sharma's  $f \in \text{GL}_2$  to Eisenstein series, one gets:

$$\sum_{n \geq 1} \lambda_\varphi(1, n) \chi(n) V\left(\frac{n}{N}\right) \ll N^{1-\delta'},$$

when  $N \gg q^{11/8} = (q^{\frac{3}{2}})^{\frac{11}{12}}$ , improvement over Holowinsky–Nelson's  $N \gg q^{4/3}$  (and also the subconvex-exponent).

- The approach of Munshi and HN does not make heavy use of the underlying geometry of  $\chi$  (e.g., Weil's *RH*). Instead of encountering

$$\sum_{x \bmod q} S_{\bar{\chi}}(x, \tilde{r}p_1\bar{\ell}_1; q) \overline{S_{\bar{\chi}}(x, \tilde{r}p_2\bar{\ell}_2; q)} e\left(\frac{x\tilde{n}}{q}\right)$$

with  $\tilde{n} \neq 0$ , one only needs to deal with the case  $\tilde{n} = 0$ , in contrast to the  $\text{GL}_2$  and  $\text{GL}_3 \times \text{GL}_2$ -scenario.

**Problem:** Can one find a new proof for

$\sum_{n \sim N} \lambda_\varphi(1, n) K(n) = o(N)$  that shows cancellation for  $N > q^{5/4}$ ?  
Or at least improving  $N > q^{4/3}$ ?



# Limitation of the Munshi-HN approach

- By specifying Sharma's  $f \in \text{GL}_2$  to Eisenstein series, one gets:

$$\sum_{n \geq 1} \lambda_\varphi(1, n) \chi(n) V\left(\frac{n}{N}\right) \ll N^{1-\delta'},$$

when  $N \gg q^{11/8} = (q^{\frac{3}{2}})^{\frac{11}{12}}$ , improvement over Holowinsky–Nelson's  $N \gg q^{4/3}$  (and also the subconvex-exponent).

- The approach of Munshi and HN does not make heavy use of the underlying geometry of  $\chi$  (e.g., Weil's *RH*). Instead of encountering

$$\sum_{x \bmod q} S_{\bar{\chi}}(x, \tilde{r}p_1\bar{\ell}_1; q) \overline{S_{\bar{\chi}}(x, \tilde{r}p_2\bar{\ell}_2; q)} e\left(\frac{x\tilde{n}}{q}\right)$$

with  $\tilde{n} \neq 0$ , one only needs to deal with the case  $\tilde{n} = 0$ , in contrast to the  $\text{GL}_2$  and  $\text{GL}_3 \times \text{GL}_2$ -scenario.

**Problem:** Can one find a new proof for

$\sum_{n \sim N} \lambda_\varphi(1, n) K(n) = o(N)$  that shows cancellation for  $N > q^{5/4}$ ?  
Or at least improving  $N > q^{4/3}$ ?

# Limitation of the Munshi-HN approach

**Examples** with better saving.

- In the self-dual case, Blomer proved much stronger bounds

$$L(1/2, \text{sym}^2 g \cdot \chi_q) \ll q^{3/4-1/8+\varepsilon}, \quad L(1/2, \text{sym}^2 g \times f_j \cdot \chi_q) \ll q^{3/2-1/4+\varepsilon}.$$

- If  $q = p^r$ ,  $p$  fixed prime and  $r$  large, then Sun-Zhao:

$$\sum_{n \sim N} \lambda_\varphi(1, n) \chi(n) \ll_{p, \varphi} N^{1/2} q^{3/4-3/40},$$

showing cancellation for  $N > (q^{\frac{3}{2}})^{\frac{9}{10}}$ , improving prime  $q$  case.

- In the  $t$ -aspect case, Aggarwal (improving Munshi's [III]):

$$\sum_{n \sim N} \lambda_\varphi(1, n) n^{it} \ll_\varphi N^{3/4} t^{3/10},$$

showing cancellation for  $N > t^{\frac{6}{5}}$  (note:  $\frac{6}{5} < \frac{5}{4}$ ).

But for prime  $q$ , this remains unclear...

*Why one cares about cancellation in shorter ranges?*

# Limitation of the Munshi-HN approach

**Examples** with better saving.

- In the self-dual case, Blomer proved much stronger bounds

$$L(1/2, \text{sym}^2 g \cdot \chi_q) \ll q^{3/4-1/8+\varepsilon}, \quad L(1/2, \text{sym}^2 g \times f_j \cdot \chi_q) \ll q^{3/2-1/4+\varepsilon}.$$

- If  $q = p^r$ ,  $p$  fixed prime and  $r$  large, then Sun–Zhao:

$$\sum_{n \sim N} \lambda_\varphi(1, n) \chi(n) \ll_{p, \varphi} N^{1/2} q^{3/4-3/40},$$

showing cancellation for  $N > (q^{\frac{3}{2}})^{\frac{9}{10}}$ , improving prime  $q$  case.

- In the  $t$ -aspect case, Aggarwal (improving Munshi's [III]):

$$\sum_{n \sim N} \lambda_\varphi(1, n) n^{it} \ll_\varphi N^{3/4} t^{3/10},$$

showing cancellation for  $N > t^{\frac{6}{5}}$  (note:  $\frac{6}{5} < \frac{5}{4}$ ).

But for prime  $q$ , this remains unclear...

*Why one cares about cancellation in shorter ranges?*

# Level of distribution: finer question beyond subconvexity

“level of distribution”  $\vartheta_d$  for  $GL_d$  Hecke eigenvalues  
 $\{\lambda_F(n) : n \leq X\}$  in arithmetic progressions  $n \equiv a \pmod q$ :

$$\sum_{\substack{n \leq X \\ n \equiv a(q)}} \lambda_F(n) - \frac{1}{\varphi(q)} \sum_{\substack{n \leq X \\ (n,q)=1}} \lambda_F(n) \ll_{F,A} \frac{X}{q} (\log X)^{-A},$$

for  $q \leq X^{\vartheta_d}$ .

By applying functional eq./Voronoi, one can take  $\vartheta_d = \frac{2}{d+1} - \varepsilon$ .

GRH  $\Rightarrow \vartheta_d = 1/2 - \varepsilon$ .

To improve  $\vartheta_d = \frac{2}{d+1} - \varepsilon$  for  $F \in GL_d$ , one would need

$$\sum_{n \sim N} \lambda_F(n) \text{Kl}_d(an; q) V\left(\frac{n}{N}\right) = o(N)$$

for  $N \approx q^{\frac{d}{2} - \frac{1}{2}}$ . However, this seems only known when  $F$  are certain Eisenstein series (e.g.,  $\lambda_F(n) = \sum_{m|n} \lambda_f(m), \tau_d(n)$ , etc).

## Some other questions

- How to extend Conrey–Iwaniec and Petrow–Young’s Weyl bound results to trace functions  $K \bmod q$ :

$$\sum_{n \geq 1} \lambda_f(n) K(n) V\left(\frac{n}{q}\right) \ll_f q^{1-\delta+o(1)}, \quad \delta = 1/6?$$

(Maybe for special trace functions (e.g.  $K(n) = e(\frac{n}{q})$ ) first?)

- Will it be possible (by “shortening” the family) to establish *sub*-Weyl for twists of  $GL_2$  for composite moduli ( $q = q_1 q_2$  or  $q = p^r$ , say)

$$L(1/2, f \cdot \chi) \ll_f q^{1/3-\delta}?$$

- Adelize the delta symbols, so that these results can be extended to number fields?

**Leave it to you!**

# References

- Blomer, Subconvexity for twisted  $L$ -functions on  $GL(3)$ , Amer. J. Math. (2012)
- Fouvry–Kowalski–Michel, Algebraic twists of modular forms and Hecke orbits, GAFA (2015)
- Holowinsky–Nelson, Subconvex bounds on  $GL(3)$  via degeneration to frequency zero, Math. Ann. (2018)
- Kowalski–Lin–Michel–Sawin, Periodic twists of  $GL_3$ -automorphic forms, Forum Math. Sigma (2020)
- Lin–Michel–Sawin, Algebraic twists of  $GL_3 \times GL_2$   $L$ -functions, arXiv:1912.09473 (2019)
- Munshi, The circle method and bounds for  $L$ -functions—IV: for twists of  $GL(3)$   $L$ -functions, Ann. of Math. (2015)
- Munshi, Twists of  $GL(3)$   $L$ -functions, arXiv:1604.08000 (2016)
- Sharma, Subconvexity for  $GL(3) \times GL(2)$  twists in level aspect, arXiv:1906.09493 (2019)