

# 1Shear-Thickening Fluids in Biologically Relevant Agents

2Peter Kilbride <sup>#\*</sup>, Marina Vazquez Rull<sup>\*</sup>, Adam Townsend<sup>+</sup>, Helen Wilson<sup>+</sup>, John Morris<sup>\*</sup>

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4<sup>\*</sup> Asymptote Ltd., General Electric Healthcare, Cambridge, UK

5<sup>+</sup>Department of Mathematics, University College London, London, UK

6 <sup>#</sup> Corresponding author – [peter.kilbride@ge.com](mailto:peter.kilbride@ge.com) Asymptote Ltd., General Electric  
7Healthcare, Sovereign House, Vision Park, Cambridge, CB24 9BZ, UK

8Running head: Shear-thickening for cryopreservation

## 9Abstract

10BACKGROUND: The rheology of shear thickening fluids is well characterized for many physical  
11applications, however the literature surrounding biologically or cryobiologically compatible shear  
12thickening fluids is less well understood.

13OBJECTIVE: This study examined fluids consisting of corn-derived hydroxyethyl starch with a  
14variety of sugars and cryoprotectants to characterize their shear-rate viscosity relationship. The  
15objective was to establish if cryobiologically relevant materials could be used to afford biologics  
16protection through shear-thickening.

17RESULTS: Fluids consisting of 50% hydroxyethyl starch by weight exhibited shear thickening with a  
18variety of cryoprotectants.

19Lowering the temperature of the fluid both reduced critical shear rates and enhanced thickening  
20magnitude. Starch derived from corn, wheat, and rice all exhibited non-Newtonian shear-dependent  
21viscosity behaviour at 50% by weight in water. Between the starch sources however, the shear-rate  
22viscosity relationship varied widely, with wheat-derived starch shear thinning, and the remaining

23starches forming shear thickening fluids. Different starch sources had different baseline viscosities,  
24critical shear rates, and rates of viscosity increase.

25CONCLUSIONS: This study established that shear thickening is compatible with cryobiologically  
26relevant agents, particularly so at lower temperatures. This forms the basis for harnessing these  
27phenomena in biological processes such as cryopreservation.

## 28 **1. Introduction**

29Shear thickening fluids have been widely studied for their unusual properties [1-5]. The  
30majority of studies and uses of shear thickening materials are to be found in industrial  
31applications with the literature surrounding biologically relevant applications more sparse.  
32This is as opposed to shear thinning non-Newtonian fluids which have received considerable  
33attention in biology, most notably studies into the shear-thinning behaviour of blood [6, 7].  
34Low temperature preservation of biological systems may benefit from the inclusion of non-  
35Newtonian fluids.

36Cryobanking, the long-term preservation of biological materials at low temperatures,  
37achieves successful outcomes through one of two separate strands. The first of these, known  
38as traditional or slow cooling cryopreservation, involves cooling a sample in the presence of  
39ice. While successful for a large number of cell suspensions, application to larger structures  
40(larger than a few hundred  $\mu\text{m}$ ) has proved difficult due to mechanical ice damage and  
41stresses [8]. To overcome this, a second method of cryopreservation can be attempted known  
42as vitrification. This process uses high concentrations of cryoprotectants (CPAs), combined  
43with rapid cooling rates to prevent ice formation during cooling resulting in stable ice-free  
44storage of the biologic below the glass transition temperature. Vitrification, which can be  
45considered preservation in an extremely viscous liquid, has had notable successes and  
46widespread application in areas such as embryo cryopreservation, however the high CPA  
47concentrations required have proven unacceptably toxic and rapid cooling rates have proven

48 impractical for biologics larger than approximately  $1\text{mm}^3$  [8-11], where prolonged exposure to  
49 the CPA is required to allow diffusion into the biologic interior, this is despite the pressing  
50 need for tissue and organ cryopreservation [8, 12-14]. Control over the viscous conditions  
51 experienced during cryopreservation may aid the cooling process by allowing near-  
52 solidification on demand.

53 Several known shear thickening colloids are already used biologically. These represent an  
54 obvious starting point for examining potential candidates for use in non-Newtonian aided  
55 cryopreservation, as biological compatibility has already been established with these colloids.  
56 Perhaps the best known colloidal agent – corn starch – is the source of hydroxyethyl starch  
57 (HES), which has many clinical applications [2, 4, 15]. Nano-spheres are also increasingly  
58 used in areas of medicine such as cancer treatment or therapeutic delivery [16, 17].

59 This study examines the shear response of several biologically compatible agents to which  
60 HES has been added to allow shear-thickening. The agents are considered as suspensions in a  
61 binary system, as well as in more complicated systems containing sugars and dimethyl  
62 sulphoxide (DMSO) – sugars and DMSO are known cryoprotectants and their inclusion in  
63 cryopreservation medium is essential [8, 18]. The impact of different starch sources is  
64 examined, before the impact of temperature on both the underlying viscosity and critical  
65 shear rates is established.

## 66 2. Materials and Methods

67 Unless otherwise indicated, all reagents were sourced from Sigma-Aldrich (Gillingham, UK).  
68 All concentrations are given by % weight unless otherwise indicated.

### 69 2.1 Viscometer measurements

70

71 All viscosity measurements were carried out using an Anton Paar (St. Albans, UK),

72 RheolabQC Rheometer with a DG42 double concentric cylinder attachment, which  
73 established viscosity using rotational rheometry. The system consists of two concentric  
74 cylinders, between which a mix under test is placed. Into this mix, a third cylinder is inserted  
75 and rotated at a certain rate to give a specific shear (each gap was 1.2mm wide, and was  
76 consistent for all measurements), which is operable in the range 0-180°C. In the present study  
77 temperatures as low as -1°C gave accurate results when validating with a known-viscosity  
78 standard. To measure viscosity, 12ml of each sample fluid was separately prepared as per  
79 section 2.3. After complete mixing, the fluid was added to the rheometer. The rheometer  
80 would then rotate through the range of shear rates of interest. Viscometric readings were  
81 recorded using Rheocompass software (Anton Paar, St. Albans, UK), which calculated  
82 viscosity based on shear rate and measured friction of rotation on the cylinder walls. All  
83 measurements were repeated three times sweeping up and sweeping down to ensure  
84 precision. Any data where the standard deviation was above 10% through experimental noise  
85 was repeated for an additional sweep to reduce uncertainty. No time-dependency was seen  
86 during experimental runs after complete mixture preparation (section 2.3).

87

88 T-type thermocouples were used to record temperature. These were attached to a picologger  
89 unit (Picotechnology, St. Neots, UK), and temperatures recorded using picologger software  
90 (Picotechnology, St. Neots, UK). To the data, a line of best fit was added, the method used  
91 stated in the figure legends.

92

## 93 **2.2 Viscosity Measurements at non-Ambient Temperatures**

94 Before cooling, T-type thermocouples were added to both the inner and outer wall of the  
95 viscometer measuring attachment. A variable temperature bath was prepared using a Neslab  
96 cold circulating system (Thermo Scientific, Waltham, MA, USA) with antifreeze (TRIPLE

97QX Blue Antifreeze) as a working fluid. The target temperature was confirmed by a  
98thermocouple placed into the bath. A sample fluid was placed into the measuring attachment  
99of the rheometer and lowered into the variable-temperature bath.

100

101Temperature readings were taken as the cylinder's temperature equilibrated until the target  
102temperature was reached. Rheometric readings were then carried out while ensuring no  
103change in temperature occurred.

104

### 105 **2.3 Mixture Preparation**

106

107The following starches used HES (Sigma, H6382), starch from wheat (Sigma, S5127), and  
108starch from rice (Sigma, S7260) All sample fluids were prepared fresh at room temperature  
109(22°C) and mixed thoroughly using a magnetic stirrer for at least 10 minutes, before use to  
110ensure homogeneity. Samples were prepared fresh and used within 10 minutes of mixing to  
111prevent separation of materials, and to ensure that there was no time-dependence with any of  
112the tested materials, either at constant or varying shear. No hysteresis was seen over the scan  
113period (10 seconds per measurement), or between separate measurements at the same shear  
114rate.

115

### 116 **2.4 Microscopy**

117

118Samples were prepared and placed between two microscope cover slips. Images were taken  
119using an Olympus BX51 microscope at 10X optical magnification, with an attached CCD  
120camera.

121

## 122 3. Results

123

### 124 3.1 Shear-thickening with HES, Water, and DMSO

125The data in Figure 1 established that mixes of the cornstarch-derived hydroxyethyl starch  
126(HES, Sigma, H6382), exhibited non-Newtonian shear-thickening behaviour at room  
127temperature. A binary system of 50% HES and 50% water (by weight) had a measured  
128viscosity of  $133.4 \pm 2.3$  mPa.s (n=5) below  $12\text{s}^{-1}$ . This rose to above 915 mPa.s above  $77\text{s}^{-1}$ .  
129Replacing some water with dimethyl sulphoxide leaving 50% HES, 40% water and 10%  
130dimethyl sulphoxide (DMSO, Sigma, D4540) did not remove the non-Newtonian behaviour,  
131although the thickening behaviour was observed over a wider range of shear rates as can be  
132seen in Figure 1. Overall, viscosity was measured lower at  $66.1 \pm 1.7$  mPa.s (n=5) below  $2\text{s}^{-1}$ ,  
133this increased to above 355 mPa.s above  $66\text{s}^{-1}$ .

134

### 135 3.2 Shear-thickening with HES, Water, Sugars and Glycerol

136Fluids consisting of 50% HES, 40% water, and 10% of either glucose (Sigma, G8270),  
137glycerol (Sigma, G9012), or sucrose (Sigma, S0389) all exhibited shear-thickening behaviour  
138at  $22^\circ\text{C}$ , as can be seen in Figure 2. Both solutions with glucose or glycerol had the onset of  
139shear thickening at approximately  $2\text{s}^{-1}$ , with viscosities of  $92.1 \pm 2.2$  mPa.s (n=7) and  $106.5 \pm$   
140 $1.3$  mPa.s (n=8) measured respectively. Solutions with sucrose had a stable viscosity below  
141approximately  $10\text{s}^{-1}$  of  $287.0 \pm 6.1$  mPa.s (n=10). Viscosities were measured to rise to above  
142 $776$  mPa.s at  $154\text{s}^{-1}$ ,  $662$  mPa.s at  $167\text{s}^{-1}$ , and  $1495$  mPa.s at  $54\text{s}^{-1}$  for glucose, glycerol, and  
143sucrose mixtures respectively. Tests were also conducted on the solution without starches.  
14410% DMSO in water had a viscosity of  $1.14 \pm 0.3$  mPa.s (n=10), and 10% glycerol in water  
145had a viscosity of  $1.22 \pm 0.4$  mPa.s (n=10), both at  $22^\circ\text{C}$ . Various concentrations of sugars

146 have been characterised over a range of concentrations and temperatures [19]. Solutions  
147 without starch displayed Newtonian behaviour.

148

### 149 **3.3 Impact of Temperature Reduction on Shear Thickening of Biological** 150 **Reagents**

151 The impact of reducing temperature from 22°C to 0°C is shown in Figure 3. Mixtures of 50%  
152 HES, 40% water, and 10% of either glucose (panel A), raffinose (panel B, Sigma, R0514),  
153 sucrose (panel C), DMSO (panel D), fructose (panel E, Sigma, F0127), or lactose (panel F,  
154 lactose, L3625) were examined. In all cases (except lactose where thickening occurred over a  
155 range too narrow to accurately measure), a reduction in temperature resulted in a reduction in  
156 critical shear rates. The intensity of shear thickening was also greater in cooler samples  
157 ( $p < 0.05$ ). The relative rate of increase in viscosity is shown for all tested samples in Figure 6.

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159

### 160 **3.4 Impact of Temperature in a Quaternary System**

161 Figure 4 shows that at increasingly lower temperatures, the rate at which a mixture of 50%  
162 HES, 35% water, 10% sucrose, and 5% DMSO started to show shear-dependent viscosity was  
163  $0.05\text{s}^{-1}$  at  $-1^\circ\text{C}$ , rising to  $30\text{s}^{-1}$  at  $50^\circ\text{C}$ . The relative magnitude of shear thickening was also  
164 observed to be greater at lower temperatures, with a 2 orders of magnitude increase in  
165 viscosity occurring over only a 10% increase in shear rate at  $-1^\circ\text{C}$ . The equilibrium freezing  
166 point of this solution is approximately  $-3^\circ\text{C}$ .

167

### 168 **3.5 Impact of Different Starch Sources**

169The source of starch is extremely important in dictating shear-dependent viscosity. All three  
170tested sources - wheat (Sigma, S5127), corn starch derived HES, and rice (Sigma, S7260),  
171exhibited non-Newtonian effects at 50% weight in water at 22°C. These data are plotted in  
172Figure 5.

173Starch derived from rice exhibited both shear-thickening and thinning behaviour. Between 0.1  
174and 0.2s<sup>-1</sup> viscosity was measured at 7800 ± 400 mPa.s (n=4). This fell to 2147 ± 47 mPa.s  
175(n=6) between 5 and 15s<sup>-1</sup>. Further increases in shear rate resulted in increasing viscosity.

176A mixture consisting of 50% wheat derived starch had the lowest viscosity and exhibited  
177shear-thickening behaviour. Viscosity was recorded at 24.8 ± 1.3 mPa.s (n=5) between 20 and  
17860s<sup>-1</sup>. This rose above 150 mPa.s at shear rates greater than 70s<sup>-1</sup>. Data from HES is shown in  
179Figure 1.

180Microscopy of the 3 different sources was taken and is shown in Figure 7. Starch derived  
181from wheat was found to have an average diameter of between 10-20µm, HES had an  
182average diameter of 10µm, and starch derived from rice had an average diameter <5µm.

183

184

#### 185 4. Discussion

186This study aimed to provide an experimental basis for shear-thickening behaviour in systems  
187compatible with biological materials, and specifically those with a cryobiological application.  
188HES is a non-ionic derived starch, most commonly sourced from corn starch. While corn  
189starch mixtures are well known to exhibit shear-thickening behaviours [2, 4, 15], this study  
190established that the processing involved to allow their biological use (conversion to HES)  
191does not remove this ability. HES has several clinical applications [6, 20-22], and so  
192cytotoxicity issues would not be expected.



193The addition of other reagents essential for cryoprotection at low temperatures - such as  
194monosaccharides, disaccharides, trisaccharides, glycerol, or DMSO - does not prevent the  
195shear-thickening behaviour. DMSO was seen to reduce the viscosity of the system, while  
196sugars were seen to increase the viscosity. Between the sugars, the impact of 10% inclusion  
197on viscosity was not consistent, for example sucrose and fructose increased the viscosity of  
198the mixes much more than glucose, as has been reported in similar systems [1].

199Biological systems are often exposed to low temperatures, and even in warm-blooded  
200mammals' extremities can fall well below core body temperatures. Low temperatures can be  
201induced clinically, for example cardiac and thoracic aortic surgeries are often carried out at  
202temperatures as low as 12°C [6]. Viscosity is a critical factor in cryopreservation, in particular  
203vitrification [9, 23]. The decrease in critical shear rates seen at lower temperatures presents  
204major advantages to their use – shear forces can be damaging to biological tissues [11, 24,  
20525], particularly after long exposure and this observation will reduce this risk in biologics as  
206only a low shear needs to be applied before reaching critical rates. At super-critical shear  
207rates, the macroscopic solidification of the colloid may protect biologics contained within  
208from further damage. This temperature effect has been observed in silica suspensions as well  
209as aqueous suspensions of polymers [26-28], and may be a consequence of slower molecular  
210movement at lower temperatures. In this work, the critical shear rate was found to scale with  
211a factor  $0.6978e^{0.00681T}$ , where T is the temperature in degrees Celsius, and is above the  
212freezing point of the solution, indicating that the relative decrease in critical shear rate is  
213much more pronounced near the solution's freezing point. The data in this paper was carried  
214out in a rotational rheometer. Shear-thickening behaviour was not seen in these HES mixtures  
215using an oscillatory rheometer (data not shown), suggesting that the shear thickening may be  
216caused by long clusters of starch particles forming a chain – these would not be expected to  
217form in oscillatory movements. Even in approximately spherical particles, contact can be

218made between spheres forming these long clusters which can develop between two surfaces  
219moving in separate directions. In such a set-up the relative incompressibility of the rigid  
220spheres hinders the movement of the two surfaces and so the viscosity of the material  
221apparently increases. As such a chain will only support tangential loads, varying the direction  
222of the shear will result in chains collapsing and so shear thickening would not be seen in an  
223oscillating set-up [15, 29].

224The rate of increase in viscosity while changing the shear rate around the critical rate was  
225much more pronounced at lower temperatures - this was not expected at the outset of this  
226study. This supports the chain hypothesis. Chains are more stable at lower temperatures (so  
227occur at lower shear rates), as Brownian motion will take longer to break the chains at lower  
228temperatures than higher ones, and starch particles may become more rigid, strengthening the  
229chains in existence.

230However, the full reasons for shear thickening phenomena are still not fully understood and it  
231forms an area of active research [2, 4, 5, 15, 30, 31]. Several hypotheses have been proposed.  
232Hydroclusters have long been reported responsible for shear thickening, in particular during  
233reversible continuous shear thickening. These are clusters which stick together through liquid  
234lubrication forces [27]. However more recently friction in shear thickening has been  
235understood necessary for the phenomenon to occur. Models have shown that perfectly smooth  
236hard sphere systems exhibit shear thinning, and so surface topography is a key factor behind  
237the thickening effect [27, 30, 32-34]. At low shear rates particles may repel each other and so  
238remain in a frictionless suspension. At higher shear rates, this repulsion is overcome and  
239clustering can form which greatly increases particle-particle friction as jamming can occur [4,  
24015].

241The shear thickening seen with high concentrations of HES also raises the possibility that  
242localized HES accumulation (which has been reported from blood expanders) to  
243concentrations tested in this work may induce local shear-thickening behaviour in tissues and  
244arteries, which may explain its clinically undesirability [6, 20-22]. This highlights the need  
245for an effective washing step if HES-based mixtures were to be used for cryopreservation.

246It is clear from the data in Figures 5 and 7 that the source and structure of the starch is  
247critically important to the shear response viscosity. All three sources tested have radically  
248different physical structure and non-Newtonian behaviour. Different starch sources, while  
249nominally very similar chemically, produce vastly different viscosity interactions. This is  
250indicative that the mechanism behind shear thickening is very sensitive to physical  
251parameters, perhaps related to molecular size, deformability, composition, or deviations from  
252the spherical on the particle surfaces [35]. As can be seen from Figure 7, while the starch  
253particles are roughly spherical, substantial deviation in size and shape is present, a likely  
254cause of the different shear response seen in Figure 5. It should be noted that while starches  
255are formed of long carbohydrates, they form discrete units in solution (Figure 7) and when  
256powdered - these mixtures do present a colloid as have widely been reported [2, 5, 15]. This  
257structure was unchanged after the colloidal suspension was sheared and then relaxed (data not  
258shown).

259Starch derived from wheat exhibited shear-thinning behaviour over the range tested, which is  
260typical of many colloids as increased shear can break-up clusters homogenising the fluid or  
261cause particle deformation [7]. The shear thinning is not extreme (i.e. the shear stress does  
262not reduce or plateau) so we do not suspect shear banding here. Previous work in this area has  
263highlighted the effect that the differences between these starches have [35].

264The substantial variation in shear response was not limited to particle source. This can be  
265seen from the variations between different disaccharides in Figure 3, where the shear-  
266thickening behaviours of mixtures containing sucrose and lactose are markedly different  
267despite being isomers. This indicates that both the surface friction of the particles as well as  
268the lubrication forces of the colloid's liquid component being key parameters. Lactose is a  
269disaccharide consisting of glucose and galactose, while sucrose consists of glucose and  
270fructose. Switching the liquid component of the colloidal solution between solutions of these  
271isomers causes the system to switch between a continuous shear thickening response  
272(sucrose) to a near discontinuous shear thickening fluid (lactose). While only one gap size  
273was examined in this study (1.2mm), and larger gap sizes may result in higher critical shear  
274rates [15]. It is possible that for this technique to be used in larger biologics (and so with a  
275larger gap-size) lower temperatures would be required to maintain acceptable shear rates  
276during cryopreservation.

277Based on these data, we propose that in the biological systems tested, both lubrication and  
278friction forces play a key role in the non-Newtonian behaviour observed.

## 279 5. Conclusion

280This study establishes that the treatments used to convert corn starch to HES suitable for  
281clinical use do not prevent shear-thickening behaviour. Adding further commonly used  
282reagents such as sugars, DMSO, or glycerol also does not prevent this phenomenon. This  
283would allow these fluids to be used in biological settings if required. Low temperatures  
284enhancing shear thickening allows for their use at lower shear rates where lower temperatures  
285are acceptable biologically. This also allows for colloids which display limited shear  
286thickening at higher temperatures to be used effectively where the temperature can be  
287lowered, or if shear thickening is undesirable, increasing the temperature could prevent the

288phenomenon. Large ranges in behaviour were recorded between isomers, highlighting the  
289difficulty in definitively determining the cause of shear thickening in some colloids.

## 290 6. References

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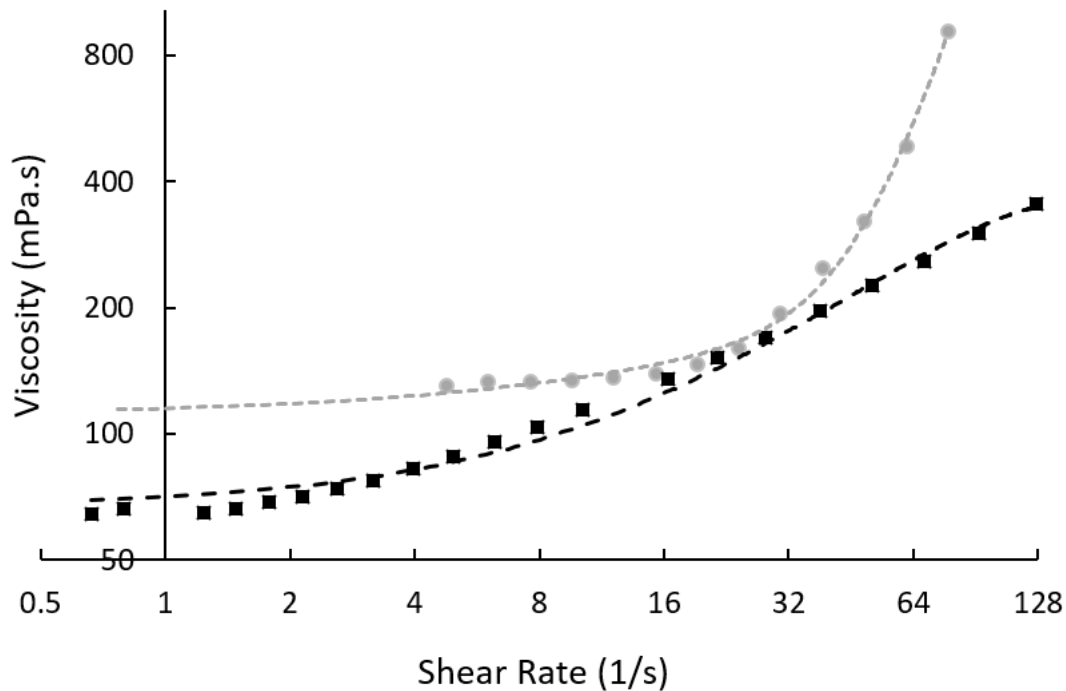
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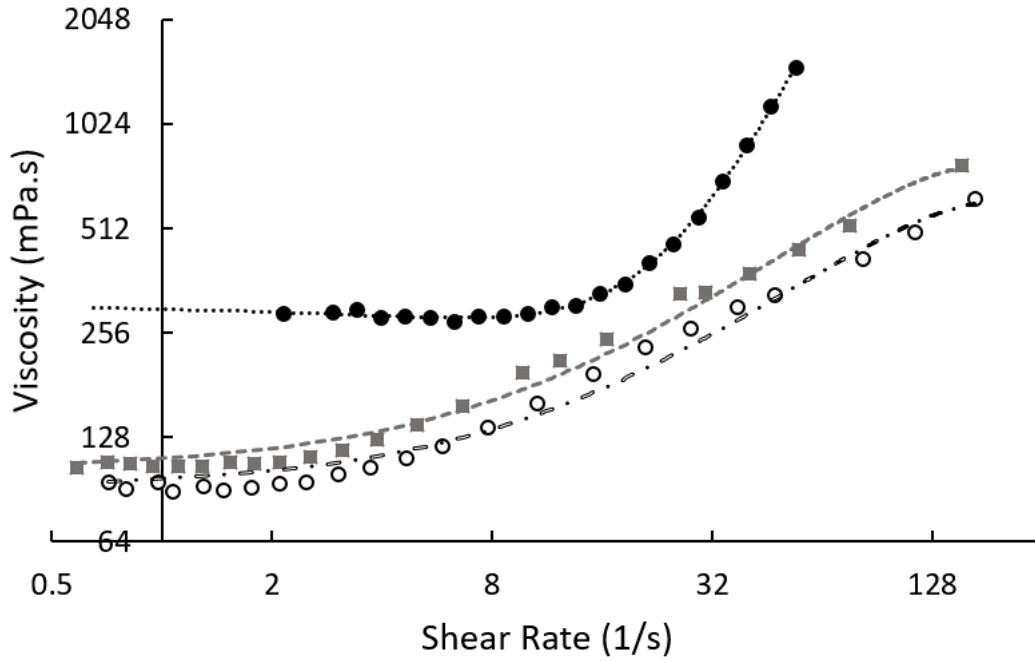
## 376**Figures**



377

378 Figure 1 – Viscosity and shear relation of a 50% hydroxyethyl starch and 50% water binary  
 379 system (grey circles, with exponential fitting line) and a 50% hydroxyethyl starch, 40%  
 380 water, and 10% dimethyl sulphoxide tertiary system (black squares, with second-order  
 381 polynomial fitting line). Strong shear thickening was observed in the binary system around  
 382  $30\text{s}^{-1}$  as measured by rotational techniques. Shear-thickening was lesser in the tertiary system  
 383 with a wider critical shear range. Measurements were taken at  $22^\circ\text{C}$ .

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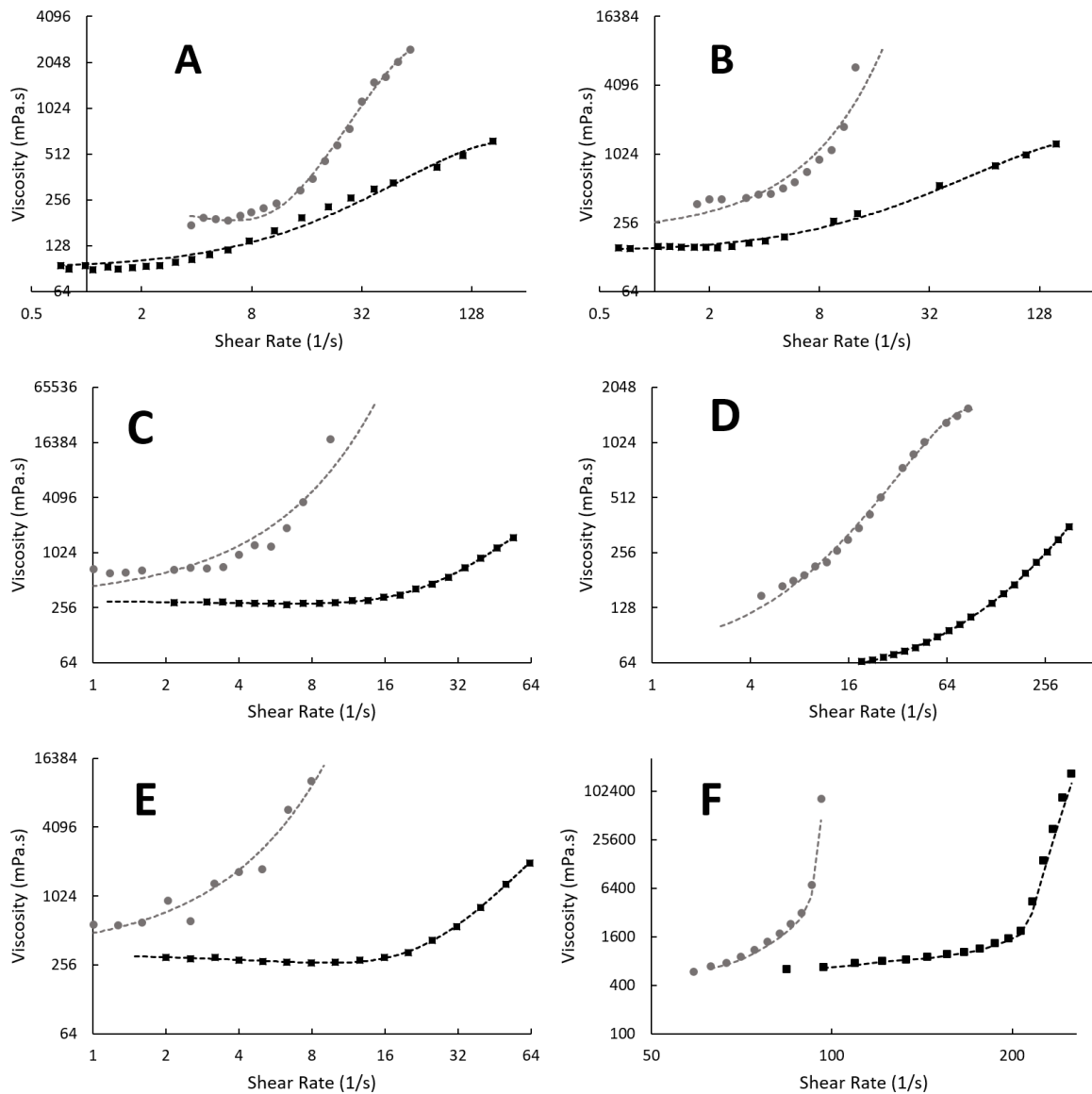


385

386 Figure 2 – The shear-thickening behaviour observed with a 50% hydroxyethyl starch, 40%  
 387 water, and 10% cryoprotectant mix. Thickening was observed in all systems using rotational  
 388 techniques where the cryoprotectant sucrose (black circles, with exponential fitting line),  
 389 glycerol (grey squares, with second-order polynomial fitting line), and glucose (black rings,  
 390 with second-order polynomial fitting line) were present in the mix. Measurements were taken  
 391 at 22°C.

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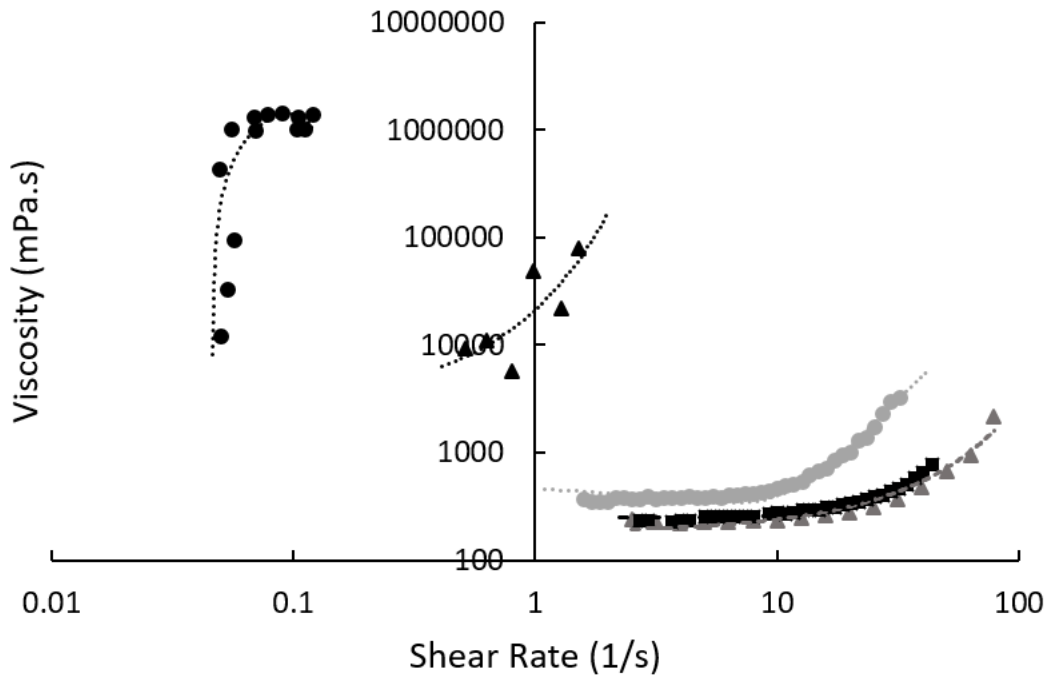




393

394Figure 3 - The impact of lowering temperature on the critical shear rates and magnitude of  
 395shear-thickening effects on a range of hydroxyethyl starch and water based mixtures. The  
 396mixtures contained a 50% hydroxyethyl starch, 40% water, and 10% cryoprotectant or sugar.  
 397The examined cryoprotectant or reagent was A – glucose, B - raffinose, C – sucrose, D –  
 398dimethyl sulphoxide, E - fructose, and F – lactose. All measurements were examined using  
 399rotational techniques with readings taken at 22°C (black squares), and 0°C (grey circles).  
 400Thickening was observed to occur at lower shear rates at lower temperatures in all examined  
 401mixtures. For readings taken at 22°C, lines were fitted using 2<sup>nd</sup> order polynomial. For those

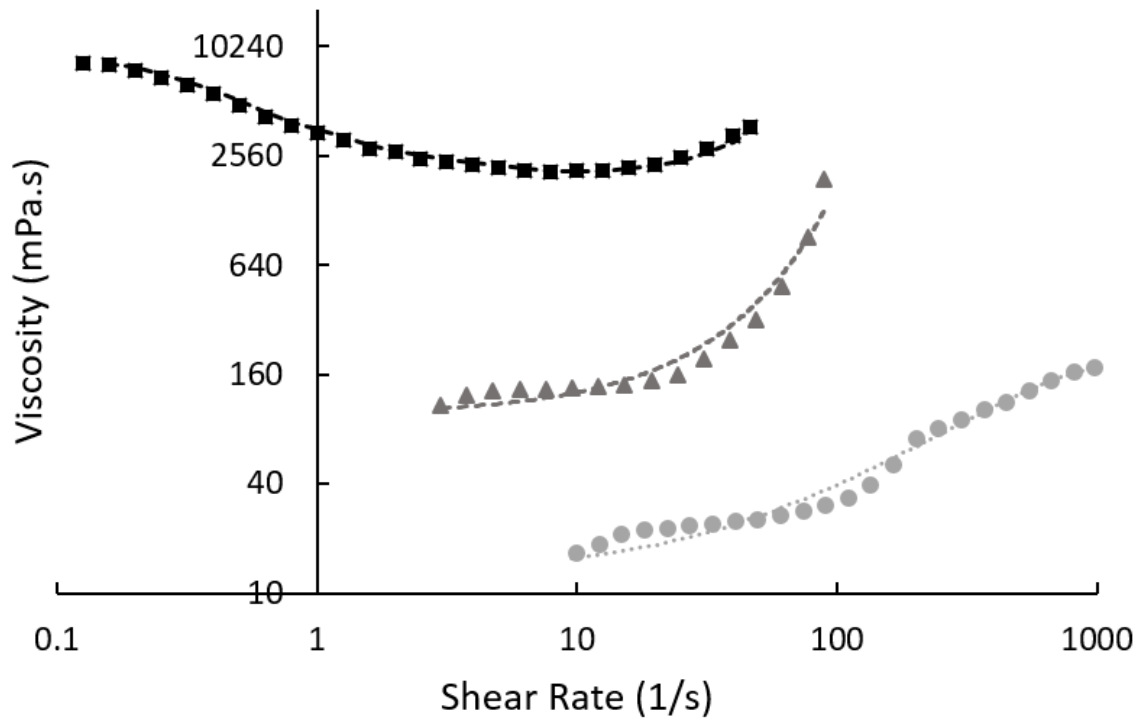
402at 0°C, exponentials were used, except in panes A and D where 3<sup>rd</sup> order polynomials were  
 403employed.



404

405Figure 4 – The impact of temperature changes on the shear dependent viscosity of a 50%  
 406hydroxyethyl starch, 35% water, 10% sucrose, and 5% dimethyl sulphoxide system. All  
 407measurements were examined using rotational techniques with readings taken at -1°C (black  
 408circles, with second-order polynomial fitting line), 10°C (black triangles, with exponential  
 409fitting line), 30°C (grey circles, with second-order polynomial fitting line), 42°C (black  
 410squares, with second-order polynomial fitting line), and 50°C (grey triangles, with  
 411exponential fitting line). Viscosity was increased at lower temperatures, with critical shear  
 412rates lower at lower temperatures.

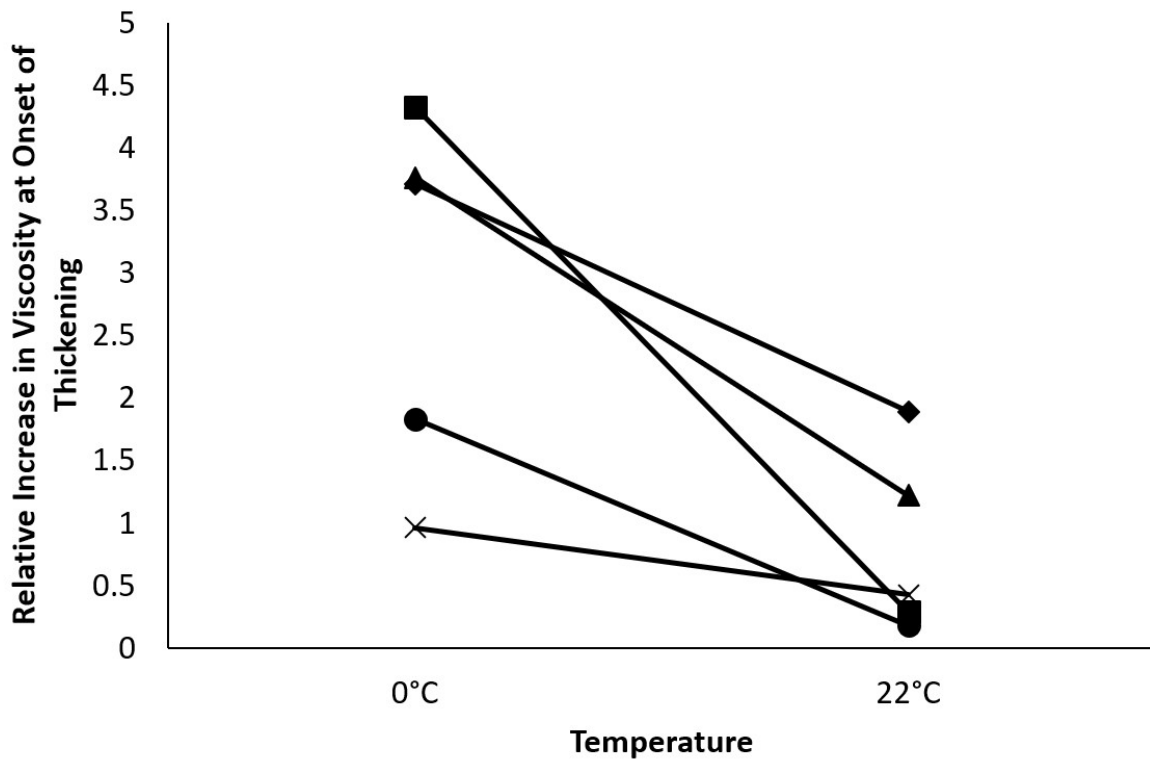
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414

415 Figure 5 – Viscosity of different starches in water (50% w/w) as a function of shear rate .  
 416 Starch was sourced from rice (black squares, with moving average fitting line), corn (grey  
 417 triangles – hydroxyethyl starch, with exponential fitting line), and wheat (grey circles, with  
 418 second-order polynomial fitting line). Measurements were taken at 22°C in a rotational  
 419 rheometer. Non-Newtonian behaviour was observed in all examined mixtures – thinning with  
 420 rice starch and shear thickening from all other sources.

421



422

423 Figure 6 - relative increase in viscosity at the onset of shear thickening (defined as from when  
 424 shear-thickening commences until reaching 5x the pre-thickening viscosity). Reagents were  
 425 measured using a concentric cylindrical rheometer at both 22°C and 0°C, with a mixture of  
 426 50% HES, 40% water, and 10% test reagent. Test Reagents were raffinose (square), sucrose  
 427 (triangle), fructose (diamond), glucose (circle), and DMSO (cross).



428

429Figure 7 – Microscopy of starch from different sources. Top to bottom – 50% HES in water;  
43050% starch from rice in water; 50% starch from wheat in water. Scale bar is 100  $\mu\text{m}$ . Images  
431were taken in a static system, where no shear was applied.

432