

## UCL GLOBAL GOVERNANCE INSTITUTE ANNUAL REPORT 2014–2015



# BUILDING ON STRONG FOUNDATIONS

#### **SUMMARY OVERVIEW**

The UCL Global Governance Institute (GGI) has continued to build on strong foundations in its second year of operations. This year has been notable for consolidating engagement with UCL colleagues, as well as with our wider domestic and international networks. Our 2014–15 event series has featured such luminaries as Professor Robert Keohane, Princeton University, and Peter Chase, Vice President of the US Chamber of Commerce. The GGI enters its third year of operation with a growing profile in this vital field of scholarship and global public service. A number of scheduled landmark events – including an International Symposium on global governance research in November 2015 – promise to further cement UCL's reputation as home to one of the top Global Governance Institutes in the world.



In 2014-15 the GGI has actively sought to collaborate with leading experts from across UCL to advance core objectives. The successful recruitment of outstanding UCL researchers to serve as Thematic Directors has facilitated GGI activities across its thematic priorities: Global Justice and Equity, Global Economy, Global Governance, Global Security, and Global Environmental Sustainability. GGI capacity expansion is hoped to be expanded in 2015–16 through an International Network bid to The Leverhulme Trust, and preparations are underway for further major grant applications.

A central priority of the GGI has been to provide an independent source of innovative public policy research which can deliver high quality and timely interventions on major governance concerns. This is evident in a growing portfolio of research publications by the core GGI team, in collaboration with GGI Senior Research Fellows and other colleagues. The new UCL- GGI Policy Brief series has served as an important platform for showcasing policy-focused research in fields as diverse as international law and climate change. GGI impact has been further enhanced by the launch of a revitalised website in April 2015.

Bringing expert knowledge on possible policy solutions to global governance problems to a bigger audience is a key part of the Institute's mission. This involves building new partnerships with policy-makers, practitioners, civil society and other actors. Major efforts have been dedicated to scaling up collaborations with potential third parties across the public and private sector, including Open Society Foundation and Price Waterhouse Cooper. The GGI will host a series of high-level private policy seminars in 2015-16 to ensure that UCL becomes a vital point of reference at the highest levels of business and government.

The GGI has been particularly keen this year to engage with future global governance leaders within our own community. The launch of the Global Governance Research and Events Team (GGREAT), a UCL student-led interdisciplinary group focused on the promotion of student engagement with global governance issues, has been particularly welcome and we look forward to continuing our support for this initiative.

THIS ANNUAL REPORT PROVIDES A WORK PLAN TO ACHIEVE INSTITUTE OBJECTIVES FOR 2015-16 AND WILL BE PLACED UNDER CONSULTATION WITH THE GGI ACADEMIC STEERING COMMITTEE, THE INSTITUTE'S BOARD OF ADVISORS, THE PROVOST'S COUNCIL AND EXTERNAL STAKEHOLDERS. WE WOULD BE DELIGHTED TO RECEIVE ADDITIONAL INPUT.

The Director, David Coen, and Deputy Director, Tom Pegram, of the GGI can be contacted by email at d.coen@ucl.ac.uk and t.pegram@ucl.ac.uk.

#### **INSTITUTE ACHIEVEMENTS 2014–15**

The Global Governance Institute is a University-wide initiative. It has achieved a series of milestones in accordance with the Implementation Plan 2013–17 (see Annex 1). The objectives in 2014–15 were to consolidate our internal network at UCL, investigate external collaborations, appoint Thematic Directors, implement a publication programme, and continue to facilitate cross-disciplinary collaboration on research, education and policy impact. More information can be found on the GGI website: www.ucl.ac.uk/global-governance. Key outputs include:

#### **INSTITUTIONAL STRENGTHENING**

- Recruitment of five GGI Thematic Directors
- Launch of GGI Senior Research Fellowships, with the recruitment of Dr Michele Acuto (STEaPP), Dr Kristin Bakke (SPP), Dr Lauge Poulsen (SPP), Dr Mike Seiferling, and Dr Tristan Smith (Energy Institute)
- Recruitment of part-time Research Assistant
- Continued GGI Advisory Board recruitment, including Stephen Rubin OBE and a representative from Price Waterhouse Cooper
- GGI Academic Steering Board expanded
- Consolidation of GGI UCL network, facilitated by informal meetings with UCL colleagues as well as Town Hall meeting hosted in June 2015 (see Annex 2)
- Streamlining of GGI priorities with Global Engagement Strategy launched by Vice-Provost for International Affairs in May 2015
- Initial collaborative discussions with Brigid Laffan at Schumann Centre for Advanced Studies at European University Institute (EUI), Boston University Global Economic Governance Initiative, Richard Locke at Watson Institute, Brown University, and Yale
- GGI website and branding system revitalised: www.ucl.ac.uk/global-governance



## Our four UCL Thematic Directors have made an invaluable contribution to GGI institutional strengthening (see Annex 3 for more information on Director' outputs). Individual activities include:



#### Global economy

#### (Professor Stephen Smith, UCL Economics):

Professor Smith has continued to research environmental economics, with a particular focus on emissions taxation. This will feed into GGI activities moving forwards. Stephen is keen to facilitate future events following the Paris climate negotiations, with emphasis on the negotiating position of Global South emerging powers and the benefits of carbon reduction. Stephen will also enable collaboration with Christian Dustmann and the Centre for Research and Analysis on Migration (CReAM) at UCL Economics.



#### Global justice and ethics

#### (Dr Avia Pasternak, UCL School of Public Policy):

Dr Pasternak organised a public lecture with Professor Allen Buchanan who spoke on 'Revolution, Democracy and Self-Determination'. The workshops 'Ethics of Global Philanthropy' and 'The Changing Nature of Political Obligations' were held in 2014/15, with the support of the GGI. In January 2016, Dr Pasternak and colleagues from UCL School of Public Policy will organise a workshop on development aid and complicity in non-democratic states. She will also continue to take a leading role in facilitating engagement between students on the UCL MSc Global and Ethics programme and the GGI.



## Global environmental sustainability

#### (Dr Ilan Kelman, UCL):

Institute for Risk and Disaster Reduction and UCL Global Health Institute): Dr Kelman gave a GGI public lecture on global environmental sustainability in 2014/15 and will organise the workshop 'Arctic Change' in October 2015 and 'Small Island Developing States and Climate Change' in May 2016, bringing together leading scholars and practitioners. The workshops will be co-hosted by the GGI with UCL Institute for Global Health and UCL Institute for Risk and Disaster Reduction with the findings to be published in a journal special edition.



#### **Global security**

#### (Professor Jason Dittmer, UCL Geography):

Professor Dittmer forms a key part of the GGI International Network bid to the Leverhulme Trust on transnational hybrid governance (first phase successful). Preparations are underway for a panel debate in January 2016 on 'Climate Conflict', which will feature a keynote by Professor Halvard Buhaug of the Peace Research Institute Oslo. GGI will host a two-day conference, organised by Professor Dittmer, in June 2016 on Global Security, with the conference output to be published in a special edition of an appropriate journal. Professor Dittmer has also taken the lead in organising a postgraduate global security reading group.

Summary output: the GGI has made important strides in consolidating its internal ucl network, with significant progress also made in engaging with external partners, facilitated by four excellent thematic directors, as well as a revamped website and branding system.

#### **PUBLIC OUTREACH**

- A series of public events and public lectures held through 2015-16 to highlight important issues in global governance (see Annex 3)
- Events with high-profile external figures (see Annex 3)
- Event videos and interviews posted on GGI website (see Annex 3)
- Radio broadcast by GGI Senior Research Fellow Dr Lauge Poulsen (see Annex 3)
- Internal and external mail list continually growing.

Summary output: Public outreach activities have remained a priority for the Institute, events continue to be well attended and very positive feedback received from audience members

#### INNOVATIVE GLOBAL GOVERNANCE RESEARCH

- GGI call for a new "third generation" of global governance research to be published in international journal Governance the journal in November 2015
- GGI has played host to some of the biggest names in global governance research in 2014-15, including representatives from peer institutions in Europe, North America and the Global South (notably: European University Institute (EUI), New York University (NYU) and Colegio de Mexico (COLMEX))
- Ongoing research outputs by GGI Thematic Directors: Professor Jason Dittmer, Dr Ilan Kelman, Dr Avia Pasternak, and Professor Stephen Smith (see Annex 3).
- Series of publications on global governance, co-authored by GGI Deputy Director and Senior Research Fellows and other UCL colleagues (see Annex 3)
- Contract issued to GGI Director and Deputy Director by publishers Routledge for a Major Works Collection on Global Governance
- Workshop supported by UCL Office for International Affairs, including Global South scholars, organised in May 2015 leading to bid for Leverhulme International Network grant (outline application successful)
- GGI internal UCL research network consolidated as attested to in Leverhulme Centre Grant bid (Annex 3)
- Proposal for GGI to serve as an active collaboration hub for scholars from across UCL received warmly by participants at well-attended GGI Town Hall meeting.

Summary output: research activities have gathered momentum this year, with a range of publishers expressing interest in facilitating our objective to make a major intellectual contribution to what remains an emergent field of scholarship.

#### **PUBLIC POLICY IMPACT**

- High-level policy seminars held under auspices of GGI in association with UCL institutes and faculties, including Science Technology and Public Policy (STEaPP), UCL Laws, School of Public Policy
- GGI policy briefs published (see Annex 3)
- Collaboration with high profile external organisations on meetings and follow-up activities, including The Clinton Global Initiative, Pentlands Group, Bloomberg News, Price Waterhouse Cooper and US Chamber of Commerce
- Engagement with domestic and international policy-makers, practitioners and civil society including Open Society
   Foundation, Chatham House, Global Counsel, and The Economy for the Common Good.
- Collaboration with UCL Grand Challenges on Climate Change Adaptation event, June 2015. Facilitated rapporteur initiatives by UCL students on the MSc Global Governance and Ethics programme.

Summary output: progress on public policy impact has been very positive. Demand by policy-makers and external stakeholders for a platform for constructive dialogue across sectors on topical global issues demonstrates a clear gap in the market which the GGI is exceptionally well-placed to fill.



#### **EDUCATION AND STUDENT ENGAGEMENT**

- Regular student participation in GGI events and follow-up activities, including filming of events, meeting reports and blog entries (see Annex 3)
- Formal linkage with key teaching programmes at UCL, including the MSc in Global Governance and Ethics provided by the Department of Political Science/School of Public Policy
- Collaboration with the new UCL Executive MPA in global public policy and management with NYU Wagner, including support for the Pentland Scholarship to facilitate applications for future policy leaders in the Global South
- Provided editorial and management support to the 2014/15 editorial team of the UCL journal International Public Policy Review which produced two issues over the academic year
- GGI support for establishment of the UCL student-led Global Governance Research and Events Team (GGREAT)
- UCL alumni, Olivia Robinson, selected to represent the UK at a summit of global leaders, One Young World, in Bangkok in November 2015. Olivia will engage with the GGI and GGREAT upon her return.

Summary output: We are particularly keen to deepen engagement with our highly entrepreneurial students and postgraduates at UCL. The launch of GGREAT will provide a formal platform through which to scale-up our collaboration with the UCL student community.

#### **FUNDING**

- Newton Mobility Grant (GGI co-applicant). Project title: Regime Interactions and Impact in Transnational Narcotics Governance. £9750 awarded: July 2015
- Co-applicant with colleagues at UCL in support of individual PI funding applications to The Volkswagen Foundation, ESRC, among others
- Wellcome Trust public engagement award on global health (GGI co-applicant). Awarded to Albert Weale: Nov 2014
- Leverhulme Trust International Network Grant. Project: Transnational Hybrid Governance: New Research Directions. Outline application successful: August 2015.
- Leverhulme Centre Grant (application compiled in 2014/15, but did not go forward). The application will be reworked to provide the catalyst and foundations for future centre grant application(s).
- Preparations for ambitious centre grant bids in 2015-16, including discussions with colleagues at the European University Institute (EUI) on possible Horizon 2020 bid. Targeted calls: ESRC Centre and Large Grants, Horizon 2020.

Summary output: The exploratory stage is completed and the GGI core team will be devoting significant energy to the submission of high-quality ambitious proposals in 2015-16.

#### **RESOURCES AND BUDGET**

Current and forecast budget summaries are available and constantly monitored (2013-2017). The Institute leadership is actively exploring funding opportunities from a variety of external sources, including alumni, foundations and trusts to sustain the core business of the Institute. Faculty funds are expected to be allocated to the GGI to facilitate the first cohort of visiting fellows. As noted above, the Institute will also apply for external research grants in 2015-16.



#### **WORK PLAN FOR 2015–16**

The second year of GGI activities has been highly successful and the Institute's strategic approach continues to evolve to consolidate gains made. Research mapping exercises are now giving way to implementation of a publication strategy which seeks to firmly place UCL at the forefront of global governance research and policy impact. The following table itemises the main outputs to be achieved under each core implementation priority heading over the next reporting cycle. If the first year was focused principally on a grass-roots effort within UCL to establish a GGI internal network, the second year has been devoted to consolidating the GGI UCL network, as well as scaling up partnerships with external colleagues, institutions and interested parties. The third year will be dedicated to placing the GGI on a firm financial footing through exploration of ambitious grant applications and other potential funding sources. In sum, good progress has been made during the current reporting period in consolidating GGI strategic growth. Activities will continue to build upon these achievements.

Institutional strengthening					
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe		
	DC/TP	Finalisation of Advisory Board recruitment	Review Jan 2016		
	TP/MB	GGI Research Assistant	Aug 2015		
Personnel recruitment	SLASH Dean/DC/TP	GGI Fellows	Review Jan 2016		
	Theme Directors/TP  Informal meetings across faculties and mini town hall meeting with colleagues across UCL		Ongoing		
	DC/TP	CONSultation with Provost and Vice-Provost International			
Engagement with senior UCL colleagues	DC/TP	Consultation with David Price, Vice-Provost Research	Review Jan 2016		
	DC/TP	Consultation with Dean of SLASH	Review Jan 2016		
Communications	МВ/ТР	Video recordings of events and interviews on website	Review Jan 2016		
	МВ/ТР	Newsletters, event write-ups and blog posts for website	Review Jan 2016		

Public Outreach					
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe		
	DC/TP/MB/Academic Steering Committee High profile external speakers (e.g. US Ambassador, Catherine Ashton, Dame Valerie Amos)		2015-16		
	MB/TP	GGI seminar series	Commences Sept 2015		
Public events	Clinton Global Initiative Chelsea Clinton public lecture facilitated on global health governance		Review Jan 2016		
	Various UCL-GGI associates	UCL collaborative events (e.g. UCL Laws, STEaPP, Economics)	Review Jan 2016		
	Various UCL-GGI associates	External collaboration (e.g. NYU, EUI, OECD)	Review Jan 2016		
Visibility	МВ/ТР	Enhance website and increase visitor traffic	Review Jan 2016		
	МВ	Build mail-list server	Review Jan 2016		

## WORK PLAN FOR 2015-16 (cont'd)

Innovative global governance research					
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe		
	DC/TP	Commentary on third generation of global governance: <i>Governance</i>	Nov 2015		
	DC/TP	Major Works Series on Global Governance: Routledge	Under contract		
GGI research output	DC/TP	Symposium on Global Governance leading to journal special issue	Nov 2015		
	DC/TP/Brigid Laffan	GGI collaboration with EUI Global Governance Programme	Review Jan 2016		
	Thematic Directors/TP	Activities facilitated by GGI Thematic Directors	Review Jul 2016		
	Cecile Laborde/DC/TP	Collaboration with the International Panel on Social Progress	Event 2016		
	TP/Michele Acuto	Leverhulme International Network on Hybridity in Global Governance	December 2015		
Research platforms	DC/TP	Research workshops and conferences leading to publication	Review Jan 2016		
	DC	Discussion with CUP on IPPR journal re-launch (facilitated by Albert Weale)	Ongoing		
	DC/TP/Thematic Directors	GGI Town Hall as venue to identify UCL collaborations	Jun 2016		
	TP/MB	GGI seminar series (identify research outputs)	Review Jan 2016		

Public policy impact					
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe		
	TP	Build media strategy (e.g. target publications with articles/opeds)	Review Jan 2016		
	TP/Michele Acuto	Build upon policy impact in alliance with UCL STEaPP	Review Jan 2016		
Policy interventions	TP/Tristan Smith	Build upon policy impact in alliance with UCL Institute of Energy	Review Jan 2016		
	DC/TP/Elliot Conway (Pentlands)	Build upon policy impact in corporate social responsibility in alliance with Stephen Rubin and Pentland Groups	Review Jan 2016		
	Lauge Poulsen/TP/MB	Policy briefs and Meeting Reports based on high-level policy seminars	Review Jan 2016		
Policy networking	DC/TP	Identify new collaborators within and outside UCL (e.g. Global Counsel, Open Society)	Review Jan 2016		
	DC/TP/Jason Dittmer/Kristin Bakke	Consolidate network, especially on Global Security thematic (e.g. Policy Network, CSR and living wage)	Review Jan 2016		
	DC/TP/David Hudson	Reach out to international organizations and agencies (e.g. ODI, DFID, OECD)	Review Jan 2016		

Education and student engagement						
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe			
Education and training	TP	Flagship module for the Masters in Global Governance and Ethics	Ongoing			
	DC/TP/Albert Weale	Contributing to new international Executive Masters in Public Administration (EMPA) and NYU alliance	Review Jan 2016			
Student activity	TP/MB	Student volunteers to assist with website content, policy briefs, and event management	Review Jan 2016			
	TP/Tristan Smith	Student trip to observe negotiations at the International Maritime Organization	May 2016			
	TP/MB/Dimitrios Kraniotis	Support IPPR 2015-16 editorial team	Review Jan 2016			

#### WORK PLAN FOR 2015-16 (cont'd)

Funding			
Activities scheduled	Lead responsible	Main outputs to be achieved	Timeframe
	DC/TP	Large grant preparation and submission (e.g. ESRC Centre Grant, ERC, Horizon 2020)	Review Jan 2016
	DC/TP/UCL-GGI associates	Leverhulme Centre Grant application	Jan 2016
Grant applications	TP/Michele Acuto/GGI associates	Leverhulme International Network Grant (outline application successful: Aug 2015)	Dec 2015
	TP/Michele Acuto  ESRC Research Grant on hybridity in global governance		Review Jan 2016
	TP/MB/Lorraine Elliot (ANU)	Leverhulme Visiting Professorships (in progress)	Review Nov 2015
	Theme Directors/TP	Project grant applications which incorporate the GGI as a partner	Review Jan 2016
Other sources	DC/TP/Academic Steering Committee	Further avenues for external funding	Review Jan 2016

#### **LOOKING TOWARDS 2015-16**

The Institute is on course to establish UCL as a world leader in this key field of scholarship and global public policy. In a competitive field, the GGI stands out as the only Global Governance-dedicated institute in the UK, located in one of the highest ranked universities in the world. This is an extraordinary opportunity. The Institute will continue to facilitate colleagues from across UCL as they undertake in-depth, cross-sectoral study of pressing problems in governance. It will also position the GGI as a crucial link between UCL academic research and leaders in wider society, including the worlds of government and commerce.

The GGI is establishing a track record for rigorous and provocative scholarship and public policy interventions. As we move into 2015-16, the Institute will continue to prioritise building upon a robust network of research and strategic partnerships based on shared goals. Particular attention will be devoted to placing the Institute on a firm financial footing through ambitious research grant proposals and consultation with potential external supporters.

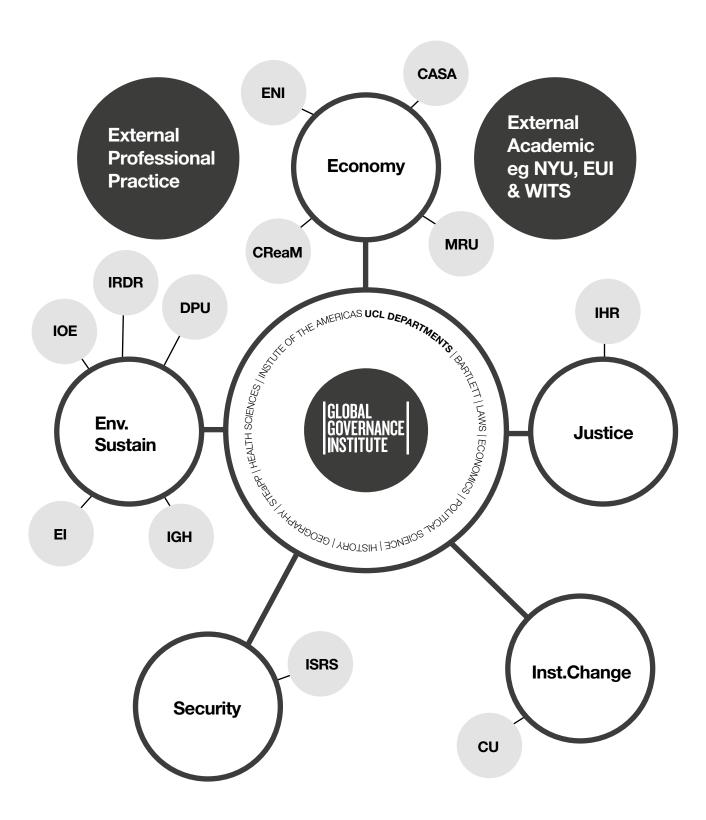
# |ANNEX|

GLOBAL GOVERNANCE INSTITUTE

#### **ANNEX 1: IMPLEMENTATION PLAN 2013–17**

	2013–14	ļ		2014–15	<b>-15 2015–16 2016–17</b>		2015–16 2016–17					
Activities	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3	Term 1	Term 2	Term 3
GGI	Web	site launc	h and deve	elopment								<b>-&gt;</b>
	Consulta	ation with	stakeholde	ers								<b>→</b>
				Investigat	e external	collaborat	ions -					<b>→</b>
Public Outreach	Public e	vent series	5									<b>→</b>
		Officia	l launch									<b>—</b>
							Power R	esidencies	3			<b>→</b>
Research		Scoping w	vorkshop									<b>→</b>
		Develop re	esearch pr	ogramme								<del></del>
		Identify UC	CL Themat									$\rightarrow$
				Appoint U	CL Fellow							
				-l	J-11-1				ws —			<b>→</b>
Public Policy		Policy brie	fing and w			book seri	es/journal					
		- Olloy brio			policy wo	rkshop =						<b>→</b>
					, ,		/isiting pra	actitioner f	ellowships			<b>→</b>
						F	Publication	ns from res	search pro	gramme		<b>→</b>
Education						(	Contribute	to existing	g modules	S		<b>→</b>
										Exec. edu	cation pro	gramme
						E	Est. alumn	i network	and stude	nt mentor	s	<b>→</b>
Funding	Investiga	ate grant c	pportuniti	es —								<b>→</b>
	Identify p	orivate dor	nors —									<b>→</b>
						E	Executive	training wo	orkshops			<del></del>
						(	Summer s	chool prog	gramme			<b>→</b>

#### **ANNEX 2: UCL GLOBAL GOVERNANCE INSTITUTE NETWORK**



Glossary: CASA Centre for Advanced Spatial Analysis, CU Constitution Unit, CReaM Centre for Research and Analysis of Migration DPU Development Planning Unit Environment Institute, EI Energy Institute, IGH Institute of Global Health, IHR Institute of Human Rights, IOE Institute of Education, IRDR Institute of Risk and Disaster Reduction, ISRS Institute of Security and Resilience Studies, MRU Migration Research Unit, STEaPP Science, Technology & Public Policy

#### **GGI-UCL RESEARCH COLLABORATORS**

Name	Title	Email
Michele Acuto	Senior Lecturer in Global Networks & Diplomacy	m.acuto@ucl.ac.uk
John Adams	Emeritus Professor in Geography	john.adams@ucl.ac.uk
Kristin Bakke	Senior Lecturer in Political Science and International Relations	kmbakke@ucl.ac.uk
Andrew Barry	Professor of Geography	a.barry@ucl.ac.uk
Domagoj Baresic	PhD Researcher, UCL Energy Institute	domagoj.baresic.14@ucl.ac.uk
Richard Bellamy	Professor of Political Science	r.bellamy@ucl.ac.uk
Jason Blackstock	Senior Lecturer in Science and Global Affairs	jason.blackstock@ucl.ac.uk
Raimund Bleischwitz	BHP Billiton Chair in Sustainable Global Resources	r.bleischwitz@ucl.ac.uk
Karen Da Costa	Research Associate, UCL Laws	k.costa@ucl.ac.uk
Tom Dannenbaum	Lecturer in Human Rights	k.dannenbaum@ucl.ac.uk
Niheer Dasandi	Research Associate, UCL Department of Political Science	niheer.dasandi@ucl.ac.uk
Adam Dennett	Lecturer in Smart Cities and Urban Analytics	a.dennett@ucl.ac.uk
Jason Dittmer	Professor in Human Geography	j.dittmer@ucl.ac.uk
Christian Dustmann	Professor of Economics	c.dustmann@ucl.ac.uk
Paul Ekins	Professor of Resources and Environmental Policy	p.ekins@ucl.ac.uk
Par Engstrom	Lecturer in Human Rights of the Americas	p.engstrom@ucl.ac.uk
Sarah Hawkes	Reader in Global Health	s.hawkes@ucl.ac.uk
David Hudson	Senior Lecturer in Political Economy	d.hudson@ucl.ac.uk
Ilan Kelman	Reader in Risk, Resilience and Global Health	i.kelman@ucl.ac.uk
Cecile Laborde	Professor of Political Theory	c.laborde@ucl.ac.uk
Maria Lee	Professor of Law	maria.lee@ucl.ac.uk
George Letsas	Professor of the Philosophy of Law	george.letsas@ucl.ac.uk
Caren Levy	Senior Lecturer, Development Planning Unit	c.levy@ucl.ac.uk
Simon Lewis	Reader in Global Change Science	s.l.lewis@ucl.ac.uk
Jamie P. Macintosh	Director of the Institute for Security and Resilience Studies	j.macintosh@ucl.ac.uk
Mark Maslin	Professor of Physical Geography	m.maslin@ucl.ac.uk

#### **GGI-UCL RESEARCH COLLABORATORS**

Name	Title	Email
Saladin Meckled-Garcia	Director of UCL Institute for Human Rights	s.meckled-garcia@ucl.ac.uk
Nils Metternich	Senior Lecturer in International Relations	n.metternich@ucl.ac.uk
Kevin Middlebrook	Professor of Latin American Politics	k.middlebrook@ucl.ac.uk
Alex Mills	Reader in Public and Private International Law	a.mills@ucl.ac.uk
Neil Mitchell	Professor of International Relations	n.mitchell@ucl.ac.uk
Richard Moorhead	Professor of Law and Professional Ethics	r.moorhead@ucl.ac.uk
Colm O'Cinneade	Reader in Law	c.o'cinneade@ucl.ac.uk
Avia Pasternak	Lecturer in Global Ethics	avia.pasternak@ucl.ac.uk
Rosie Peppin Vaughan	Lecturer in Education and International Development	r.peppinvaughan@ioe.ac.uk
Nicholas Phelps	Chair of Urban and Regional Development	n.phelps@ucl.ac.uk
Lauge Poulsen	Lecturer in International Political Economy	I.poulsen@ucl.ac.uk
Colin Provost	Senior Lecturer in Public Policy	c.provost@ucl.ac.uk
Alan Renwick	Deputy Director of the Constitution Unit	a.renwick@ucl.ac.uk
Andrea Rigon	Lecturer, Development Planning Unit	andrea.rigon@ucl.ac.uk
Mike Rowson	Faculty Tutor for Population Health Sciences	m.rowson@ucl.ac.uk
Claudia Schrag Sternberg	Research Associate, European Institute	claudiacsternberg@gmail.com
Joanne Scott	Professor of European Law	joanne.scott@ucl.ac.uk
Mike Seiferling	Lecturer in Public Finance	m.seiferling@ucl.ac.uk
Stephen Smith	Professor of Economics	stephen.smith@ucl.ac.uk
Tristan Smith	Lecturer in Energy and Transport	tristan.smith@ucl.ac.uk
David Tuckett	Professor and Director of the Centre for the Study of Decision-Making	d.tuckett@ucl.ac.uk
Julian Walker	Lecturer, Development Planning Unit	julian.walker@ucl.ac.uk
Albert Weale	Emeritus Professor of Political Theory and Public Policy	a.weale@ucl.ac.uk
Julian Wucherpfennig	Lecturer in International Security	j.wucherpfennig@ucl.ac.uk
Elaine Unterhalter	Professor of Education and International Development	elaine.unterhalter@ioe.ac.uk
Nicholas Phelps	Chair of Urban and Regional Development	n.phelps@ucl.ac.uk

#### ANNEX 3: LEVERHULME CENTRE FOR GLOBAL GOVERNANCE

Submitted internally: 26 January 2015 (did not proceed)
From: Professor David Coen, UCL Global Governance
Institute Dr Tom Pegram, UCL Global Governance
Institute

#### **PROPOSAL SUMMARY**

The Leverhulme Centre for Global Governance (CGG) will seek to harness the unique strengths of UCL as a multi-faculty global university to address the challenge of global governance. Central to its core mission, the Centre will undertake cross-disciplinary study of crucial governance 'deficits' in order to explore the nature of the problem, the processes, structures and institutions involved, and potential solutions. This proposal builds upon the significant existing activities already undertaken by UCL Global Governance Institute launched in September 2013 with the support of a UCL Provost Strategic Fund. The Centre will address the current gap in the UK for a major intellectual activity in global governance, while also bringing the distinctive strengths of UCL to bear on such an enterprise; namely, our ability to integrate disciplines that draw from both a material base (disciplines such as engineering, health, and the physical and natural sciences) and from a social sciences and humanities perspective. Building upon the success of the universitywide Grand Challenges initiative, the CGG will be exceptionally well-placed to become one of the top Global Governance Centres in the world, reinforcing UCL's track record as a recognized global leader in cross-disciplinary scholarship and research. The Institute will facilitate collaboration with colleagues from across UCL and globally, with particular effort to engage with scholars in the Global South. It will also serve as a bridge to policy-makers, practitioners, civil society and other actors to promote informed public debate on possible solutions to the major global policy challenges of our age.

#### **INTELLECTUAL OBJECTIVES**

Global governance, understood as the formal and informal bundle of rules, roles and relationships that define and regulate the social practices of states and non-state actors in international affairs (Slaughter et al. 1998), is of increasingly crucial concern. Many of the most pressing global challenges that we face require adequate governance, often operating across different levels and jurisdictions, in order to solve them. Indeed, many global problems are extant largely because of the poor application or inadequacy of governance arrangements.

Global governance scholarship is experiencing a resurgence of interest. Driven by a profound sense of governance deficit at the global level, contrasting markedly to the optimism of the liberal globalisation debates of the 1990s, a second generation of global governance research has begun to emerge in response to demands for critical reflection from within both the academic and policy communities. This new wave of scholarship points to the acceleration away from a conventional multilateral interstate optic towards a critical reappraisal of both structures and political economy in light of the evident complexity of global governance systems. Three particular features of this intellectual transition driven by real world events include:

 Describing global governance systems: Important innovation in analytic descriptives and making governance systems legible beyond their component parts, be those a regime, organisation or agent; illuminating what may at first sight appear to be quite inchoate system-level governance domains

#### Multi-level and multi-actor governance analysis:

The collapse of domestic, international and transnational segmentation to develop unified theories of governance and empirical applications which can travel across political units, as well as scales of governance

#### Explaining global governance system outcomes:

Advances in theorising which can explain global governance outcomes across policy issue-area domains, with particular emphasis placed on agency and authority; a more forensic approach to the structural dimension of global governance, related normative questions concerning legitimacy, accountability, equity and fairness, as well as attempts to better integrate governance research into the natural sciences, and vice versa.

The demand for this 'second generation' of global governance scholarship based on creative theoretical thinking has been driven, in part, by real world events and the limitations of the liberal globalisation paradigm. The practice of global governance is afflicted by a growing array of pathologies, from multilateral gridlocks, to regime fragmentation, and the proliferation of orphan issue-areas (migration and climate change, to name but two). The Centre will be well-positioned to lead a new wave of empirical and normative research into understanding the varied dynamics of governance in world politics, drawing on cross-disciplinary resources to expand our theoretical, empirical and analytical horizons with a view to making a major intellectual contribution to what remains an emergent field of scholarship and practice.

Cross-disciplinarity and thematic global governance scholarship Cross-fertilization of global governance research and practice outside disciplinary and scholar-practitioner silos has only just begun. Concerted moves towards breaking out of disciplinary silos is readily apparent in the science, technology, engineering and mathematics (STEM) research agenda, as well as a highly productive triangular conversation between economics, political science and international law. There is much to be gained from further interpenetration across disciplinary lines. Harnessing a fuller knowledge of the interaction effects of global regulatory efforts on actor incentives and value systems may be essential to identifying and overcoming fundamental impediments to progress. The Centre will constitute a major effort to transcend silos, fostering productive dialogue across disciplines and thematics on the major global governance questions of our age.

We envisage the Centre becoming a global leader in global governance research, grounded in UCL's specific strengths as a world-leading multi-faculty university with a deserved reputation for delivering transformative and impactful cross-disciplinary research. The unique ability of the CGG to convene world-leading researchers

from across a wide range of disciplines within UCL, underpinned by a strong university ethos of cross-disciplinary collaboration, provides the coordinates for truly innovative thinking on global governance challenges. The Lancet-UCL Commission on Managing the Health Effects of Climate Change and the subsequent Lancet-UCL report on Healthy Cities is testament to this vision. The Centre will work with its academic directors to identify innovative areas of global public policy inquiry with the potential to significantly depart from conventional within-disciplinary thinking. UCL has a strong track record of supporting innovative academic inquiry which has subsequently shaped practical policy imperatives. Initial discussion among UCL partners has spotlighted the potential for collaboration on cross-cutting questions around climate economics and demographics (geography, climate science, economics and political science), future labour market scenarios (economics, Institute of Education, and philosophy) and the impact of trade on global public goods provision (Institute of the Americas, health and population sciences, and social sciences).

The Centre starts from the premise that identifying practical approaches to major global governance challenges is best enabled by integrating knowledge and research capabilities across multiple disciplines. Cross-disciplinarity is increasingly invoked as vital to innovatively tackling the daunting scale and complexity of challenges in global health, financial regulation, environmental sustainability and management of myriad other global goods (and bads). Yet there remains little serious application of cross-disciplinarity in practice. The Centre will make a unique contribution towards filling this gap, serving as a global collaborative hub to innovate cross-disciplinary and cutting-edge research methodology which promises to redefine the comparative study of governance systems. The vision of the Centre to engage in rigorous cross-area comparisons is ambitious but feasible, as evidenced by burgeoning research in related fields, including scholarship by world leading scholars at UCL. Effective management will be central to delivering upon this objective. The CGG will build upon the successful management track record of the Institute core team and will benefit from the continuing input of Institute collaborators, who will serve as CGG Academic Directors (as listed below).

Interdisciplinary groups of scholars drawn from across faculties at UCL and beyond – expert in international relations, political science, international law and cognate issue areas such as climatology, health, human rights and large technical systems – will employ innovative methodologies and comparative approaches to address concrete questions guided by the issues which motivate individual projects, as well as first-order questions which speak to the three core research directions of contemporary global governance summarized above.

#### 1. Global Economy

#### **Convenor: Stephen Smith (Professor of Economics)**

This thematic track will foster research activity on global economic issues, drawing upon the core expertise - theoretical, empirical and methodological - of UCL's world-leading economics department, the political economists in the School of Public Policy and regulatory experts in Laws. It will serve to showcase cutting-edge research on reform of international economic organizations, asymmetric negotiation among public and private actors, as well as the impact of an assurgent developing world. It will also serve as a platform for interpenetrating economic thinking across other disciplines from the material sciences (especially climate science) to the humanities on cross-cutting issues of common concern. The Institute is partner to UCL Centre for Research and Analysis of Migration (CReAM) and has recently hosted scholarly events on varieties of capitalism, supply chain governance and the Transatlantic Trade and Investment Partnership (TTIP), as well as forged external links with high-level officials in the UK including Sir John Gieve, Lord Gus O'Donnell (Visiting Professor at UCL School of Public Policy), Marco Cangiano, Visiting Fellow at SPP and Assistant Director of Fiscal Affairs at IMF, World Bank, Price Waterhouse Cooper, and the US Chamber of Commerce.

Areas of interest: austerity governance, global financial systems, migration, international investment protection, achieving global prosperity, business and human rights, environmental economics, governance of risk, and resilience of economic systems.

#### **CGG RESEARCH GROUPS**

The Centre proposes to establish itself at the forefront of global governance research along the following five research clusters. These major themes have been identified to ensure general coherence across the spectrum of CGG activities, as well as harness the potential for cross-disciplinary and cross-thematic collaboration. Each thematic track will be led by a dedicated Research Convenor who will serve as a lynchpin for a growing community of scholars working on global governance both within and outside UCL. The Centre will provide an event platform for pooling knowledge and yielding insights across these thematic areas in a way which takes full advantage of this collective endeavour. A list of lead researchers and collaborators already active within the Institute and/or have expressed an interest in forming part of this bid are listed at the end of this document, alongside a diagram depicting the Institute's existing academic and professional network.



#### ANNEX 3: LEVERHULME CENTRE FOR GLOBAL GOVERNANCE (cont'd)

#### 2. Global Environmental Sustainability

## Convenor: Dr Ilan Kelman (Reader in Risk, Resilience and Global Health)

Tackling environmental sustainability is perhaps the most pressing global public policy challenge of them all. This research cluster will engage with the cutting-edge of environmental scholarship which has made important strides in mapping out the geometries of global governance, illustrating how the shifting architecture of structures, actors and processes is shifting towards an environmental governance landscape defined by dyadic relations within meta-regime complexes. It will also seek to understand the consequences of geological transformation and the dawning of a new anthropocene age through intersectional analysis, for instance of global governance, health, risk reduction, and climate change. Recent events at the Institute have focused upon: climate change impact on small island nations and prospects for a UN Framework Convention on Climate Change. Connections have been made with high profile UCL colleagues in this field including Michael Grubb, Mark Maslin, Chris Rapley and Joanne Scott.

Areas of interest: climate change adaptation and mitigation, food and water security, land use, governance and sustainable development.

#### 3. Global Governance

#### **Convenor: Dr Tom Pegram (Lecturer in Global Governance)**

Research on global governance structure has produced significant insights into scope conditions for efficiency gains through international organizations. However, scant attention has been given to normative issues of power symmetries, historicisation of contemporary governance systems, value and norm conflict, or to dominant concerns for interest capture. The global governance thematic track will address this omission and in doing so seeks to enhance explanations of how global governance structures actually work in practice, and why and when they matter. This extension of our intellectual horizons is crucial to explaining change in the wake of new transnational challenges to the multilateral order. The Institute has been active on this thematic, hosting activities on "What is Global Governance?", transnational hybridity (publicprivate authority), the rise of private regulatory standards and contested multilateralism. Collaborations have been undertaken with UCL Constitution Unit and Science, Technology and Public Policy (STEaPP), as well as external partners at EUI (Richard Bellamy), Arizona State University (Ken Abbott), Leuven University (Axel Marx), NYU (Paul Smoke) and Princeton (Robert Keohane) Universities.

Areas of interest: international regulation and accountability, democratic global governance, models and praxis of governance, delegation and authority in international organizations.

#### 4. Global Justice and Equity

#### **Convenor: Dr Avia Pasternak (Lecturer in Global Ethics)**

As a reaction to the limitations of the liberal globalisation orthodoxy, there is a growing interest in normative issues, embodied in terms such as legitimacy, transparency, accountability and fairness. This research cluster will engage in normative inquiry on democratic legitimacy in global governance structures, defined as the acceptance and justification of shared rule by a community. It will also explore an emergent research agenda into the mechanisms and instruments which may enhance these values at the global level, such as information access and other procedural mechanisms that can enable stakeholders to hold those that govern accountable for their actions. The Institute has built on a very successful partnership with the Institute of Global Health to host a series of events on HIV/AIDS and non-communicable diseases, in association with the UNAIDS and the WHO. We also hosted events on global philanthropy, in collaboration with prominent political philosophers, such as Rob Reich, as well as the Wellcome Trust and the Gates Foundation.

Areas of interest: human rights governance, governing global health, international contexts of global governance, and private global philanthropy.

#### 5. Global Security

#### **Convenor: Dr Jason Dittmer (Reader in Human Geography)**

Security is the foundational cornerstone of international relations scholarship. This research cluster will engage traditional security concerns which arise from the continuing prominence of 'the game of nations'. However, research activities will also reflect changes in the threat environment, particularly the impact of globalisation, data and new technology in creating new risks, threats, and vulnerabilities for states and people, to which governments must now respond. Many of these non-traditional security concerns are transboundary by nature, problematising territorial, statebased governance and generating demands for new scales of governance. This track will also engage in critical and theoreticallyinformed work on security in order to promote new, more sociallyjust frameworks of global governance. Recent institute events have examined nuclear disarmament and the Responsibility to Protect (R2) doctrine. We will also host an event on big data and conflict forecasting in 2015 in collaboration with our security research cluster. Institute associates include technical advisor to the Global Conflict Risk Scan (GCRS) of the European Commission.

Areas of interest: laws of war and transnational security, resource resilience, security of ecological services, health and environmental risks.

#### **ACADEMIC AND PROFESSIONAL NETWORK**

UCL Global Governance Institute has invested significant energies over the past 18 months in establishing a robust multi-faculty network of research and strategic partnerships within UCL based on shared intellectual goals, as well as forged links with external partners. Last year we held a successful roundtable of 45 academics from across UCL to explore UCL's vision for the Global Governance Institute. A major Symposium will take place in November 2015 bringing together world-leading academics to debate global governance with a view to producing a landmark publication. The Principal Investigators are also currently editing a Major Works Collection on Global Governance for Routledge Press.

Internal to UCL, the Institute has formalized ties to the following departments and faculties:

- The Bartlett
- Economics
- Geography
- History
- Institute of the Americas
- Laws
- Political Science
- Populations and Health Sciences

A list of key collaborators can be found below.

External to UCL, the Institute has also scaled up partnership with world-leading thought leaders in the field of international relations, global governance and cognate issue-areas, including Kenneth Abbott at Arizona State University, Richard Bellamy at EUI, Axel Marx at Leuven University, Andrew Hurrell and Duncan Snidal at Oxford University, Robert Keohane at Princeton, Philipp Pattberg at VU Amsterdam, and Paul Smoke at NYU. The Institute hosted a workshop on transnational hybridity in global governance in April 2015, supported by UCL International Office, and convened scholars from College of Mexico (COLMEX), University of the Witwatersrand, South Africa, and Murdoch University, Australia.

External relations also extend to policy-makers, international organizations, civil society actors, and industry, with many leading figures forming part of the Institute's advisory board. The Institute and its associates have engaged with high-level government officials including Sir Gus O'Donnell, Sir John Gieve, and Jack Straw MP as well as the Strategic Early Warning at the Cabinet Office, Department of Business, Innovation and Skills, House of Commons' Interparliamentary Committee on the Transatlantic Trade and Investment Partnership Agreement, and the House of Lords' EU Sub-Committee on External Affairs, Connections have also been made with prominent officials within EU institutions (European Commission Global Conflict Risk Scan), UN agencies, international financial institutions (the IMF and World Bank) as well as the civil society and the third sector (including Chatham House, Overseas Development Institute and OXFAM). The Institute has also begun to explore opportunities for collaboration with industry and business, including Pentland Group and Price Waterhouse Cooper.

For the list of main collaborators to be included in a full proposal please see page 22.

#### **BIOGRAPHIES OF LEAD RESEARCHERS**

David Coen (FRSA): Professor of Public Policy, Head of the Department of Political Science, Director of the School of Public Policy and founding Director of the Institute of Global Governance at University College London. Prior to joining UCL he held appointments at the London Business School and Max Planck Institute in Cologne and wrote his PhD at the European University Institute in Florence. He has held the Fulbright Distinguished Fellowship at the Kennedy School of Government at Harvard University and in the past he has held visiting fellowships at the Centre for European Studies Harvard, Nuffield College Oxford University and Max Planck Institute, Bonn. In 2014/2015 he conducted a European Parliament Commissioned survey of Lobbying of MEPs and is a distinguished visiting Professor at the EUI Florence. Recent books include; Handbook on Business and Government, (2010), Oxford University Press, Lobbying the European Union: Institutions, Actors and Policy, (2009), Oxford University Press; EU Lobbying: Theoretical and Empirical Developments, (2008) Routledge, and he has published widely in leading international public policy journals such as Governance, Journal of European Public Policy, Journal of Public Policy, and West European Politics. He has held grants from the Anglo-German foundation, British Academy, European Commission, European Parliament, Fulbright Foundation, and Nuffield Foundation.

#### Anthony Costello (MA MB BChir FRCP FRCPCH FMedSci):

Professor of International Child Health and Director of the UCL Institute for Global Health. He also serves as an Academic Advisor to the UCL Institute of Global Governance. His areas of scientific expertise include the evaluation of community interventions to reduce maternal and newborn mortality, neonatal paediatrics, women's groups, the cost-effectiveness of interventions, nutritional supplementation and international aid for maternal and child health. He directs programme and project grants funded by the UK Department for International Development, the Wellcome Trust, Saving Newborn Lives Initiative, WHO, UNICEF, UNFPA, the Big Lottery Fund and the Health Foundation. He has also provided consultancy for Save the Children Fund, the World Bank, WHO, DFID, USAID, UNDP and Saving Newborn Lives. Currently he is an Honorary Consultant Paediatrician at Great Ormond Street Hospital and at the UCL Hospital for Tropical Diseases, holds Fellowships of the Academy of Medical Sciences, the Royal College of Paediatrics and Child Health, and of the Royal College of Physicians, and formerly was a vice-President of the Royal Society of Tropical Medicine and Hygiene. In April 2011 Anthony was awarded the James Spence Medal, the highest honour of the Royal College of Paediatrics and Child Health.

Jason Dittmer: Professor in Human Geography at the UCL Department of Geography and Thematic Director for Global Security at UCL Institute of Global Governance. Jason's research interests are in the areas of critical approaches to diplomacy, geopolitics and assemblage theory. As thematic director for global security, he is working to organise scholars at UCL interested in critical and theoretically-informed work on security in order to promote new, more socially-just frameworks of global governance. His publications have featured in *Political Geography, International Journal of Cultural Policy* and *Geopolitics*. Jason's monographs have been published by London Routledge and Temple University Press.

#### ANNEX 3: LEVERHULME CENTRE FOR GLOBAL GOVERNANCE (cont'd)

Christian Dustmann: Professor of Economics, Director of the Centre for Research and Analysis on Migration (CReAM) and Scientific Director of the NORFACE Research Programme on Migration. Christian Dustmann's main research interests are Migration, Economics of the Family, Economics of Education, Wages and Mobility, Economics of Crime and Applied Microeconometrics. He is currently a Visiting Professor at the Department of Economics, Harvard University and also a Research Fellow at the Centre for Economic Policy Research and Research Associate of the Institute for Fiscal Studies. He serves as a member of the British Home Office Economics and Resource Analysis Advisory Group, the National Education Panel Survey (NEPS) Scientific Board and the Institute for Labour Market Research (IAB). Christian Dustmann is the recipient of multiple large-scale project grants and his publications have appeared in Economic Journal, Journal of Labour Economics, Journal of Development Economics and the American Economic Review, among others.

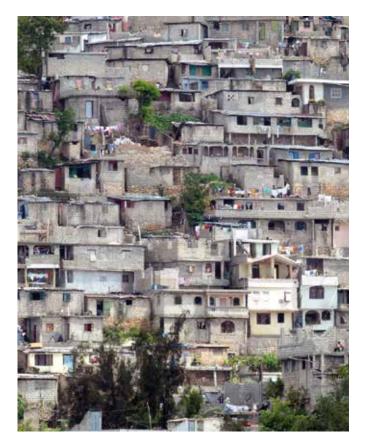
Ilan Kelman: Reader in Risk, Resilience and Global Health at UCL Institute for Risk and Disaster Reduction and UCL Global Health Institute. Ilan is also Thematic Director for Global Environmental Sustainability at UCL Institute of Global Governance and a Senior Research Fellow at the Norwegian Institute of International Affairs, Oslo. His research interest lies in linking risk, resilience and global health, including the integration of climate change into disaster research and health research. As thematic director for environmental sustainability, he is examining the intersections between global governance, health, and climate change. Climate change is one hazard driver amongst many, so climate change adaptation becomes a subset of disaster risk reduction which sits within development and sustainability processes. Governance at all scales is needed for these actions. His publications have appeared in Global Environment, International Journal of Global Warning, and Geographical Journal, among others.

Cecile Laborde (FBA): Professor of Political Theory and Director of UCL Religion and Political Theory Centre. Cecile currently directs the project 'Is Religion Special? Secularism and Religion in Contemporary Legal and Political Theory', a 5-year long project (2012-2017) funded by the European Research Council. Prior to joining UCL, she held posts in political theory at the University of Exeter and King's College, London. Her principal research interests are in the history of political ideas and contemporary political philosophy, both Anglo-American and European. She has published four books and has written articles in major journals of political science and political theory, including Journal of Legal Theory, Journal of Political Philosophy, British Journal of Political Science, Political Theory, Political Studies, Critical Review of International Social and Political Philosophy, European Journal of Political Theory and International Journal of Constitutional Law.

Mark Maslin (FRGS, FRSA): Professor of Climatology at UCL and member of the Academic Advisory Board to the UCL Institute of Global Governance. He is a Royal Society Industrial Fellowship, Executive Director of Rezatec Ltd and Director of The London NERC Doctoral Training Partnership. He is science advisor to the Global Cool Foundation and the Sopria-Steria Group and a member of Cheltenham Science Festival Advisory Committee. Maslin is a leading scientist with particular expertise in past global and regional climatic change and has published over 120 papers in journals such as Science, Nature, and The Lancet. He has been PI or Co-I on grants worth over £43 million (including 25 NERC, 2 EPSRC, 2 DIFD, 2 Carbon Trust, 2 ESA, 3 Technology Strategy Board, Royal Society and DECC). His areas of scientific expertise include causes of past and future global climate change and its effects on the global carbon cycle, biodiversity, rainforests and human evolution. His book 'Climate Change: A Very Short Introduction' by Oxford University Press has sold over 40,000 copies. Maslin was co-author of the seminal Lancet report 'Managing the health effects of climate change' and the Lancet review paper on the health links between Population, Development and Climate Change. He was granted a Royal Society Wolfson Research Merit Award for the study of early human evolution in East Africa in 2011.

Avia Pasternak: Lecturer in Global Ethics and Thematic Director for Global Justice and Equity at UCL Institute of Global Governance. Before joining UCL, Avia was a lecturer in political theory at the University of Essex. Avia earned her D.Phil. in Politics from the University of Oxford. She was a postdoctoral fellow at the Program on Global Justice at Stanford University, and a British Academy Postdoctoral Fellow at UCL. Avia works in the area of political theory and global justice. Her primary research interest is collective agency and collective responsibility. As thematic director for global justice and equity, she is pursuing three main themes for inquiry: Global poverty and global equality; the ethics of war and democracy, and accountability and representation. Her publications have appears in The Journal of Applied Philosophy, The Journal of Political Philosophy, Critical Review of International Social and Political Philosophy, and Politics Philosophy Economics, among others.

Tom Pegram: Lecturer in Global Governance at University College London and Deputy Director of the UCL Global Governance Institute. Prior to joining UCL, he was Assistant Professor in Political Science (International Relations) at Trinity College Dublin. He has held research fellowships at New York University and Harvard University Law Schools and completed his DPhil in political science at Nuffield College, University of Oxford, where he remains a Research Associate. Tom's research interests include the theoretical and empirical study of global governance, with an emphasis on human rights. He has published with Cambridge University Press and his work has featured in the European Journal of International Relations, Human Rights Quarterly and Millennium. He also sits on the editorial board of the journal Global Governance.



Joanne Scott (FBA, FRSE): Professor of European Law and Academic Advisor to UCL Institute of Global Governance. Joanne Scott has previously been a Reader in the Faculty of Law at the University of Cambridge. Her main areas of expertise are European Union Law and WTO Law. She has published extensively on law and new modes of governance, environmental law and policy and on the intersections between different sub-national, national and international legal orders. Joanne Scott was recently awarded a Leverhulme Trust Major Research Fellowship for her research on the global reach of EU climate change law (2012-2014). She was a member of the UCL/Lancet Commission on Managing the Health Effects of Climate Change and of the Royal Commission on Environmental Pollution (2009-2011). Joanne was awarded as EPSRC grant as part of an inter-disciplinary team investigating the climate change dimensions of shipping. She has been a Visiting Professor at Columbia Law School and Harvard Law School and was a Jean Monnet Fellow at the European University Institute in Florence. She was elected a Fellow of the Royal Society of Edinburgh in 2012 and as a Fellow of the British Academy in 2013. Joanne Scott's monographs have been published by Oxford University Press and Hart Publishing and her scholarly articles have appeared in the journals Common Law Market Review, American Journal of Comparative Law, European Journal of International Law, Nature, and Columbia Journal of European Law, among others.

Stephen Smith: Professor of Economics at University College London and Thematic Director for Global Economy at UCL Institute of Global Governance. Stephen Smith has also served as Executive Dean of the UCL Faculty of Social and Historical Sciences. His current research covers the economics of instrument choice in environmental regulation, and the economics of European indirect tax policy. Stephen Smith is a Research Associate of the Institute for Fiscal Studies, and a CESifo Research Fellow. He is a member of the DEFRA Academic Panel on Environmental Economics and of the High-Level Economics Group of the European Environment Agency, and has acted as a consultant to a number of government departments and international organizations including HM Treasury, DEFRA, the UK Environment Agency, the OECD, the European Commission, and the IMF. He is the author of the Short Introduction to Environmental Economics published by Oxford University Press and his publications have appeared in International Tax and Public Finance, Environmental Resource Economics, National Tax Journal, among others.

Albert Weale (CBE, FBA): Professor of Political Theory and Public Policy, Programme Director of Executive MPA in Global Public Policy and Management and Academic Advisor to UCL Institute of Global Governance. Albert Weale is a former Vice-President and current Fellow of the British Academy and Chair of its Research Committee, he also chairs the Nuffield Bioethics Committee. He has chaired seminars for the Public Health Service and the Charity Commission. Albert Weale chaired the King's Fund Grants Committee and sat on the Management Committee between 1997 and 2001 and he also currently chairs the Advisory Board of the ESRC Genomics Forum. Prior to UCL, he held positions at the University of York, University of East Anglia and the University of Essex. Albert Weale has been a Visiting Professor at Yale University, the University of Dar Es Salaam and Australian National University. His general areas of research interest are in Political Theory and Public Policy. His books have been published by Oxford University Press, Manchester University Press, and London Macmillan and his scholarly articles have appeared in Theory and Decision, Philosophy, Journal of Social Policy and Journal of Health Politics, among others.

#### LIST OF MAIN COLLABORATORS TO BE INCLUDED IN A FULL PROPOSAL

 $^*$  Currently Thematic Director at UCL Global Governance Institute  $\Delta$  Currently on Academic Steering Committee for UCL Global Governance Institute

#### Global Governance Institute

- Director: David Coen (Professor, Director of SPP/Director of GGI)
- Deputy Director: Tom Pegram (Lecturer, SPP/Deputy Director of GGI)
   Academic Directors
- Anthony Costello (Professor, IGH)
- Christian Dustmann (Professor, Economics)
- Cecile Laborde (Professor, SPP)
- Mark Maslin (Professor, geography)∆
- Joanne Scott (Professor, Laws)∆
- Stephen Smith (Professor, Economics)
- Albert Weale (Professor, SPP)Δ

#### **Global Economy**

- Convenor: Stephen Smith (Professor, Economics)\*
- Raimund Bleischwitz (Professor, ISR)
- David Coen (Professor, SPP)
- Christian Dustmann (Professor, Economics)
- Nicholas Phelps (Professor, Bartlett)Δ
- Lauge Poulsen (Lecturer, SPP)
- Colin Provost (Senior Lecturer, SPP)
- John Salt (Professor, geography/MRU)
- Mike Seiferling (Lecturer, SPP)
- Tristan Smith (Lecturer, Energy Institute)

#### **Global Environmental Sustainability**

- Convenor: Ilan Kelman (Reader, IRDR/IGH)\*
- Anthony Costello (Professor, IGH)Δ
- Adam Dennett (Lecturer, CASA)
- Paul Ekins (Professor, ISR)
- Sarah Hawkes (Reader, IGH)∆
- David Hudson (Senior Lecturer, SPP)
- Simon Lewis (Reader, geography)
- Caren Levy (Professor, DPU)
- Mark Maslin (Professor, geography)∆
- Joanne Scott (Professor, Laws)Δ
- Elaine Unterhalter (Professor, IOE)

#### **Global Governance**

- Convenor: Tom Pegram (Lecturer, SPP)\*
- Andrew Barry (Professor, geography)
- Richard Bellamy (Professor, EUI)
- Par Engstrom (Lecturer, IOA)
- Robert Hazell (Professor, SPP)
- James Melton (Lecturer, SPP)
- Kevin Middlebrook (Professor, IOA)Δ

#### **Global Justice**

- Convenor: Avia Pasternak (Lecturer, SPP)\*
- Cecile Laborde (Professor, SPP)
- Maria Lee (Professor, Laws)
- George Letsas (Professor, Laws)
- Saladin Meckled-Garcia (Senior Lecturer, SPP/Director IHR)
- Colm O'Cinneade (Reader, Laws)
- Tom Pegram (Lecturer, SPP)
- Albert Weale (Professor, SPP)Δ

#### **Global Security**

- Convenor: Jason Dittmer (Professor, Geography)\*
- JP Macintosh (Director, ISRS)
- Nils Metternich (Lecturer, SPP)
- Neil Mitchell (Professor, SPP)Δ
- Julian Wucherpfennig (Lecturer, SPP)

#### **ANNEX 4: INSTITUTE OUTPUTS 2014-15**

#### **EVENTS AND PUBLIC LECTURES 2014–15**

- Workshop: 'Global Governance and the Theoretical Interregnum', Institute of Global Governance Event, UCL, 23 September 2014
- Public Lecture: 'The International Politics of Human Rights. Rallying to the R2P Cause?' Monica Serrano (Professor, College of Mexico), 21 October 2014
- Public Lecture: 'How nearly everything in Dr Strangelove is true, and what we need to do about it', Eric Schlosser (investigative journalist and author), 25 November 2014
- Public Lecture: 'Developing Global Governance for Climate Change', Ilan Kelman (GGI Thematic Director, Global Environmental Sustainability), 9 December 2014
- Panel Debate: 'The controversial I in TTIP: are European courts not good enough for American multinationals?' with Peter Chase (Vice President, US Chamber of Commerce), organised by Lauge Poulsen (GGI Senior Research Fellow), 14 January 2015
- Public Lecture: 'Competition and Cooperation in the Market of Voluntary Sustainability Standards', Dr Axel Marx (Leuven Centre for Global Governance, Belgium), 20 January 2015
- Public Lecture: 'Economy for the Common Good', The GGREAT Student Committee and the team of 'Better Economics UCLU, 3 February 2015
- Public Lecture: "Being an Ambassador: 4 Years in South Africa', Dame Nicola Brewer, UCL Vice-Provost (International), 5 February 2015
- Public Lecture: 'Transnational Neopluralism and the Limits of Global Governance: Theoretical and Empirical Issues', Phil Cerny (Professor Emeritus, University of Manchester/Rutgers University), 10 February 2015
- Public Lecture: 'Regulating cybersecurity: incentives, interventions and the emerging governance of the Internet', Martijn Groenleer (Professor, Delft University) and Dr. J. P. MacIntosh (Institute of Security and Resilience Studies, UCL), 18 March 2015
- Public Lecture: 'Some guiding principles for the assessment of the democratic anchorage of the transnational multistakeholder initiatives,' loannis Papadopoulos (Professor, University of Lausanne), 24 March 2015
- Panel Debate: 'The Ethics of Global Philanthropy' with Professor Rob Reich, organised by Avia Pasternak (GGI Thematic Director, Global Justice), 30 March 2015

- Public Lecture: 'What one writer uncovered in the global production of smart phones, tablets and personal computers', Cam Simpson (Bloomberg Business Week and News journalist), 28 April 2015
- Workshop: 'Hybridity in Global Governance: New Research Directions in Global Governance', UCL-GGI, 30 April 2015
- Public Lecture: 'Contested Multilateralism', Robert Keohane (Professor, Princeton University), 11 May 2015
- Public Lecture: 'Revolution, Democracy, and Self-Determination', Allen Buchanan (Professor, Duke University), 19 May 2015
- Public Lecture: 'The Stagnation of International Law', Joost
   Pauwelyn (Professor, Graduate Institute, Geneva), 2 June 2015
- Workshop: 'Climate Change Adaptation event', hosted by UCL Grand Challenges and with input from GGI affiliates, 2 June 2015.
- Public Lecture: 'Speaking Rights to Power', Alison Brysk (Professor, University of California Santa Barbara, 3 June 2015
- Panel Debate: 'Change Everything: Creating an Economy for the Common Good', featuring Dr Christian Felber (author and academic), 10 June 2015
- Workshop co-organised with STEaPP: 'Science in Diplomacy', UCL GGI-STEaPP, 15 June 2015
- Workshop organised by Prof Albert Weale: 'Fulfilling Rights, Realising Goals and Meeting Duties in the drive towards Universal Health Coverage', 25-26 June 2015
- Workshop co-organised with David Hudson (Senior Lecturer, School of Public Policy), 'Corruption and development: what do we know, what works, and who cares?' 29 June 2015.

#### GGI or GGI research collaborator notable achievements

- In 2014/15, David Coen, the GGI Director, was a Distinguished Fellow at the European University Institute in Florence. He is currently conducting a European Parliament Commissioned survey of Lobbying of MEPs.
- Lauge Poulsen, GGI Senior Research Fellow, appeared on Radio 4 investigation into inter-state dispute settlements and their political implications: http://www.bbc.co.uk/programmes/ b05ntj7p
- Dr Tristan Smith from UCL's Energy Institute was awarded the 2015 Enterprise Award for Consultancy by UCL Consultants

#### Selected GGI research publications 2014-15

- David Coen (with A. Tarrent and R Cadman) (2014). 'EU
  Regulatory Frameworks in Network Industries: Defining National
  Varieties of Capitalism?' European Networks Law & Regulation
  Quarterly.1.1: 43-64.
- Niheer Dasandi, David Hudson and Tom Pegram, 'Post-2015
  Development Agenda Setting in Focus: Governance and
  Institutions,' In J. Waage & C. Yap, Thinking Beyond Sectors
  for Sustainable Development (London: Ubiquity Press, 2015,
  forthcoming).
- Jason Dittmer (with J. Sharp) (Eds.) (2014). Editor of: Geopolitics: an introductory reader. London Routledge.
- Jason Dittmer (with P. Adams, and J. Craine) (2014). Editor of: The Ashgate Research Companion to Media Geography.
   Farnham Ashgate
- Jason Dittmer (with N. Coe, N. Gill, A. Secor, L. Staeheli, G. Toal and A. Jeffrey), (2014). The Improvised State: Sovereignty, Performance and Agency in Dayton Bosnia. Political Geography 39, 26-35.
- Jason Dittmer, (2013). 'Geopolitical assemblages and complexity'. Progress in Human Geography 38(3), 385-401
- Ilan Kelman (2015). 'Climate Change and the Sendai Framework for Disaster Risk Reduction'. International Journal of Disaster Risk Science, 6 (2), 117-127
- Ilan Kelman (with J. Gaillard and J. Mercer) (2015). 'Climate Change's Role in Disaster Risk Reduction's Future: Beyond Vulnerability and Resilience'. International Journal of Disaster Risk Science, 6 (1), 21-27.
- Ilan Kelman, (2015). 'Difficult decisions: Migration from Small Island Developing States under climate change'. Earth's Future, 3 (4), 133-142
- Ilan Kelman, (2014). 'No change from climate change:
   Vulnerability and small island developing states'. Geographical Journal, 180 (2), 120-129.
- Avia Pasternak, 'Intending to Benefit from Wrongdoing' (with Robert Goodin), Politics, Philosophy Economics (forthcoming)
- Avia Pasternak, 'The Impact of Corporate Tasks
  Responsibilities: A Comparison of Two Models' Midwest
  Studies in Philosophy 38(1), 222-231, 2014.
- Tom Pegram and Michele Acuto, 'Introduction: Global Governance and the Theoretical Interregnum,' *Millennium*, vol. 43, no. 2, January 2015, pp. 584-597.
- Tom Pegram, 'Governing Relationships: The New Architecture in Global Human Rights Governance,' *Millennium*, vol. 43, no. 2, January 2015, pp. 618-639.

- Tom Pegram 'Governing the UN Sustainable Development Goals: interactions, infrastructures, and institutions,' (with Jeff Waage, Christopher Yap, Sarah Bell, Caren Levy, Georgina Mace, Tom Pegram et al.), *The Lancet Global Health*, vol. 3, no. 5, April 2015, pp. 251-252.
- Tom Pegram, 'Global Human Rights Governance and Orchestration: National Human Rights Institutions as Intermediaries,' *European Journal of International Relations*, vol. 21, no. 3, August 2015, pp. 1-26.
- Lauge Poulsen, Bounded Rationality and Economic Diplomacy: The Politics of Investment Treaties in Developing Countries.
   Cambridge University Press, forth.
- Lauge Poulsen, The Political Economy of the International Investment Regime. Oxford University Press, forth. (with Michael Waibel and Jonathan Bonnitcha)
- Lauge Poulsen, 'Bounded Rationality and the Diffusion of Modern Investment Treaties'. International Studies Quarterly, 2014, vol. 58(1), pp. 1-14.
- Stephen Smith, Taxation. A Very Short Introduction, (2015)
   Oxford University Press.
- Stephen Smith, 'Emissions Taxes and Abatement Regulation under Uncertainty', (2014), Environmental and Resource Economics, DOI 10.1007/s10640-013-9755-7 (with Vidar Christiansen).

#### Policy briefs and meeting reports published 2014–15

- UCL-GGI Policy Brief: 'How can the International Criminal Court be strengthened?', Global Justice and Ethics, April 2015
- GGI invited to present: 'How can the international criminal court be strengthened?' Open Society Foundation sponsored meeting on Renovating International Governance Institutions, Chatham House, London, 30 April 2015.
- UCL-GGI Policy Brief: 'Can the Paris Conference of Parties in December 2015 deliver on its promise?' Global Climate Sustainability, August 2015

#### Blog reports by student rapporteurs

- Philippe Beck, 'Illicit financial flows and executives' liability',
   7 December 2014
- Philippe Beck, 'A Convenient Fallacy: Assigning Responsibility in Climate Action', 17 December 2014
- Corina Campion, 'The controversial 'I' in TTIP: are European courts not good enough for American multinationals?' 22 January 2015
- Philippe Beck, 'In the Maelstrom of the Market Pricing the Success of 'Sustainability', 24 January 2015

#### **ANNEX 4: INSTITUTE OUTPUTS 2014–15**

- Philippe Beck, 'State supremacy or global governance -Pascal's wager revisited?' 17 February 2015
- Philippe Beck, 'What to serve the 'devil'? Professor John Ruggie's contribution to the advancement of corporate citizenship', 2 March 2015
- Corina Campion, 'Regulating cybersecurity', 27 March 2015
- Philippe Beck, 'The democratic merits of global multistakeholder initiatives: thinking inside or outside the box?' 4 April 2015
- Corina Campion, 'On the Ethics of Philanthropy', 15 April 2015
- Philippe Beck, 'Who's Gonna Buy the iPads', 15 May 2015
- Corina Campion, 'Contested Multilateralism the New Direction for Regime Complexes?' 18 May 2015
- Joshua Warland, 'Speaking rights to power: strategies for reach, voice and response', 4 August 2015
- Joshua Warland, 'Reasons for optimism: from thin state consent to thick stakeholder consensus', 4 August 2015

- Public Lecture: Brian Burdekin (former Special Advisor to UN High Commissioner for Human Rights), 16 November 2015
- Public panel debate: Alex Betts (Oxford University), Sarah Fine (KCL), David Goodhart (Demos), Lord Wallace (House of Lords) to debate UK response to the migrant crisis, 26 November 2015 (co-organised with UCL School of Public Policy)
- Public Lecture: Catherine Ashton (former High Representative for Foreign Affairs and Security Policy of the EU), 8 December 2015 (co-organised with UCL European Institute)
- Public Lecture: Halvard Buharg (Professor, Peace Research Institute Oslo), 19 January 2016
- Public Lecture: Saskia Sassen (Professor, Columbia University),
   2 February 2016.
- Public Lecture: Monica Serrano (Professor, Colegio de Mexico),
   9 February 2016.
- UCL GGE Student Trip: International Maritime Organisation (IMO) annual plenary, 18 April 2016.

## **EVENTS AND PUBLIC LECTURES 2015-16** (PRELIMINARY)

- High-level policy seminar (closed door): with Meg Kinnear, Secretary General of ICSID (World Bank), 17 September 2015 (led by Dr Lauge Poulsen)
- High-level policy seminar (closed door): with John Hegeman,
   Vice-President of AlG, 8 October (led by Dr Lauge Poulsen)
- Book launch: with Dr Thomas Hale (Oxford), Dr Lauge Poulsen (UCL) and Professor Philippe Sands (UCL), 12 October 2015
- Public Lecture: Henrietta L. Moore (Professor of Culture, Philosophy and Design, UCL), 13 October 2015
- Public Lecture: Deputy Chief of Mission, Ms Elizabeth Dibble, Global Governance Institute and School of Public Policy, UCL, 20 October 2015
- Public Lecture: Morten Kjaerum (former Director of the European Fundamental Rights Agency), 3 November 2015
- Public Lecture: David Kennedy (Professor of Law, Harvard University), 12 November 2015 (co-organised with UCL Laws)
- Public Lecture: Attorney General of Ecuador, 13 November (co-organised with the British Institute for International and Comparative Law and the Ecuadorian Government)

### **NOTES**

