

## Precision Measurements and Computations of Transition Energies in Rotationally Cold Triatomic Hydrogen Ions up to the Midvisible Spectral Range

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First-principles computations and experimental measurements of transition energies are carried out for vibrational overtone lines of the triatomic hydrogen ion  $\text{H}_3^+$  corresponding to floppy vibrations high above the barrier to linearity. Action spectroscopy is improved to detect extremely weak visible-light spectral lines on cold trapped  $\text{H}_3^+$  ions. A highly accurate potential surface is obtained from variational calculations using explicitly correlated Gaussian wave function expansions. After nonadiabatic corrections, the floppy  $\text{H}_3^+$  vibrational spectrum is reproduced at the  $0.1 \text{ cm}^{-1}$  level up to  $16\,600 \text{ cm}^{-1}$ .

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In quantitative understanding of small molecules, a door to extreme precision was opened for  $\text{H}_2$  through Herzberg's measurements [1] and the theoretical work of Kolos and Wolniewicz [2], which led to a complete reproduction and assignment of the spectrum. Subsequently, analogous efforts shifted to the smallest triatomic molecular system, the  $\text{H}_3^+$  ion. The complexity of this system greatly increases as its vibrations become floppy already by infrared excitation at about  $10\,000 \text{ cm}^{-1}$ , roughly a third of its dissociation energy. Hence, even after 35 years of activity and despite enormous advances in computers and experimental methods, the  $\text{H}_3^+$  spectrum at higher excitations has remained an enigma neither fully accessed experimentally nor fully elucidated theoretically. For example, the intriguing, near-dissociation spectrum of  $\text{H}_3^+$  reported by Carrington *et al.* [3,4] is still unassigned and poorly understood almost 30 years after it was recorded.

In theoretical molecular physics,  $\text{H}_3^+$  has always been a benchmark polyatomic for high-level electronic-structure computations. They started with the pioneering works of Hirschfelder [5], who explained the stability of the ion and then, after Coulson [6] first hypothesized on its structure, Christoffersen [7] brought in theoretical numerical evidence showing the equilibrium structure to be an equilateral triangle some 14 years before this was demonstrated experimentally.  $\text{H}_3^+$  has also been a test case for multidimensional nuclear-motion calculations starting with the work of Carney and Porter [8].

Close interplay between theory and experiments characterized the spectroscopy of  $\text{H}_3^+$  and was fundamental in probing its rotational-vibrational (ro-vib) infrared spectrum. *Ab initio* calculations were vital for the first

laboratory observation of the  $\text{H}_3^+$  infrared spectrum [9] and the first astronomical detection of  $\text{H}_3^+$  in the ionosphere of Jupiter [10]. However, the  $\text{H}_3^+$  ro-vib spectrum in the visible region has remained unseizable for both theory and experiments. Once the barrier to linearity at  $\sim 10\,000 \text{ cm}^{-1}$  is exceeded on the ground-state electronic potential energy surface (PES), the vibrations become floppy and sample vast regions of the PES at barely restricted geometries. As experiments advance beyond this barrier, they struggle with the strong decrease of all spectral intensities (lower by about a factor of  $10^6$  compared to the fundamental transition [11]) while past first-principles predictions became increasingly inaccurate and lost their ability to guide experimental line searches and spectral identifications [12]. This roadblock persisted despite recent theoretical advances [11,13–17], in which increasingly more accurate and complete  $\text{H}_3^+$  PESs have been determined.

In this work we report developments that decisively change this situation and largely unveil the elusive, highly excited  $\text{H}_3^+$  spectrum. Experimentally, we present advances in the sensitivity of ion trap spectroscopy on  $\text{H}_3^+$  allowing us to measure the frequencies of ro-vib transitions extending far into the visible spectral range. Theoretically, we develop a model which reproduces these observations and is capable of describing the full ro-vib spectrum of  $\text{H}_3^+$ .

The quantum-mechanical treatment of molecules usually starts with relativistic effects excluded and, in principle, could proceed by an all-particle, direct solution of the nonrelativistic Schrödinger equation. This methodology has been proven successful for many diatomics [18]. However, for  $\text{H}_3^+$  such a direct approach involves 12 degrees of freedom, presenting serious technical problems

presently unresolved. Hence, even for this five-body system, we are forced to initially make the Born-Oppenheimer (BO) approximation, which splits the  $H_3^+$  Schrödinger equation into an electronic equation (solved for relevant, fixed arrangements of the nuclei) and a nuclear one describing the ro-vib motion. The energies generated in the electronic equation create the PES upon which the nuclei move. The solutions of the nuclear Schrödinger equation provide energies (and corresponding wave functions) representing different ro-vib states of the system. Beyond BO corrections are introduced. The diagonal adiabatic (DA) correction accounts to first order for the neglected coupling between the motions of the nuclei and the electrons. Further terms included are the nonadiabatic (NA) corrections and, on a smaller scale, those accounting for relativistic (REL) effects. QED corrections and corrections due to the finite size of the proton are of even smaller size, and are not included here. While the latter corrections are certainly much smaller than the accuracy of the present calculations, the magnitude of the former for the transitions lying near the barrier to linearity, estimated based on the calculations of the QED  $\alpha^3$  and  $\alpha^4$  corrections for  $H_2$  [19] and HD [20], is about  $-0.07 \text{ cm}^{-1}$ , i.e., of similar magnitude as the present accuracy. The neglect of QED effects constitutes the largest source of error in our calculations. (The determination of these effects will be carried out in our future work.)

The theoretical innovation, which has been key to the present work, is the development of a very efficient method for solving the electronic Schrödinger equation. The approach is variational and employs explicitly correlated shifted Gaussian (ECSG) basis functions to expand the electronic wave function of the system. ECSGs [21,22] are the following functions of the coordinates of all the electrons of the molecule:

$$g_k(\mathbf{r}) = \exp[(\mathbf{r} - \mathbf{s}_k)' \bar{\mathbf{A}}_k (\mathbf{r} - \mathbf{s}_k)]. \quad (1)$$

The vectors  $\mathbf{r}$  and  $\mathbf{s}_k$  are  $3n$  dimensional and represent the Cartesian coordinates of the electrons and of the Gaussian shifts (for a two-electron system  $n = 2$ ), respectively, and the prime denotes vector transposition. Contrary to mainstream orbital-based electronic-structure methods, here the Gaussian shifts (also referred to as ‘‘Gaussian centers’’) are not restricted to the nuclear positions.  $\bar{\mathbf{A}}_k$  is a  $3n \times 3n$  symmetric matrix collecting the Gaussian exponential parameters. In the variational calculation, the energy of the system is minimized by optimizing the  $\bar{\mathbf{A}}_k$  and  $\mathbf{s}_k$  parameters of each ECSG function in the set. What sets our method apart from other ECSG implementations [13,14] is the use of analytic energy gradients determined with respect to ECSG parameters during the minimization [18,22].

The computations of ro-vib energies involve four steps. In the first step, we employ 900 ECSGs in the electronic wave function expansion to calculate the BO energies and

DA correction for 42 498 nuclear geometries of the  $H_3^+$  ion. This is by far the most complete and dense grid of points used to determine the  $H_3^+$  PES. The energy at each nuclear geometry is significantly more accurate than in any previous calculation [13,14]. We estimate that at each PES point our energy is consistently  $\sim 0.1 \text{ cm}^{-1}$  above the exact nonrelativistic BO energy [22]. The work took 9 months of continuous calculations on a 210-CPU parallel computer system. In the second step, the energy points are fitted with a global, analytic function. The fitting function is a sum of polynomials of the  $H_3^+$  vibrational modes expressed in symmetry coordinates (i.e., no mass scaling) and consists of two separate terms, one in the form of a diatomics-in-molecules function [23], representing asymptotic regions of the PES near and above dissociation, and a short-range three-body term [24] representing the region where all three nuclei are located closer to each other and interact more strongly. 297 terms are included in the fitting function, which are composed by polynomials of order up to 15, to ensure high accuracy of the fit in all areas of the PES. The rms of the fit is  $0.097 \text{ cm}^{-1}$ —an unprecedented low value, representing a 2 orders of magnitude improvement over previous attempts. Up to energies of  $20\,000 \text{ cm}^{-1}$ , the maximum deviation is only  $0.19 \text{ cm}^{-1}$ . In the third step, the PES is augmented with surfaces accounting for the DA and the relativistic corrections. The DA corrections were determined at each PES point with the Born-Handy method [25], while the relativistic corrections to the electronic BO energies were taken from Ref. [14] and fitted separately (rms of  $0.007 \text{ cm}^{-1}$  and maximum deviation of  $0.02 \text{ cm}^{-1}$  for both the DA and REL surfaces). Finally, the fourth step involves solving the triatomic nuclear-motion Schrödinger equation using the DVR3D suite of programs [17]. In this step, the ro-vib NA effects are accounted for with the mass-scaling method of Polyansky and Tennyson [17], in which the vibrational masses of the protons are made heavier than the nuclear masses by about  $0.4753m_e$  [26].

In the measurements, we apply spectroscopy by chemical probing [27,28] using mass-separated product ion counting at a cryogenic rf multipole trap. The very high sensitivity of this method was demonstrated recently [28] for  $H_3^+$  lines up to  $13\,300 \text{ cm}^{-1}$ . Modifications detailed below allowed us to observe even weaker lines up to  $16\,600 \text{ cm}^{-1}$  and to access the  $H_3^+$  spectrum far beyond the  $13\,700 \text{ cm}^{-1}$  limit of the most recent discharge-cell measurements [12].

As in previous work [28],  $H_3^+$  ions from a rf storage source are injected into the cryogenic trap filled with He ( $\sim 2 \times 10^{14} \text{ cm}^{-3}$ ), Ar ( $\sim 1 \times 10^{12} \text{ cm}^{-3}$ ), and  $H_2$  ( $\sim 2 \times 10^{10} \text{ cm}^{-3}$ ) and cooled to a temperature of 60 K. A 200 ms long precooling ensures that most of the  $H_3^+$  ions descend to the two lowest rotational levels ( $J = 1$ ) of the vibrational ground state. Light from a single-mode Ti:sapphire or a dye laser was then applied along the trap

axis for 100 ms, completing a 300-ms storage cycle. The detection of laser transitions exploits the reaction  $\text{H}_3^+ + \text{Ar} \rightarrow \text{ArH}^+ + \text{H}_2$ , which is endothermic but activated by ro-vib excitation of  $\text{H}_3^+$  above  $\sim 4500 \text{ cm}^{-1}$ . Ions released from the trap are mass filtered and counted, switching between detection of  $\text{ArH}^+$  (signal) and  $\text{H}_3^+$  (normalization) from one storage cycle to the next. The scheme was adapted to trap and count much higher numbers of  $\text{H}_3^+$  ions than before. For this, an ion guiding system was added between the ion source and the trap, improving their vacuum separation and allowing the  $\text{H}_2$  pressure in the source to be set independently of the gas densities in the trap. Moreover, pulse stretching was implemented when releasing the trapped ions, ensuring linear counting of the trap inventory up to several  $10^4 \text{ H}_3^+$  ions (a factor of 25 higher than previously). Finally, fourfold retroreflection of the laser path through the trap increased the effective laser power by a factor of  $\sim 2$  and stable operation times were increased up to days. Typically, a few hundred storage cycles were required at each laser frequency for a laser excitation signal to become detectable. The wavelength scans were controlled by a wave meter that was regularly calibrated by a laser source stabilized on the  $\text{D}_2$  line of  $^6\text{Li}$  ( $2^2\text{S}_{1/2} \rightarrow 2^2\text{P}_{3/2}$ ) at  $14903.633(1) \text{ cm}^{-1}$  [29] through Doppler-free spectroscopy.

Unobserved lines from previous theoretical predictions by Neale *et al.* (NMT) [11] and Schiffels *et al.* (SAH03) [16] were searched in scans at  $\sim 0.01 \text{ cm}^{-1}$  steps, combining contiguous ranges of  $\sim 0.3 \text{ cm}^{-1}$  and covering  $1 \text{ cm}^{-1}$  in  $\sim 6 \text{ h}$ . Fine scans over located lines used  $\sim 0.003 \text{ cm}^{-1}$  steps; Gaussian profiles were then fitted to obtain the line

centers with a calibration-limited precision of  $\pm 0.005 \text{ cm}^{-1}$ . Because only the two lowest rotational levels of  $\text{H}_3^+$  are sufficiently populated, predicted observable lines are typically separated by more than  $20 \text{ cm}^{-1}$ . As the experiment was performed before the present calculations were completed, the previous predictions guided the line searches in this work. The inaccuracy of those predictions, particularly at higher wave numbers, led to long search times. We should note that for the infrared lines the present rf trap method yields perfect agreement [26] with the discharge spectroscopy method [12].

The newly observed lines, all but one in the visible spectral region, are detailed in Table I together with their upper-level quantum numbers and the differences to present and previous theoretical predictions (exp – calc). The measured lines have very low intensity. For example, the Einstein  $B$  coefficient of the  $15450.112(5) \text{ cm}^{-1}$  line was estimated by Neale *et al.* [11] to be  $B = 7.7 \times 10^{17} \text{ cm}^3 \text{ J}^{-1} \text{ s}^{-2}$ . This is almost  $10^6$  times lower than that of the  $2529.724(5) \text{ cm}^{-1}$  line of the fundamental transition [28] with intensity  $B = 4.77 \times 10^{23} \text{ cm}^3 \text{ J}^{-1} \text{ s}^{-2}$ . In addition, it is about a factor of 3 lower than the weakest among the calculated strengths for the transitions measured by Kreckel *et al.* [28] ( $2.03 \times 10^{18} \text{ cm}^3 \text{ J}^{-1} \text{ s}^{-2}$ ). The energetic match with the calculated lines unambiguously defines the upper-level  $J$  and the nuclear symmetry of the observed transitions. The assignment of quantum numbers  $v_1$ ,  $v_2$ ,  $\ell$ , and  $G$  (these numbers are increasingly less representative of the quantum states as the excitation level increases) for some of the calculated high-lying states was taken from previous works [16,31] and confirmed by

TABLE I. Experimental (exp) high overtone transition frequencies for rotationally cold  $\text{H}_3^+$  ions from the  $00^0$  vibrational ground state. Estimated experimental errors (dominated by wave number calibration) are in parentheses. The exp – calc are the deviations between the experimental and calculated (calc) transitions determined with respect to the calculated line positions best matching the experimental lines. The calculated results from earlier *ab initio* predictions (NMT [11] and SAH03 [16]) and the present work, using the BO PES (BO column), the PES that includes the DA corrections (+ DA column), the BO + DA predictions corrected for NA effects using the Polyansky and Tennyson model [17] (+ NA column), and the predictions with the BO + DA + REL PES also corrected for the NA effects (+ REL column). Quantum numbers specified [30] as  $(J, G)$  for lower-level ( $i$ ) and upper-level ( $f$ ) rotation and by  $v_1 v_2^{\ell}$  for upper-level vibration. For the upper levels, only  $J$  and the nuclear symmetry (*para* and *ortho* for lower-level  $G = 1$  and 0, respectively) are precise, and additional assignments have been taken from Refs. [16,26,31].

$(J, G)_i$	$v_1 v_2^{\ell}(J, G)_f$	exp ( $\text{cm}^{-1}$ ) (This work)	exp – calc ( $\text{cm}^{-1}$ )					
			NMT	SAH03	BO	This work +DA +NA +REL		
(1, 1)	$07^1(0, 1)$	13 638.251(5)	1.65	0.49	3.54	–1.29	–0.18	–0.22
(1, 1)	$08^2(0, 2)$	15 058.680(5)	0.49	1.13	2.14	–1.22	0.22	0.15
(1, 1)	$16^2(1, 2)$	15 130.480(5)	0.44	1.70	1.03	–1.34	0.09	0.03
(1, 0)	$16^2(2, 3)$	15 450.112(5)	–3.41	0.47	–1.48	–1.54	0.02	–0.06
(1, 0)	$16^4(2, 3)$	15 643.052(5)	–0.76	1.91	–0.29	–1.44	0.12	0.04
(1, 1)	$25^1(1, 1)$	15 716.813(5)	–2.25	0.91	1.08	–1.35	0.24	0.16
(1, 0)	$34^2(2, 3)$	16 506.139(5)	(–0.67) <sup>a</sup>	3.31	0.49	–1.38	0.33	0.23
(1, 1)	$J = 1^b$	16 660.240(5)	(–8.14) <sup>a</sup>	3.40	–0.50	–1.53	0.09	0.02

<sup>a</sup>Predicted nearby level of different  $J$ , guiding the experimental line search.

<sup>b</sup>Further assignment not available.

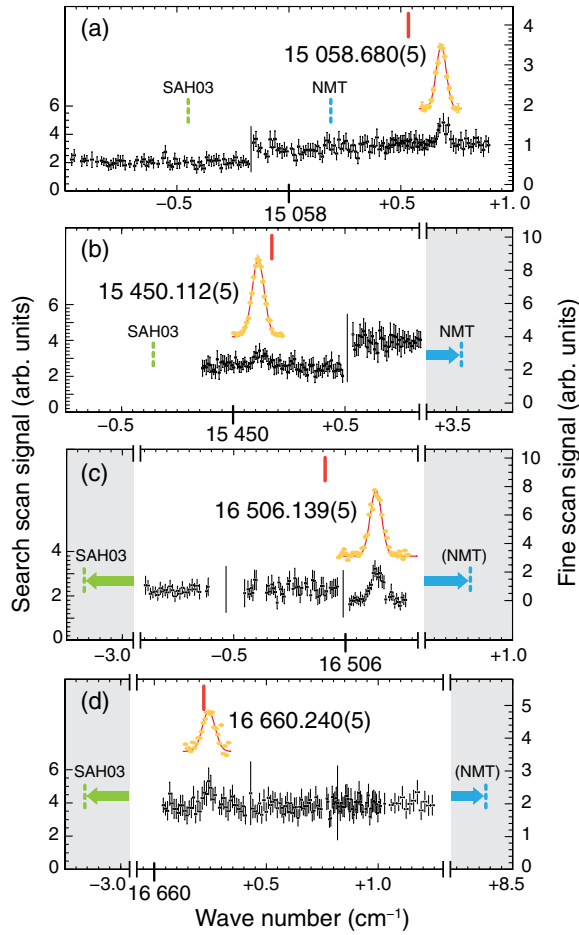


FIG. 1 (color online). Visible-light spectroscopy of cold trapped  $\text{H}_3^+$  ions for some of the measured lines detailed in Table I. Top marks (full lines): present theoretical results. Orange (gray) symbols and fitted curves: fine scans (right-hand vertical scales) with Doppler-broadened line profiles (effective ion temperature  $\sim 85$  K). Dashed marks: earlier predictions by NMT [11] and SAH03 [16] as labeled (arrows and shading indicate scale offsets). Black symbols: search scans (see the text) with breaks marked by thin vertical lines (left-hand vertical scales).

additional analysis [26]. Search scans, fine scans, and theoretical line centers are shown for some cases in Fig. 1. The positions of the visible spectral lines are very well reproduced by the most complete model (+REL) of the present computations. Instead of  $\text{exp} - \text{calc}$  differences of up to  $3 \text{ cm}^{-1}$  or even more displayed by the previous calculations, the deviations are now significantly reduced with a remaining rms deviation determined with respect to the measured data being only  $\sim 0.1 \text{ cm}^{-1}$ . This represents more than an order of magnitude improvement over previous *ab initio* computations and also a significant improvement over semiempirical models [31] of the spectrum. In Fig. 2 we show the  $\text{exp} - \text{calc}$  differences for the present theory in the entire  $0\text{--}16\,600 \text{ cm}^{-1}$  spectral region and for  $J \leq 3$  initial levels. Employing the

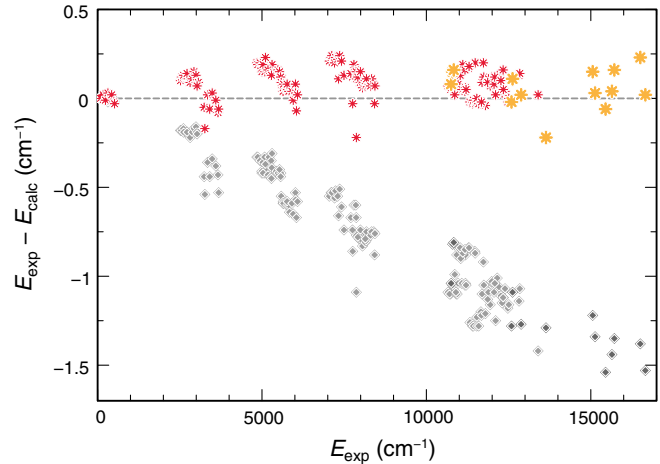


FIG. 2 (color online). Differences between experimental transition energies  $E_{\text{exp}}$  and the present calculation ( $E_{\text{calc}}$ ) for the BO + DA PES (diamonds) and for the BO + DA + REL PES plus NA corrections (asterisks). Small red asterisks and light gray diamonds: using experimental data from Refs. [12,28,30,32] ( $J \leq 3$  initial levels); large orange asterisks and dark gray diamonds: present data with  $00^0$  (1, 0) and (1, 1) initial levels.

BO + DA surface in the nuclear calculations produces ro-vib energies whose deviations from the experiment systematically increase from a very small number for the lowest transitions to about  $-1.5 \text{ cm}^{-1}$  for the highest ones. However, when the results are corrected for the REL and NA effects, excellent agreement with the experiments is reached. The vibrational wave functions [26] of the investigated states on the calculated PES show large probability density near linear configurations of the nuclei, thus demonstrating the significant role of such geometries in the investigated energy region.

In conclusion, this work provides the most accurate global ground-state  $\text{H}_3^+$  PES available to date. Together with a simple model for nonadiabatic effects, it has allowed us to predict the ro-vib transitions of  $\text{H}_3^+$  with unprecedented accuracy. By measurements extending far into the visible region, our calculations are shown to match the observed spectral lines with an average deviation as low as  $0.1 \text{ cm}^{-1}$ . The high predictive power of the present theory and the extreme experimental sensitivity achieved open the door to further measurements of the  $\text{H}_3^+$  spectrum at even higher energies. Moreover, the accuracy of the present global PES and its large spatial extent set the foundation for describing and assigning the transitions in the Carrington spectrum [3,4] which stretches into the dissociative continuum. The near-dissociation region of the  $\text{H}_3^+$  PES is also important for understanding proton collisions with hydrogen molecules. Finally, we note that PES calculations with ECSGs, although computationally expensive, are not restricted to two-electron systems; they could thus form a new paradigm for near-experimental-accuracy



*ab initio* predictions of spectra also for other few-electron molecular systems.

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