



**UCL
GLOBAL
GOVERNANCE
INSTITUTE**
ANNUAL REPORT
2015–2016



BUILDING ON STRONG FOUNDATIONS

Situated within the Department of Political Science/School of Public Policy at University College London (UCL), the Global Governance Institute (GGI) is a leading research and public policy centre in this vital field of inquiry.

The GGI undertakes in-depth, cross-disciplinary study of pressing problems in governance, in order to explore the nature of the problem, the processes, structures, and institutions involved and to identify solutions. It provides a crucial link between academic researchers, leaders in the wider society, as well as the general public. It also actively seeks to engage and support the next generation of global policy-makers within our student body.

Unlike many other governance research and policy centres, with its rare breadth of scholarly excellence, the GGI is uniquely placed to draw upon UCL's world-leading expertise across a wide span of disciplines – from economics, law, political science, public policy and anthropology, to medicine and public health, engineering and disaster risk management. The GGI strives to reflect UCL's guiding ethos of cross-disciplinary collaboration, facilitating connections among diverse colleagues and interested parties to promote informed public debate on possible solutions to global societal challenges.



GLOBAL
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2015–16: A YEAR IN REVIEW

At a GGI public lecture in June 2016, Lord Mervyn King, former Governor of the Bank of England, reflected on the troubled state of global economic governance against a backdrop of ‘radical uncertainty’, meaning the kind of uncertainty that statistical analysis cannot model.

Radical uncertainty may be the motif of our times.

This year has seen some extraordinary highs and lows in global politics. From the dispiriting retreat from European cooperation symbolised by Brexit, to the extraordinary breakthrough on climate change in Paris last December, these are deeply uncertain and contradictory times.

Whether the challenge is climate change mitigation, health pandemics, financial regulation or terrorism, we need more global governance, not less. Yet despite rapid globalisation and the proliferation of these cross-border threats, global governance is failing to find solutions and, perhaps, is even in retreat.

It is imperative then that we make progress in understanding blockage and ways through. In 2015–16, in collaboration with partners within and outside UCL, the GGI has sought to make a major intellectual and policy contribution to this endeavour. Highlights from our public lecture series this year have included interventions by policy-makers at the coalface of multilateral diplomacy, such as Baroness Catherine Ashton, former First Vice President of the European Commission, and Elizabeth Dibble, the US Deputy Chief of Mission in London.

In 2015–16, we were fortunate to be joined by some of the world’s leading critical thinkers on global governance, including Jack Donnelly, David Kennedy and Saskia Sassen. In February, Daniel Franklin, Executive Director of The Economist, led us through an entertaining (and prophetic) preview of the year, and John Knox, UN Special Rapporteur on Human Rights and the Environment, closed our 2015–16 events programme on a cautiously optimistic note in the aftermath of the Paris Agreement.

This year, the GGI also made its mark in the world of global governance research, convening world-leading scholars for an international symposium in November 2015. The event served as a launching pad for UCL’s call for a ‘third generation’ of global governance research. Our workshops have also brought researchers and policy-makers together, with outcome policy briefs forthcoming on ‘Global Drug Policy, Human Rights and Public Security’ and ‘Human Rights and Climate Change’.

All of these activities would not be possible without the inspiration, support and collaboration of our colleagues at SPP and from across UCL. We are particularly grateful to our first cohort of GGI Thematic Leaders, Jason Dittmer (Global Security), Ilan Kelman (Global Environmental Sustainability), Avia Pasternak (Global Justice and Ethics) and Stephen Smith (Global Economy), all of whom have done so much to advance the GGI mission over the past two years and will be stepping down this November.

It has also been an immense pleasure to build on and advance our collaboration with a brilliant cohort of students in our MSc in Global Governance and Ethics programme, as well as their affiliate society.

In these unsettled times, a huge question mark hangs over the future trajectory of global governance as we move into 2016-17. On a positive note, uncertainty is also opening up new spaces for experimentation, even if believable coherent alternatives to old governance models are yet to emerge. The Institute will continue to be at the forefront of thinking on this perhaps most vital building block of our future civilisation, and we hope you will join us.

GGI OBJECTIVES

- Establish UCL as a world leader in this important new field of scholarship and global public policy and practice
- Engage diverse viewpoints and enable the widest possible participation in Institute activities to promote innovation of thought and gain novel insights
- Establish a robust network of research and strategic partnerships within UCL, based on shared goals, as well as forge links with external partners in the worlds of government, commerce and the third sector
- Serve as a hub and facilitator for innovative research collaboration within and across disciplines
- Provide an independent source of impactful public policy research
- Work with partners in the public and private sector to apply academic analysis to current problems of governance, with a focus on how potential solutions can be adapted to tackle ‘real world’ problems.

This annual report includes a work plan and objectives for 2016–2017 and will be placed under consultation with the GGI Academic Steering Committee, the Institute’s Board of Advisors, the Provost’s Council and external stakeholders. We would be delighted to receive additional input.

More information: www.ucl.ac.uk/global-governance

The Director, David Coen, and Deputy Director, Tom Pegram, of the GGI can be contacted by email at d.coen@ucl.ac.uk and t.peggram@ucl.ac.uk.

RESEARCH OVERVIEW

As noted in the above 'Year in Review', radical uncertainty may be the motif of our times. The GGI is working with colleagues from across UCL at the forefront of impactful research to grapple with the unprecedented challenge of global collective action. Major themes have been identified to ensure general coherence across the spectrum of policy-relevant issue areas, including: Global Security, Global Economy, Global Environmental Sustainability and Global Justice and Ethics. Additional thematic inquiry is pursued on an as-and-when basis. These research and policy clusters build on significant existing activities and world-leading research expertise within the School of Public Policy and across UCL. Central to its core mission, the Institute undertakes cross-disciplinary study of crucial governance 'deficits' in order to explore the nature of the problem, the processes, structures and institutions involved, and potential solutions.

There is a growing sense that global governance institutions are not working. It is therefore vital that we understand the causes of gridlock and identify pathways through. The GGI is dedicated to investigating the renovation of international governance institutions, drawing upon research and policy knowledge across multiple fields of international governance activity. Importantly, the Institute brings the distinctive strengths of UCL to such an enterprise; namely, the ability to integrate disciplines that draw from both a material base (disciplines such as engineering, disaster risk management, health and environment) and from a social science and humanities perspective. It is crucial that in driving forward efficient and effective institutional change we do not lose sight of the ethical imperative of justice and peace which ultimately must guide the difficult governance tasks ahead: motivating collective action on an unprecedented scale through sustained and serious engagement with political action by public and private authorities.

A new generation of governance practitioners, advocates and scholars are setting their sights on leveraging a rapidly changing international strategic environment to devise global policy interventions which actually work. The GGI is committed to making a major intellectual and policy contribution to this endeavour. By drawing lessons from different areas of international governance success and failure, the Institute is well-placed to examine:

- What design principles could strengthen existing governance structures or guide the development of new ones in response to rapidly changing circumstances?
- What works? Do we move from good to bad governance?
- What is the nature, source and distribution of power, and what are the structural conditions which enable or impede regulation?
- What are some of the transferable tools, mechanisms, and theories which can underpin a viable global governance policy model?
- What are the ethical imperatives which must inform efforts to enhance coordination and governance on a global scale?

ACTIVE RESEARCH AREAS

- The United Nations
- Business ethics and supply chain governance
- Democratic global governance
- International investment protection
- Private global anthropology
- Laws of war and transnational security
- Global human rights institutions
- Governance and the Sustainable Development Goals
- Renovating the World Health Organisation
- The Paris Agreement and the UN Framework Convention on Climate Change
- Climate change adaptation and mitigation
- Global drug control policy and public security
- The future of the global economy
- Small island nations and climate change
- Science, technology and security.



Lord Mervyn King, former Governor of the Bank of England, in conversation with David Tuckett (UCL) at a GGI public event in June 2016



Elizabeth Dibble, US Deputy Chief of Mission, spoke at a GGI event in October 2015

The GGI's first cohort of four Thematic Directors will end their two-year term in November 2016. They have made an invaluable contribution to GGI institution-building and the events programme.



Global Economy

Professor Stephen Smith

Stephen Smith is a Professor of Economics at UCL. His research activities in 2015–16 continued to focus on environmental economics and the economics of instrument choice in environmental regulation, with a particular emphasis on emissions taxation. Professor Smith also facilitated GGI collaboration with Christian Dustmann and the Centre for Research and Analysis.

Global Environmental Sustainability

Dr Ilan Kelman

Dr Ilan Kelman is a Reader in Risk, Resilience and Global Health at the UCL Institute for Risk and Disaster Reduction and the UCL Institute for Global Health. In 2015–16, Dr Kelman brought together leading scholars and practitioners in two workshops on the impact of 'Arctic Change' (October 2015) and the climate change adaptation strategies of small island states (May 2016). Each workshop was followed by a public evening event.



Global Justice and Ethics

Dr Avia Pasternak

Dr Avia Pasternak is a Lecturer in Global Ethics in the UCL Department of Political Science. In 2015–16, she organised an international conference that explored the dilemmas and 'messy politics' of international aid as well as the public panel discussion 'Dealing with the Refugee Crisis: Europe and Britain'. As the Director of the Global Governance and Ethics MSc Programme, she also continued to facilitate engagement between students in the programme and the GGI.

Global Security

Professor Jason Dittmer

Jason Dittmer is Professor of Political Geography at UCL. In June 2016, he organised a two-day international conference on the interconnections between science, technology and security, which brought together researchers from diverse disciplines and fields of interest. Professor Dittmer has also taken the lead in organising a postgraduate global security reading group.

Summary output: The GGI has made important strides in consolidating its internal UCL network, with significant progress also made in engaging with external partners, facilitated by four excellent Thematic Directors, as well as a revamped website and branding system.

INSTITUTE ACHIEVEMENTS 2015–16

The GGI has made important strides in 2015–16. Turning its focus to establishing an external support network, while maintaining a strong internal GGI community within UCL, the Institute continued to progress its Implementation Plan 2013-17 (see Annex I). The objectives in 2015–16 have been to ensure continuity of core activities, including public events, research programmes, and policy workshops, while also welcoming the GGI's first external Visiting Fellow and gearing up efforts to make a major intellectual contribution to global governance scholarship and practice through publications.

Institutional strengthening

- Sustained collaboration with the four GGI Thematic Directors and UCL collaborators
- Recruitment of a part-time Research Assistant
- Continued GGI Advisory Board recruitment, including Daniel Franklin, Executive Editor of *The Economist*
- Expansion of the GGI Academic Steering Board
- Establishment of GGI international partnerships, facilitated by the hosting of an international symposium in November 2015
- Continued collaboration with UCL Grand Challenges and the office of the Vice-Provost for International Affairs.

Summary output: The GGI continues to build on strong foundations in its third year of operation. In addition to consolidating its broad UCL-based network, the GGI focused on establishing a high-level external support network.

Global governance research

- Publication of 'Wanted: A New Generation of Global Governance Research' in the leading journal *Governance*
- International symposium of world-leading scholars in the field of global governance hosted by the GGI in November 2015
- Forthcoming publication of a Major Works Collection on Global Governance by Routledge Press, edited by the GGI directorship
- Contract issued for a special issue on global governance by leading journal *Global Policy*, edited by the GGI directorship
- Ongoing research outputs and activities by the four GGI Thematic Directors
- Organisation of two research workshops, facilitating research collaborations among colleagues within and outside UCL.

Summary output: The GGI continues to advance an ambitious, policy-relevant research agenda on global governance that transcends disciplinary silos. In 2015–16, its workshops and research events brought together world-leading scholars and policy experts, including for a major international symposium on 'Situating Global Governance Scholarship.'

Public outreach

- Series of public events held throughout 2015–16 to highlight important issues in global governance, from climate change to drug control policy and fundamental rights in Europe
- Events with high-profile public figures, including Baroness Catherine Ashton and Lord Mervyn King
- Event summaries, videos and broadcasts routinely available on the GGI website
- Collaboration with UCL Grand Challenges on climate change
- Expansion of GGI outreach through its mail list.

Summary output: Public outreach remains a centrepiece of GGI activities, with growing interest among the general public evident in excellent event attendance and positive feedback.



John Knox, UN Special Rapporteur on Human Rights and the Environment, at a GGI public lecture in June 2016



In December 2015, the GGI hosted **Baroness Catherine Ashton**, First Vice President of the EU Commission

Public policy impact

- The GGI collaborated with leading UN agencies and civil society organisations to advance policy thinking on climate change and drug policy, resulting in two widely disseminated policy reports
- The GGI experimented this year with a new closed-door high level policy workshop format. This provided a valuable opportunity for academics and senior policy officials to engage in candid discussion
- Collaboration with UCL Grand Challenges on climate change and human rights events in June 2016
- Regular publication of topical blog posts on global public policy concerns on the GGI website
- The GGI has been invited to sit on the UCL-wide Brexit steering group as well as the Grand Challenges Global Health Working Group.

Summary output: The GGI has continued to serve as a distinctive venue for public policy dialogue among scholars, policy-makers and other interested parties. Our convening ability provides real value-added for diverse stakeholders, contributing to our growing reputation as a leading centre for policy debate and research.

Education and student engagement

- The UCL student-led Global Governance Research and Events Team became the Global Governance and Ethics Society (GGE) in 2015. The formalisation of the Society was celebrated with a joint GGI-GGE event in February 2016, hosting Daniel Franklin, Executive Editor of *The Economist*
- Current and former GGE Masters students continued to support the GGI in various ways, serving as event rapporteurs and blog writers, or photographing and filming public events
- A core group of GGE students served as authors of GGI policy briefs under the supervision of the GGI Deputy Director and colleagues
- The GGI provided editorial and management support to the 2015/16 editorial team of UCL's student run journal *International Public Policy Review*, which successfully produced its annual issue.

Summary output: Student collaboration has continued to be a vital resource for the GGI in realising Institute activities, as well as providing students with an invaluable insight into global governance policy-making and practice.

Funding

- Delivery of Newton Mobility Grant awarded to the GGI and Professor Monica Serrano, Colegio de Mexico, with a series of activities in London and Mexico City through 2015–16
- The GGI has been invited to submit a full application to the Leverhulme Trust: 'Transnational Hybrid Governance: New Research Directions' (see Annex V)
- Preparation for first meeting in November 2016 of an 'International Network of Global Governance Institutes' with a view to exploring ambitious centre grants, including Horizon 2020, National Science Foundation and the ESRC Centre Grants
- Ongoing engagement with UCL benefactors and the UCL Development and Alumni Relations Office.

Summary output: Securing external funding was a priority concern for 2015–16. Unfortunately, the Leverhulme International Network application was unsuccessful. Preparations are underway to ensure submission of high quality ambitious proposals in 2016–17.



RESOURCES AND BUDGET

Current and forecast budget summaries are available and constantly monitored (2013–2017). The Institute leadership is actively exploring funding opportunities from a variety of external sources, including alumni, foundations and trusts to sustain the core business of the Institute.

WORK PLAN FOR 2016–17

The GGI has made substantial progress in 2015–16, both in the advancement of its global policy research profile and public visibility. The Institute is on its way to becoming a key referent point for global governance scholarship and practice in London, the UK and internationally.

Across an ambitious programme of activities, the third year of GGI operations has seen it consolidating its network within UCL as well as gaining influence among partners internationally and in London policy-making centres. This is evident in the ability of the Institute to attract large public audiences to its events, as well as facilitate closed door candid exchanges among leading scholars and top level policy-makers. This year, the GGI has made great strides in its ongoing efforts to contribute to a systematising of a cross-disciplinary convergence in global governance research already underway. Moving into 2016–17, the GGI will seek to consolidate gains made and continue to deliver on its 2013–17 implementation plan. The following table itemises the main outputs to be achieved over the next reporting cycle. Note that the GGI Deputy Director will be on research sabbatical leave in Term 2 of this academic year.

INSTITUTIONAL STRENGTHENING			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
Personnel recruitment	DC/TP	Finalisation of Advisory Board recruitment	Review Apr 2017
	TP/MB	Recruit GGI Research Assistant	Sep 2016
	TP/DC	Recruit new GGI Thematic Directors	Oct 2016
	Theme Directors/TP	Informal meetings across faculties and mini town hall meeting with colleagues across UCL	Ongoing
Engagement with senior UCL colleagues	DC/TP	Consultation with Provost and Vice-Provost International	Review Apr 2017
	DC/TP	Consultation with David Price, Vice-Provost Research and Grand Challenges	Review Apr 2017
	DC/TP	Consultation with Dean of SLASH	Review Apr 2017
Communications	MB/TP	Video recordings of events and interviews on website	Review Apr 2017
	MB/TP	Newsletters, event write-ups and blog posts for website	Review Apr 2017

PUBLIC OUTREACH			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
Public events	DC/TP/MB/Academic Steering Committee	High profile external speakers (e.g. Laurent Fabius, Jeffrey Sachs)	2016–17
	MB/TP	GGI seminar series	Commenced Sept 2016
	LP/TP	Jeffrey Sachs, Columbia University	Review Apr 2017
	Various UCL-GGI associates	UCL collaborative events (e.g. UCL European Institute, STEaPP, Laws)	Review Apr 2017
	Various UCL-GGI associates	External collaboration (e.g. WZH, Leuven, EUI and ESADE)	Review Apr 2017
Visibility	MB/TP	Enhance website and increase visitor traffic	Review Apr 2017
	MB	Event publicity and banner	Review Apr 2017

INNOVATIVE GLOBAL GOVERNANCE RESEARCH			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
GGI research output	DC/TP	Special issue in <i>Global Policy</i>	Review Apr 2016
	DC/TP	Major Works Series on Global Governance: Routledge	Nov 2016
	DC/TP	Inaugural meeting of the 'International Network of Global Governance Institutes'	Nov 2016
	DC/TP/Brigid Laffan	GGI collaboration with EUI Global Governance Programme	Review Apr 2017
	Thematic Directors/TP	Activities facilitated by GGI Thematic Directors	Review Apr 2017
Research platforms	DC/TP	Launch GGI Visiting Fellows programme	Review Apr 2017
	TP/Ian Scott	Climate change after Paris Agreement event	Nov 2016
	DC/TP	Research workshops and conferences	Review Apr 2017
	DC/TP/Thematic Directors	GGI Town Hall as venue to identify UCL collaborations	Dec 2016
	TP/MB	GGI seminar series (identify research outputs)	Review Apr 2017

 WORK PLAN FOR 2016–17 (CONTINUED)

PUBLIC POLICY IMPACT			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
Policy interventions	TP	Build media strategy (e.g. target publications with articles/op-eds)	Review Apr 2017
	TP/Ian/Scott/Sarah Chaytor/Olivia Stevenson	Build upon policy impact in alliance with UCL Grand Challenges and Public Policy	Review Apr 2017
	TP/Joanne Scott and Maria Lee	Build upon policy impact in alliance with UCL Laws	Review Apr 2017
	DC/TP/Elliot Conway (Pentlands)	Build upon policy impact in corporate social responsibility in alliance with Stephen Rubin and Pentland Groups	Review Apr 2017
	Lauge Poulsen/TP/MB	Policy briefs and meeting reports based on high-level policy seminars	Review Apr 2017
Policy networking	DC/TP/Advisory Board	Identify new collaborators within and outside UCL (e.g. Global Counsel, Open Society)	Review Apr 2017

EDUCATION AND STUDENT ENGAGEMENT			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
Education and training	TP	Flagship module for the MSc in Global Governance and Ethics	Ongoing
	DC/TP/Albert Weale	Contributing to new international Executive Masters in Public Administration (EMPA) and NYU alliance	Review Apr 2017
Student activity	TP	Facilitate consolidation of student-led Global Governance and Ethics Society	Ongoing
	TP/MB/RA	Student volunteers to assist with website content, policy briefs, and event management	Ongoing
	TP/MB/Dimitrios Kraniotis	Support IPPR 2016-17 editorial team	Review Apr 2017

FUNDING			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
Grant applications	DC/TP/RA	Large grant preparation and submission (e.g. ESRC Centre Grant, ERC, Horizon 2020)	Review Apr 2017
	DC/TP/UCL-GGI associates	Review small grant preparation (British Academy, Leverhulme)	Review Apr 2017
	TP/DC/RA	ESRC Research Grant on third generation	Review Apr 2017
	Theme Directors/TP	Project grant applications which incorporate the GGI as a partner	Review Apr 2017
Other sources	DC/TP/Academic Steering Committee	Further avenues for external funding	Review Apr 2017

LOOKING TOWARDS 2016–17

The GGI constantly seeks to strengthen the profile of UCL as a leading centre for global governance research and practice. This past year, the Institute has been gratified by the support received from our many valued partners both within and outside UCL and we look forward to building upon these associations. In 2016-17, we will also be looking to upgrade our governance arrangements. In particular, we will host the first meeting of the recently assembled GGI Advisory Board, bringing together leaders from across diverse sectors, including media and commerce. This represents an exciting new phase of development and promises to enhance our influence in global circles and policy-making venues. We will continue to work closely with collaborators across UCL's Vice-Provost offices as well as the Development and Alumni Relations Office to bring our important work to a wider audience and expand our pool of potential supporters.

Prospective events and public lectures 2016–17

- 'How Do Diplomats Know What They Know?', Merje Kuus (University of British Columbia), 12 October 2016
- Inaugural meeting of the 'International Network of Global Governance Institutes', 11–12 November 2016
- 'Global Governance: Fragmentation, Stagnation, or Transformation?', Miles Kahler (American University), 11 November 2016
- 'Ten years of Torture Prevention at the UN: Achievements and Challenges', Malcolm Evans (University of Bristol), 22 November 2016
- 'Climate Governance after the Paris Agreement' research workshop, 25 November 2016
- 'Climate Governance after the Paris Agreement – A Panel Discussion' (Panel: Sharon Turner, Lavanya Rajamani, Jacob Werksman, Franck Lecocq), 25 November 2016
- 'Liberalism's Legacies: The Power of Liberal Ideas in Contemporary Europe', Mark Vail (Tulane University), 25 November 2016 (joint event with UCL European Institute)
- 'Combatting Modern Slavery', Kevin Hyland (UK Anti-Slavery Commissioner), 30 November 2016


























WHICH THE FIRST STONE WAS LAID ON 30 APRIL
BY HERM THE DUKE OF SUSSEX
DECLARED TO BE COMPLETE, ON 13 NOVEMBER 1967
BY HER MAJESTY QUEEN ELIZABETH II



| ANNEX |

| GLOBAL
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ANNEX 1: IMPLEMENTATION PLAN 2013–17

Activities	2013–14			2014–15			2015–16			2016–17		
	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3
GGI	Website launch and development 											
	Consultation with stakeholders 											
	Investigate external collaborations 											
Public Outreach	Public event series 											
	Official launch 											
	Power Residencies 											
Research	Scoping workshop 											
	Develop research programme 											
	Identify UCL Thematic Leaders 											
	Appoint UCL Fellows 											
	External visiting fellows 											
	Identify publisher for book series/journal 											
Public Policy	Policy briefing and working papers 											
	High-level policy workshop 											
	Visiting practitioner fellowships 											
	Publications from research programme 											
Education	Contribute to existing modules 											
	Exec. education programme 											
	Est. alumni network and student mentors 											
Funding	Investigate grant opportunities 											
	Identify private donors 											
	Executive training workshops 											
	Summer school programme 											

ANNEX II: INSTITUTE OUTPUT 2015–16

- To view event videos:
www.ucl.ac.uk/global-governance/events
- To view research publications and policy briefs:
www.ucl.ac.uk/global-governance/research-articles
- To view event blogs and commentaries:
<https://www.ucl.ac.uk/global-governance/commentaries>

Public events

- ‘Climate Change, the Greatest Threat to Human Rights in the 21st Century’, John Knox (UN Special Rapporteur on Human Rights and the Environment), 24 June 2016
- ‘Global Drug Policy, Human Rights and Public Security: Perspectives from Latin America’, Professor Julia Buxton (Central European University) and Professor Monica Serrano (Colegio de Mexico), 14 June 2016
- ‘The Governance Structure of 21st-Century International Society’, Professor Jack Donnelly (Joseph Korbel School of International Studies, Denver University), 9 June 2016
- ‘Iran and Turkey – Allies or Adversaries?’, Rt Hon Jack Straw, jointly organised by GGI and UCL SPP, 26 May 2016
- ‘Wanted: A Third Generation of Global Governance Research’, Guest Lecture by Dr Tom Pegram (GGI) at Leuven Centre for Global Governance Studies, Leuven University, 19 May 2016
- ‘The End of Alchemy: Money, Banking and the Future of the Global Economy?’, Lord Mervyn King (Governor of the Bank of England, 2003-2013), 10 May 2016
- ‘The Civil Society Project: What Went Wrong?’, Aseem Prakash (Founding Director, University of Washington Center for Environmental Politics), 5 May 2016
- ‘The United Nations – Has Our Global Experiment Worked?’, Natalie Samarasinghe (Executive Director of UN Association, UK), 3 May 2016
- ‘Towards a Global Low-Carbon Transition. Challenges and Opportunities’, Dr Michele Stua (SPRU, University of Sussex), 22 March 2016
- ‘Wanted: A Third Generation of Global Governance Research’, Guest Lecture by Dr Tom Pegram (GGI) at UCL Energy Institute, 1 March 2016
- ‘Blood Oil: Tyrants, Violence and the Rules that Run the World’, Professor Leif Wenar (King’s College London), 29 February 2016
- ‘Norms in Conflict. The International Drug Control Regime and Human Rights’, Professor Monica Serrano (Colegio de Mexico), 9 February 2016
- ‘The World in 2016’, Daniel Franklin (Executive Director of The Economist), 8 February 2016
- ‘Who Has the Power to Make Borders in Today’s World?’ Professor Saskia Sassen (Columbia University), 2 February 2016
- ‘Climate Wars? A Commentary’, Professor Halvard Buhaug (Peace Research Institute Oslo), 19 January 2016
- ‘Reflections on the Challenges of Foreign Policy’, Baroness Catherine Ashton (former First Vice President of the EU Commission), 8 December 2015
- ‘Dealing with the Refugee Crisis: Europe and Britain’, joint GGI/UCL School of Public Policy event, 26 November 2015
- ‘Giving Teeth to International Human Rights Treaties: Commissions, Courts and Corporations – a Practitioner’s Perspective’, Brian Burdekin (Raoul Wallenberg Institute of Human Rights and Humanitarian Law, Sweden), 16 November 2015
- ‘Law and Distributive Struggle in Global Political Economy’, Professor David Kennedy (Harvard Law School), 12 November 2015
- ‘Human Rights: Early Days or End of Times?’, Morten Kjaerum (Director of the Raoul Wallenberg Institute of Human Rights and Humanitarian Law, Sweden), 3 November 2015
- ‘Arctic Resource Futures. An Expert Panel Discussion’, organised by Dr Ilan Kelman (GGI-Global Environment), 21 October 2015
- ‘U.S. Foreign Policy in 2015: Challenges and Opportunities’, Elisabeth Dibble (US Deputy Chief of Mission in London), 20 October 2015
- ‘Pathways to Prosperity: Epistemologies from the Global South’, Professor Henrietta Moore (Director, UCL Institute for Global Prosperity), 13 October 2015.

International conferences

- ‘Science/Technology/Security: Challenges to Global Governance?’, organised by Professor Jason Dittmer (GGI-Global Security), 20-21 June 2016
- ‘Climate Change and Islands: Challenges and Opportunities’, organised by Dr Ilan Kelman (GGI-Global Environment), 25 May 2016
- ‘Power, Development and Messy Politics: Dilemmas of International Aid’, organised by Dr Avia Pasternak (GGI-Global Justice), 18 January 2016
- ‘Situating Global Governance Scholarship: Reframing the Field’, organised by Dr Tom Pogram (GGI), 12-13 November 2015
- ‘Arctic Change Workshop’, organised by Dr Ilan Kelman (GGI-Global Environment), 20-21 October 2015.

Research workshops

- ‘Regional Perspectives on Human Rights Regime Effects’, University College London, organised by Dr Par Engstrom (UCL, Institute of the Americas) and Dr Tom Pogram (GGI), 10 June 2016
- ‘Have Human Rights Practices Improved? A Rebuttal of Fariss’s Method and Conclusions’, with Professor David Cingranelli, 6 June 2016
- ‘Centre for Ethics and Law - GGI Mini-Workshop’, University College London, organised by Dr Iris Chiu (UCL Laws) and Dr Tom Pogram (GGI), 17 February 2016.

International policy workshops

- ‘Human Rights & Climate Change: Connecting the Dots’, GGI/Unicef joint event, University College London, 24 June 2016
- ‘Global Drug Policy, Human Rights and Public Security: Perspectives from Latin America’, organised by Dr Par Engstrom (UCL, Institute of the Americas) and Dr Tom Pogram (GGI), 14 June 2016
- ‘Narco-Trafficking, Organised Crime and Human Rights: International Regimes in Tension’, GGI and Colegio de Mexico, Mexico City, 14 January 2016.

High-level policy workshops

- ‘Demystifying Moody’s Sovereign Bond Rating Methodology’, Yves Lemay (Managing Director of Moody’s), organised by Dr Mike Seiferling (GGI Senior Fellow), 26 November 2015
- ‘The Work and Challenges of the ICSID’, Meg Kinnear (Secretary-General of the International Centre for Settlement of Investment Disputes), organised by Dr Lauge Poulsen (GGI Senior Fellow), 17 September 2015.

GGI community research publications

- Book publication: Major Works Collection: Global Governance II, eds. D. Coen and T. Pogram (London: Routledge Press, under contract)
- Journal publication: Tom Pogram, ‘Stewardship and Intermediation: Comparative Lessons from Human Rights Governance’, *The Annals of the American Academy of Political and Social Science* (forthcoming)
- Journal publication: David Coen and Tom Pogram (2015) ‘Wanted: A Third Generation of Global Governance Research’, *Governance*, vol. 28(4), 417-420
- Book chapter: Tom Pogram, ‘Global Human Rights Governance: Searching for Pathways Through or Beyond Gridlock?’ In T. Hale and D. Held (eds.), *Beyond Gridlock* (forthcoming)
- GGI working paper: Niki White, ‘Contestation and Collaboration in the International Food Security Debate regarding GMOs: A Regime Analysis of the WTO and the FAO’, August 2016/06
- GGI working paper: Sophie Anne-Jung, ‘The Competition within Global Health Governance: Normalising the HIV/AIDS Agenda’, August 2016/07.

Policy briefs and meeting reports

- ‘Human Rights and Climate Change: Connecting the Dots’, Policy Report co-published by the GGI and Unicef UK, September 2016
- ‘Global Drug Policy, Human Rights and Public Security: Perspectives from Latin America’, Policy Report co-published by the GGI, the UCL Institute of the Americas and the Centre for Social and Legal Studies (CELS), September 2016.



Blog reports by student rapporteurs

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- ‘US Foreign Policy in 2015: A Round-The-World Tour’. On a public lecture by Elizabeth Dibble. By Iris Baño Romero (MSc Global Governance and Ethics, UCL), 30 November 2015
- ‘Taking the Road Less Travelled: New Pathways to Prosperity’. On Professor Henrietta Moore’s public lecture. By Robert Rix (GGI Research Assistant), 16 October 2015.

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ANNEX IV: WANTED: A THIRD GENERATION OF GLOBAL GOVERNANCE RESEARCH

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Global governance is not working. The rapid development of economic globalisation and deepening interdependence of crossborder activity belie the relative absence of governance mechanisms capable of effectively tackling global public policy issues.

From financial regulation to non-communicable diseases, biopathogen containment, and, of course, climate change mitigation, global governance is failing to find solutions. It is imperative that we make progress in understanding blockage and ways through.

A first generation of global governance research, principally in international relations (IR), has focused almost exclusively on formal mechanisms of interstate relations within public multilateral institutions, such as the United Nations and the World Bank. With these structures apparently in gridlock, many observers now regard global governance to be in crisis.

However, a second generation of disparate scholarship spanning IR, European Union Public Policy (EPP) and International Law (IL) has begun to investigate new forms of public and private global governance as a response to the limitations faced by states in tackling pressing transboundary challenges.

IR itself epitomises this rebellion against old orthodoxies, having decisively shifted away in recent years from international relations to world politics, defined not simply by anarchic system structures, but also by an infrastructure built on liberal principles, and the presence of diverse social forces.



We argue here that integration across this theoretically and empirically-rich second generation of scholarship can ground a powerful third generation of global governance research, distinguished by a concern for the complexity and dynamism of global public policy-making and delivery in the new century.

Global problems demand global solutions, but, as social scientists know well, in politics, demand does not translate automatically into supply. Notwithstanding a wealth of insight into governance, far less attention has been paid to its 'global' component, and even less to delivering policy goals.

If governance scholarship is to take global public policy delivery seriously, a more forensic understanding of multi-level governance problem structures is required. It is crucial not to squeeze public policy into a single analytical framework, given that the characteristics of any one regulatory target are likely to vary significantly.

Global governance may have its antecedents in a collective desire to prevent massive violence and destruction. However, the remit has rapidly evolved to encompass a range of global policy challenges where national interests cannot be assumed to align and which have negative externalities far exceeding the regulatory capacities of national government structures.

Across policy domains, from health to climate and finance, we now observe the proliferation of public and private actors engaged in core governance functions, operating under less severe regulatory constraints, and generating much more uncertainty over patterns of behaviour.

While global public policy implementation may be the objective, we must not lose sight of the two halves of the implementation puzzle: strategy and execution. A formidably long series of steps separates the one from the other in a global governance reality defined by power fragmentation, system complexity and uncertainty.

Ground-clearing analytical work on accurate problem identification is required if scholars and practitioners are to devise global policy interventions which actually work. A new generation of global governance research should be both pro-empiricist and antireductionist.

It must also move beyond the collaboration narrative that animates much global governance thinking. Much more attention must be paid to the political strategic environment which informs diverse regulatory goals. A shift towards pooling knowledge and advancing debate across issue-specific governance silos is therefore vital.

The misspecification by conventional frameworks of problem structures, such as principal-agent modelling of multi-level governance systems, has led a second generation of global governance scholarship to strike out into more experimental and dynamic governance frames, giving rise to new research agendas attentive to contingency and adaptivity in governance processes and outcomes.

We propose triangulating insights on the dynamic effects of regulation across EPP, IL and IR to advance a third generation of global governance scholarship and provide a platform for a more pluralist intellectual and practice-oriented scholarship. It is not surprising that EPP provides a highly sophisticated account of multi-level governance, reflecting overlapping jurisdictions and complex delegation chains within a dense supranational governance system.

In addressing implementation, EPP scholars have highlighted the role of cross-national institutional layering, the importance of explaining outcomes post-delegation, as well as – perhaps most consequentially – those street-level bureaucrats who must engage in mediating between elites and domestic interest groups, including civil society, consumers and market actors.

Reflecting, but expanding upon, EPP's geographical horizons, IL scholarship's traditional privileging of hard law and state consent has given way in recent years to a new legal scholarship focused on the dynamic effects of regulation in the 'shadow of hierarchy'. IL scholars, particularly those working in the 'new governance' paradigm, acknowledge that law is not simply a realm of state consent, but rather a reflexive, deliberative and plural component of changing governance landscapes.

New governance approaches in IL have escaped the constraints of standard 'command and control' theoretical modes to specify mechanisms responsive to the severe uncertainty which often informs contractual arrangements above the nation state.

Importantly, IL also brings normativity into focus. Concepts such as legitimacy, accountability, and reciprocity, increasingly familiar across disciplinary lines, have long been a mainstay of IL inquiry. Normative debate on content-dependent hierarchy, transnational conceptions of the 'public good', and interaction of normative orders are core components within a prescriptive concern for global governance delivery.

Completing our triptych, a new generation of IR scholarship directly probes the consequences of power fragmentation for explaining change, reimagining the global as a realm of disputes and confrontation, rather than one driven primarily by interest-alignment within multilateral state forums.

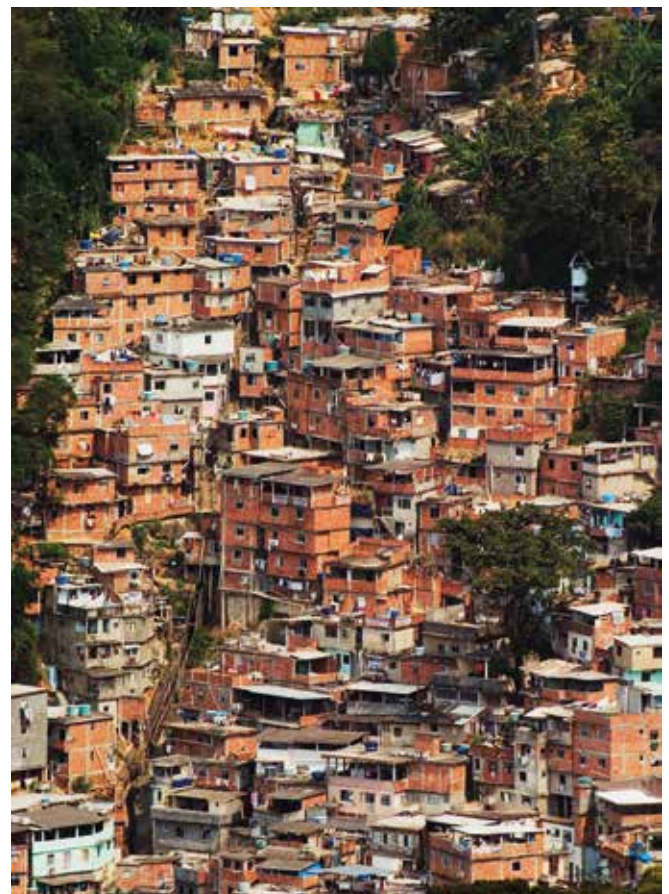
The scaling up of counter-hegemonic activities by rising powers has brought to the fore the importance of understanding power differentials in their historical and geographical context. IR scholarship has also reasserted the role of agency in global governance processes, in particular the relationship between the governors and the governed.

This analytical move has entailed orientating analysis away from design towards a drilling down of incentive structures, interest-alignment, actor positionality, underlying preference arrays and authority allocation among governance 'managers' and their supposed beneficiaries.

Mirroring developments in EPP and IL, efforts to circumvent multilateral deadlock have led to modification of principal-agent frameworks in IR. This has yielded important new insights into the new adaptive opportunity structures which may advance global public policy delivery in a context of accelerating fragmentation.

Notwithstanding the urgency of the endeavour, the 'global' in governance remains largely terra incognita et obscura for many scholars focused on local political systems. Despite a growing profile in IR, cross-fertilisation of global governance research with the fields of EPP and IL has only just begun. This call for a third generation of global governance research underscores the potential contribution of systematising a cross-disciplinary convergence which is already underway.

It is essential for social science scholars to grapple more fully with a globalising governance reality. Failure to do so risks marginalising the discipline. Social science has much to gain, and indeed much to offer, in driving forward a rigorous research agenda focused on closing the implementation circle of strategy and execution.



ANNEX V: LEVERHULME INTERNATIONAL NETWORK FUNDING BID (ABBREVIATED)

Project title: Transnational Hybrid Governance: New Research Directions in Global Governance

Core network partners:

- Dr Shahar Hameiri, University of Queensland
- Dr David Hornsby, University of Witwatersrand
- Dr Lee Jones, Queen Mary, University of London
- Professor Philipp Pattberg, VU Amsterdam
- Dr Tom Pegram, UCL Global Governance Institute
- Professor Monica Serrano, Colegio de Mexico

Overview

The network will engage scholars from across the globe in order to generate comparative research on transnational hybrid governance (THG). THG involves the fusion of distinct public and private-based governance logics into novel, transnational governance modalities and arrangements. An interdisciplinary group of scholars – including experts in the governance of climate change, counternarcotics, food security, health, human rights, and moneylaundering – will employ an innovative methodology to map and explain the emergence of THG across issue areas and assess its policy outcomes. The network will advance cutting-edge scholarship in the fields of international relations, political science, and cognate disciplines.

Context and objectives of the programme

I. Aims and core research themes

The network will engage scholars from across the globe in order to generate comparative research on transnational hybrid governance (THG). THG involves the fusion of distinct public and private-based governance mechanisms into new modes of transnational governance. Specialist literature dealing with specific issue-areas, such as finance and environment, has sometimes described the emergence of these new modes of governance. However, research remains ‘siloesd’, with experts rarely communicating across intra-disciplinary subfields, and virtually no comparative work mapping, explaining and evaluating what we identify as a general trend across multiple policy domains.

This international collaborative project will transcend these silos by producing comparative analysis of THG across six prominent domains: climate change, counter-narcotics, food security, health, human rights, and money-laundering.

The principal objectives of the network are:

- **Mapping THG:** we will collect data across multiple issueareas and use innovative Social Network Analysis methodology to provide the first ever ‘map’ of THG across six key domains of global governance
- **Explaining THG:** by pooling issue-specific and generic governance expertise, the network would generate new theoretical approaches to account for the emergence of THG across issue areas. This work stream will examine the political, social and economic origins of THG
- **Evaluating THG:** our network will also be able to compare policy outcomes of THG across multiple domains, assessing its successes and shortcomings in solving urgent global challenges
- **Forming a truly global network of scholars to study global governance:** our network combines expertise from Europe, North America, and, unusually, the Global South, establishing a platform for future collaboration.

II. State of the Art

Research has begun to investigate the rise of new forms of global governance as a response to the limitations individual states face in responding to pressing transboundary challenges. A number of scholars have identified and described the emergence of what we call THG: the fusing of public and private modes of governance to create global governance regimes. For example, the European Union’s Emissions Trading System seeks to combat climate change by reducing carbon emissions. It does this by combining the authoritative ‘public’ issue of carbon permits and the setting of regulations with a market-based, ‘private’ mechanism of permit trading.

Thus far, however, this research remains ‘siloesd’ into particular issue-areas, despite prima facie evidence that THG is emerging across many policy domains. Consequently, very few scholars have attempted to map, explain or evaluate THG across global governance as a whole. In part, this is due to the inherent difficulties that individual researchers face in collecting and processing a vast body of empirical material.

Our network tackles this problem by assembling leading experts in six key issue-areas (listed above) dedicated to studying THG. By capturing how hybridity actually looks across different issue-areas, the network will be able to generate, test, and refine hypotheses on the emergence and impact of hybridity across these domains, and beyond.

A network that maps, explains and evaluates this trend from a global perspective will generate a new body of empirical and theoretical literatures capable of grappling with the significance of this real world development.

III. Methodology

The network will undertake two stages of research:

- (1) Mapping:** our network members will gather data on the modes of governance prevailing in their issue-area, using a standard research protocol developed by the group at our first workshop. The team at VU Amsterdam, expert in Social Network Analysis (SNA), will use this data to map THG across six issue areas. The Network Facilitator will also have expertise in SNA. Our second workshop will consider our comparative findings and set the agenda for the next research stage. The network's final data set and maps will be made publicly available on a project webpage, providing an important basis for future research.
- (2) Explaining and evaluating:** the network will use the comparative insights generated by the mapping exercise to help generate hypotheses about THG, which will then be tested across issue areas.

Here we will focus on: (a) causal explanations for the emergence of THG – its form, modes of operation, content, actors involved, timing etc – and (b) evaluations of the output of the THG regimes – whether or not they succeed in tackling the problems for which they are developed, and why. The network will then reconvene to share findings and develop comparative insights across the different issue-areas.

The proposed network structure will significantly advance research in a way which no single scholar, or even group of scholars in the same discipline, could accomplish.

Significance of the Network

The Network represents an original departure from existing approaches in global governance research which is currently 'siloed' into narrow issue areas. By establishing a network of scholars, each expert in their particular issue-areas, but all committed to investigating transnational hybrid governance (THG), the network will be uniquely well-placed to investigate a phenomenon which has not received substantial scholarly attention. Scholars will collaborate to design interdisciplinary and innovative research methodologies to subject the emergence of THG across policy domains to close scrutiny. Very few scholars have sought to map, explain or evaluate THG across global governance domains. This network of expert scholars will be uniquely well-placed to overcome the difficulties associated with yielding meaningful comparative insight into the emergence and impact of hybridity.

The Network will disseminate research through workshops, working papers, peer-reviewed academic publications, and a website. These activities will contribute to a second generation of global governance scholarship, one attuned to evaluating trends, such as THG, from a global comparative perspective.

Specific outputs include:

Peer-reviewed academic publications: special issue in the field of international politics, joint-authored article in top tier journal outlet, and an edited volume monograph.

Website: searchable depository on THG, Social Network Analysis approaches to mapping THG, working papers, and a blog. The website will ensure ongoing information-sharing.



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