'Tribunal Decision-Making: An Empirical Study'

Professor Dame Hazel Genn and Professor Cheryl Thomas 2013

THE STUDY

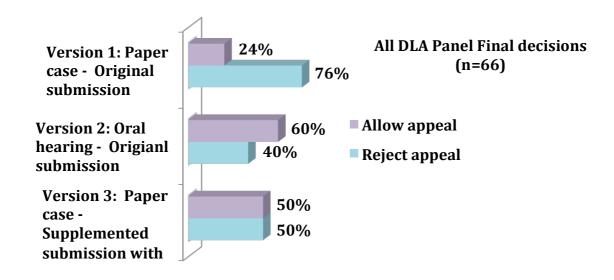
This is the first empirical study of judicial decision-making by the professional judiciary in the United Kingdom using case simulation. Using a real Disability Living Allowance appeal, a large number of tribunal panels around the country decided the same case in the course of their normal working day. Some panels decided the case based only on a written submission, while others also saw a film of the oral hearing in the case.

This study is designed to examine several specific questions:

- 1. Hearing form: Is the main benefit of an oral hearing the ability to obtain more evidence? Or does an oral hearing affect outcomes even when a written submission contains identical evidence?
- 2. Consistency: To what extent does the form of the hearing contribute to the consistency of tribunal decision-making? Are other factors more determinative of consistency: such as institutional factors (decision options, legal rules), personal factors (panel member background, attitudes), or peer effects (composition of panels and process of deliberation)?
- 3. Panel discussions: Are panel members' initial assessments (from a first reading of the written submission) highly predictive of case outcomes? Or do panel discussions significantly affect case outcomes?
- 4. Decision-maker's background: Do different types of tribunal panel members perceive evidence or judge cases differently?

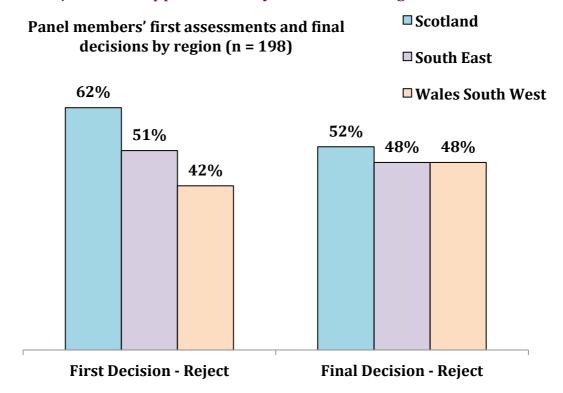
QUESTION: What difference does an oral hearing make?

FINDING: It is clear that the form of the appeal coupled with the information contained in the submission affected tribunal decision-making.



QUESTION: Are panel members' first assessments and final decisions by region?

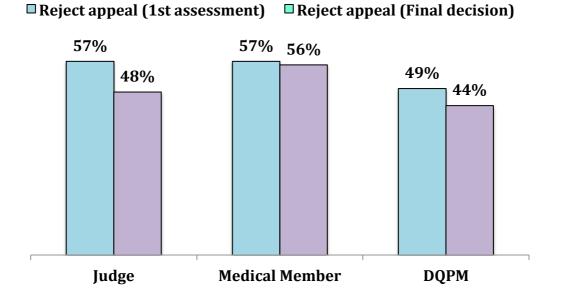
FINDING: In their final decision, the proportion of panel members who rejected the appeal was very similar in all regions.



QUESTION: Does panel member type affect decision-making?

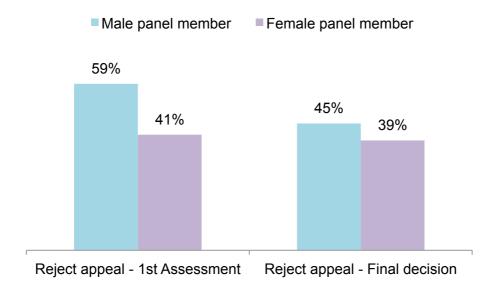
FINDING: There were no significant differences in either first impressions or final decisions according to the member's position on the tribunal panel.

First impressions and final decisions by panel member type (n = 198)



QUESTION: Do the background characteristics of panel members affect decision-making?

FINDING: There was no significant difference in decision-making by male and female panel members.



QUESTION: What is the impact of panel discussion?

FINDING:

