



Open access in REF2020

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About Open Access

*Making scholarly literature **freely available** on the internet, copyright permitting*

- Most journals (not just fully open access ones) have an open access option
- Benefits include increased citations and greater visibility
- Funders, UCL and HEFCE (REF) require open access for research articles



Types of Open Access

Gold



Output is made openly available immediately on the publisher's site, in exchange for a fee

Funds are available for all UCL authors

Green



Output is made freely available from an open access repository such as UCL Discovery (discovery.ucl.ac.uk)

If both Gold and Green are available, the choice is an academic decision

Publisher membership schemes



If we don't have a membership scheme, we pay by invoice

REF open access policy

Requirements

- Final peer-reviewed manuscript of all articles and conference proceedings must be deposited in an open access repository within 3 months of acceptance
- Papers accepted after 1 April 2016

UCL implementation

- Outputs of all types should be deposited
- This applies immediately

Policy points

- Compliant embargoes:
 - 12 months (Panels A & B)
 - 24 months (Panels C & D)
- Non-compliant outputs cannot be submitted to the REF
- Subject repositories allowed
- Exceptions
 - ✓ *Embargo too long*
 - ✓ *Deposit not permitted*
 - ✓ *Final MS not available*
 - ✓ *Third-party content*
 - ✓ *At acceptance, author was employed elsewhere*

How to comply

At acceptance, choose Gold (paid) or Green (deposit) open access

Funders' open access policies may affect your decision

Gold

- Send your final manuscript to open-access@ucl.ac.uk
- The Open Access Team will
 - ✓ *deposit in UCL Discovery*
 - ✓ *pay the charges*
 - ✓ *put the published version into UCL Discovery*

Green

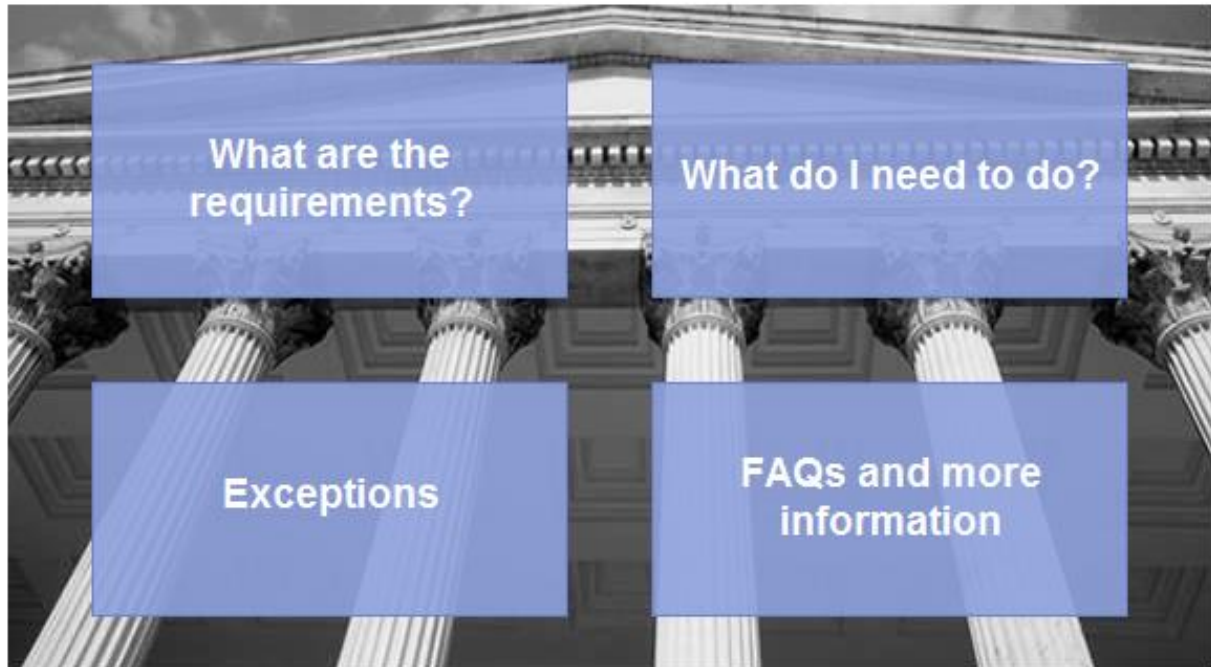
- Deposit your final manuscript in UCL Discovery through RPS (follow our new simple guide)
 - ✓ *UCL Discovery will make the paper OA after any embargo*
- Check your publication records

www.ucl.ac.uk/library/open-access

Open access for the next REF

A new open access [policy](#) for the next REF has been introduced. To be eligible for REF submission, journal articles and conference proceedings must have been deposited in an open access repository at acceptance. UCL's policy is that all outputs should be deposited in our institutional repository, UCL Discovery.

UCL authors are responsible for ensuring that their papers are deposited. The [process](#) is very straightforward, and support is available from UCL's Open Access Team.

A graphic consisting of four blue rectangular boxes with white text, arranged in a 2x2 grid. The background is a grayscale image of classical architectural columns. The text in the boxes is: 'What are the requirements?' (top-left), 'What do I need to do?' (top-right), 'Exceptions' (bottom-left), and 'FAQs and more information' (bottom-right).

What are the requirements?

What do I need to do?

Exceptions

FAQs and more information

[Contact us](#) for help or advice.

www.ucl.ac.uk/library/open-access

Requirements for the next REF

To be eligible for REF submission, journal articles and conference proceedings must have been deposited in an open access repository at acceptance, and made open access within a specified time. UCL's policy is that all outputs should be deposited in our institutional repository, UCL Discovery.

See our page on [how to comply](#)



Image courtesy of HEFCE

REF Policy: Key Points

- The final peer-reviewed manuscript of all articles and conference proceedings must be deposited in an open access institutional or subject repository within 3 months of acceptance.
- The policy covers papers accepted for publication after 1 April 2016.
- UCL's policy is that outputs of all types should be deposited. This applies immediately.
- Publishers often impose a delay before repositories can make manuscripts openly available. The maximum permitted embargo is 12 months (for [Panels A and B](#)) or 24 months ([Panels C and D](#)).
- Articles still under embargo are eligible for REF submission, provided they meet the requirements of the policy.

'Non-compliant outputs will be given an unclassified score and will not be assessed in the REF.'

[REF Open Access policy](#)

www.ucl.ac.uk/library/open-access

Complying with the REF policy

When your publication is accepted, choose **Gold** or **Green** open access.

Gold means immediate open access on the publisher's website, usually in exchange for a fee.

Green means depositing your final manuscript in an open access repository. Your publisher may specify a delay period (or embargo) before the repository can release the full text.

At UCL, the choice of Gold or Green is a decision for authors, though your funder's open access policy may affect this. [Contact us](#) for advice.



Gold

To comply with the REF policy, you need to send your final manuscript to the Open Access Team upon acceptance. They will pay your open access charges, and make the published version of the paper available in UCL Discovery.

[Send us your manuscript](#)

Green

To comply with the REF policy, upon acceptance you need to deposit your final manuscript in UCL Discovery using UCL's Research Publications Service. The paper will be made open access after any publisher embargo. Read our guide to [depositing in UCL Discovery](#).

[Upload your manuscript](#)

Help and support

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