

Conference on Financial Law and Regulation

Thursday 30 June - Friday 1 July 2022

UCL Faculty of Laws, Bentham House, Endsleigh Gardens, London, WC1H 0EG

PROGRAMME

DAY 1 - THURSDAY 30 JUNE 2022

09:00-09:30 Refreshments on arrival

09.30-11.00 International Finance

Chair: Professor Rosa Lastra, Queen Mary University of London

- Sovereign debt
- Brexit - access to the EEA for UK firms
- Monetary policy and its connection to sustainability

Lead Panellists:

- **Sir William (Bill) Blair** (Professor of Financial Law and Ethics, Queen Mary University of London)
- **Mr. Jeffrey Golden QC (Hon)** (3 Hare Court)
- **Dr Andromachi Georgosuli** (Queen Mary University of London)
- **Dr Stephen Connelly** (University of Warwick)
- **Dr Karina Patricio-Lima** (University of Leeds)
- **Dr Lerong Lu** (King's College London)

11:00-11:15 Refreshment Break

11.15 -12.45 Fintech

Chair: Mr. Simon Gleeson, Clifford Chance LLP

- Regulation and legal treatment of crypto-assets
- CBDCs: likely trajectories
- AI as a risk management and corporate governance tool
- AI in the retail markets (robo-advice etc.)

Lead Panellists:

- **Mr. Charles Proctor** (Fladgate LLP)
- **Professor Louise Gullifer** (University of Cambridge)
- **Dr Francesco De Pascalis** (Brunel University London)
- **Dr Pilipp Paech** (London School of Economics)
- **Dr Alison Lui** (Liverpool John Moores University)

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12:45-14:00 Lunch and (optional) **30-minute discussion on teaching finance law** chaired by Sir William (Bill) Blair, Professor of Financial Law and Ethics, Queen Mary University of London.

14.00-15.30 Developments in markets, products, and regulation

Chair: Professor Eilís Ferran, University of Cambridge

- Recent market developments in corporate finance (CLOs etc.)
- Regulatory implications on market structures
- Challenges faced by financial supervisory authorities
- The impact of COVID and future challenges with distressed debt

Lead Panellists:

- **Professor Graham Penn** (University College London & Sidley Austin LLP)
- **Professor Jennifer Payne** (University of Oxford)
- **Professor Dalvinder Singh** (University of Warwick)
- **Dr Vincenzo Bavoso** (University of Manchester)
- **Dr Andrea Fejős** (University of Essex)
- **Dr Anat Keller** (King's College London)

15.30-15.45 Refreshment Break

15.45-17.15 Sustainable Finance

Chair: Professor Iain MacNeil, University of Glasgow

- Sustainability in capital markets
- Embedding sustainability in corporate finance
- Climate change risk management for banks and other Fis

Lead Panellists:

- **Professor Kern Alexander** (University of Zurich)
- **Professor Luca Enriques** (University of Oxford)
- **Professor Konstantinos Sergakis** (University of Glasgow)
- **Dr Eva Micheler** (London School of Economics)
- **Dr Costanza Russo** (Queen Mary University of London)
- **Dr Andrea Miglionico** (University of Reading)

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18.00 -21.30 Reception Drinks and Dinner (UCL, Portico)

kind sponsorship of **Clifford Chance LLP**.

Keynote Speech:

“Who thought of that: is it legal? A journey in financial legislation”

Sharon Margaret Bowles, Baroness Bowles of Berkhamsted (Member of the House of Lords)

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DAY 2 - FRIDAY 1 JULY

08:30-09:00 Refreshments on arrival

09:00-10:35 **Regulatory frameworks**
Chaired by Dr Anat Keller

- *The Fallacy of Bail-In as a Bank Resolution Strategy*
Dr Virag Blazsek (University of Leeds)
- *The Post-Insolvency Stage of The Covered Bond Issuer: Risks for Investors*
Mr. Thomas Papadogiannis Varouchakis (PhD candidate University College London)
- *Improving Competition in the EU Payment Services Market*
Ms. Louise Damkjaer-Ibsen (PhD candidate University of Southern Denmark)
- *Romania: Regulation and contractual certainty in the banking sector in times of humanitarian and economic crisis*
Ms. Astrid Bolea (West University of Timisoara)
- *Gatekeeper-led Governance*
Dr Jonathan Chan (University College London)

10.35-10.50 Refreshment break

10.50-12.15 **Litigation and Financial Consumer Protection**
Chaired by Professor Graham Penn

- *Risks and Benefits of Third-Party Litigation Finance (TPLF) Regulation: Comparing the UK with the US'*
Dr Wala Al-Daraji (University of Westminster)
- *A critical review of the application of investor protection rules under EU Law to cases involving robo-advisors*
Dr Ilias Kapsis (University of Bradford)

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- *The Quest for Protection of Vulnerable Consumers in Digital Financial Technologies*
Dr Andrea Miglionico (University of Reading)
- *The rule of law and artificial intelligence (AI): Algorithmic transparency and the customer's right to explanation in automated credit decisions*
Dr Alison Lui (Liverpool John Moores University)
George Lamb (Liverpool John Moores University) and
Lola Durodola (Coventry University)

12.15-12.55 Keynote Speech: **"The post-Brexit return of global competitiveness as a regulatory objective"**

Professor Eilís Ferran (University of Cambridge)

12.55-13.50 Lunch

13:50-15:00 **The promises and challenges of Fintech**

Chaired by Dr Federico Lupo-Pasini

- *Regulation of cryptoassets and resolving disputes involving cryptoassets*
Ms. Shobana Iyer (Swan Chambers)
- *Public Policy and the Evolution of FinTech Regulation in China: Retrospect and Prospect*
Dr Chi Zhang (University of Glasgow)
- *The Role of Financial Regulators in the Governance of Algorithmic Credit Scoring*
Dr Nydia Remolina (Singapore Management University)

15:00-15.15 Refreshment break

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15.15-16.25 Sustainable Finance and ESG

Chaired by Professor Konstantinos Sergakis

- *The Mutual Funds Industry and ESG Integration*
Dr Mohammed Alshaleel (University of Essex)
- *ISSB draft standards in sustainability and climate disclosure*
Dr Jorge Guira (University of Reading)
- *A Sustainable Regulatory Framework for Sustainable Finance: A Forward-looking Design for the UK*
Dr Longjie Lu (University of Edinburgh)

16:25-16.35 Convenience break

16.35-18.00 Developments in CBDCs and crypto-derivatives

Chaired by Dr Andreas Kokkinis

- *The emergence of Central Bank Digital Currencies (CBDCs): thoughts on a normative framework*
Mr. Kosmas Kaprinis (HSBC)
- *Central bank digital currencies: a challenge for central bank Independence*
Mr. Rory Copeland (Allen & Overy LLP)
- *Regulating Cryptocurrency Derivatives: A Comparative Analysis of Approaches In The UK, The EU, And Singapore*
Ms. Rachel Phang (National University of Singapore)
- *The Old Habit and New Route. The Challenges Crypto Derivatives Posted on the European Market Infrastructure Regulation's Monitoring of Systemic Risks*
Ms. Zi Yang (PhD candidate University of Strathclyde)

18:00 Conference close