

# A factorization method for support characterization of an obstacle with a generalized impedance boundary condition

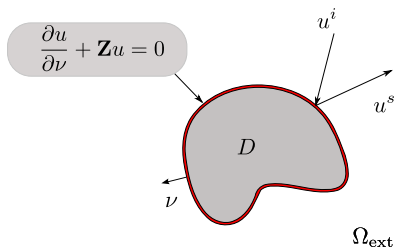
Mathieu Chamaillard, Nicolas Chaulet and Houssem Haddar

INRIA Saclay, France



*Inverse problems: modeling and simulation,  
Antalya, May 2012*

# The Generalized Impedance Boundary Conditions in acoustic scattering



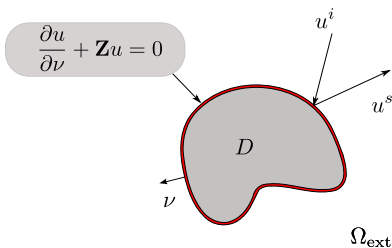
Context:

- Imperfectly conducting obstacles
- Periodic coatings (homogenized model)
- Thin layers
- Thin periodic coatings
- ...

$$\Delta u + k^2 u = 0$$

$$u = u^s + u^i$$
$$\lim_{R \rightarrow \infty} \int_{|x|=R} \left| \frac{\partial u^s}{\partial r} - i k u^s \right|^2 ds = 0$$

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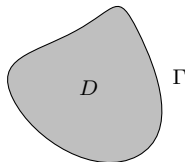
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Inverse problem: recover  $D$  from the scattered field.

# General notions in inverse scattering

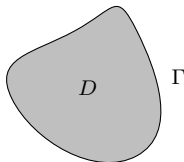
$$\begin{cases} \Delta u^s + k^2 u^s = 0 \\ \frac{\partial u^s}{\partial \nu} + \mathbf{Z}u = - \left( \frac{\partial u^i}{\partial \nu} + \mathbf{Z}u^i \right) \text{ on } \Gamma \\ \lim_{R \rightarrow \infty} \int_{|x|=R} \left| \frac{\partial u^s}{\partial r} - iku^s \right|^2 ds = 0 \end{cases}$$



$$u^s(x) = \frac{e^{ikr}}{r^{(d-1)/2}} \left( u^\infty(\hat{x}) + \mathcal{O}\left(\frac{1}{r}\right) \right) \quad r \longrightarrow +\infty$$

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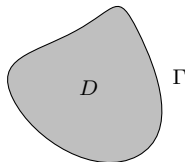
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For incident plane waves  $u^i(z, \hat{\theta}) = e^{ik\hat{\theta} \cdot z}$  we define

$$u^\infty(\hat{x}, \hat{\theta}) \in L^2(S^d, S^d).$$

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Under minimal assumptions on  $\mathbf{Z}$  design a method to recover  $D$  from  $u^\infty$  for all  $(\hat{x}, \hat{\theta})$ .

# The factorization method: a sampling method

For  $u^i(x, \hat{\theta}) = e^{ik\hat{\theta} \cdot x}$  define

$$(\mathbf{Z}, D) \longrightarrow u^\infty(\hat{x}, \hat{\theta})$$

where  $u^\infty$  associated with  $u^s(\mathbf{Z}, D)$  is defined in dimension  $d$  by

$$u^s(x) = \frac{e^{ikr}}{r^{(d-1)/2}} \left( u^\infty(\hat{x}) + \mathcal{O}\left(\frac{1}{r}\right) \right) \quad r \longrightarrow +\infty.$$

$$F : L^2(S^d) \longrightarrow L^2(S^d)$$

$$g \longmapsto \int_{S^d} u^\infty(\hat{x}, \hat{\theta}) g(\hat{\theta}) d\hat{\theta}$$

Define the self-adjoint positive operator

$$F_\# := |\Re e(F)| + \Im m(F)$$

$$z \in D \iff e^{-ik\hat{\theta} \cdot z} \in \mathcal{R}(F_\#^{1/2})$$

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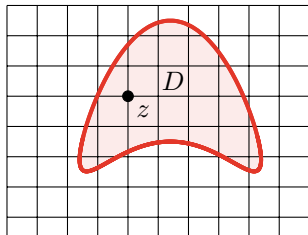
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$$\exists g \text{ s. t. } F_\#^{1/2} g = e^{-ik\hat{\theta} \cdot z}$$



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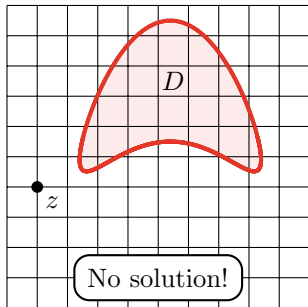
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# State of the art

- Factorization method for impenetrable scatterers:
  - Dirichlet and Neumann boundary condition: Kirsch 1998,
  - Impedance boundary condition ( $\mathbf{Z} = \lambda$ ): Kirsch & Grinberg 2002,
- Inverse iterative methods with GIBC: Bourgeois, Chaulet & Haddar 2011–2012.

# Outline

- 1 The GIBC forward problem
- 2 Characterization of scatterers via the factorization Theorem
- 3 Numerical examples

# The GIBC forward problem

## *A volume formulation*

- $V$  an Hilbert space such that  $C^\infty(\Gamma) \subset V \subset H^{1/2}(\Gamma)$
- $\mathbf{Z} : V \longrightarrow V^*$  is linear and continuous and

$$\mathbf{Z}^*u = \overline{\mathbf{Z}u}$$

*For example for complex functions  $(\lambda, \mu) \in (L^\infty(\Gamma))^2$*

$$\mathbf{Z} = \operatorname{div}_\Gamma \mu \nabla_\Gamma + \lambda$$

$$V = H^1(\Gamma)$$

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- $\Im m \langle \mathbf{Z}u, u \rangle_{V^*, V} \geq 0$  for uniqueness reasons

The GIBC problem writes:

Find  $u^s \in \{v \in \mathcal{D}'(\Omega_{\text{ext}}), \varphi v \in H^1(\Omega_{\text{ext}}) \forall \varphi \in \mathcal{D}(\mathbb{R}^d); v|_\Gamma \in V\}$

$$(\mathcal{P}_{\text{vol}}) \quad \left\{ \begin{array}{l} \Delta u^s + k^2 u^s = 0 \text{ in } \Omega_{\text{ext}}, \\ \frac{\partial u^s}{\partial \nu} + \mathbf{Z}u^s = f \text{ on } \Gamma, \\ \lim_{R \rightarrow \infty} \int_{|x|=R} |\partial_r u^s - iku^s|^2 = 0. \end{array} \right. \quad \left( f = -\frac{\partial u^i}{\partial \nu} - \mathbf{Z}u^i \right)$$

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The sign of the real part of the impedance operator is imposed by the volume equation!

# Well posedness of the forward problem

## *A surface equivalent formulation*

Find  $u^s \in \{v \in \mathcal{D}'(\Omega_{\text{ext}}), \varphi v \in H^1(\Omega_{\text{ext}}) \forall \varphi \in \mathcal{D}(\mathbb{R}^d); v|_{\Gamma} \in V\}$

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- $n_e : H^{1/2}(\Gamma) \longrightarrow H^{-1/2}(\Gamma)$  the exterior DtN map

$$f \longmapsto \frac{\partial u_f}{\partial \nu}$$

where

$$\begin{cases} \Delta u_f + k^2 u_f = 0 \text{ in } \Omega_{\text{ext}}, \\ u_f = f \text{ on } \Gamma, \\ \lim_{R \rightarrow \infty} \int_{|x|=R} |\partial_r u_f - i k u_s|^2 = 0. \end{cases}$$

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$$(\mathcal{P}_{\text{vol}}) \iff (\mathcal{P}_{\text{surf}}) \quad \begin{cases} \text{Find } u_{\Gamma}^s \in V \text{ such that} \\ (\mathbf{Z} + n_e) u_{\Gamma}^s = f \end{cases}$$



# Well posedness of the forward problem

*A Fredholm operator*

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## Theorem

If the embedding  $V \subset H^{1/2}(\Gamma)$  is compact and  $\mathbf{Z} = C_{\mathbf{Z}} + K_{\mathbf{Z}}$  with

- $C_{\mathbf{Z}} : V \rightarrow V^*$  isomorphism,
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## Proof

- $n_e : H^{1/2}(\Gamma) \rightarrow H^{-1/2}(\Gamma)$  is continuous,
- hence  $\mathbf{Z} + n_e : V \rightarrow V^*$  is Fredholm of index zero.
- Since  $\Im m \langle \mathbf{Z}u, u \rangle_{V, V^*} \geq 0$ ,  $(\mathcal{P}_{\text{vol}})$  is injective and so is  $\mathbf{Z} + n_e$ .

# Outline

- 1 The GIBC forward problem
- 2 Characterization of scatterers via the factorization Theorem
- 3 Numerical examples

# Implementation of the factorization method

## ① First step: formal factorization

Find two bounded operators  $G : \Lambda^* \rightarrow L^2(S^d)$  and  $T : \Lambda \rightarrow \Lambda^*$  such that

$$F = GT^*G^*,$$

and

$$z \in D \iff \phi_z^\infty \in \mathcal{R}(G)$$

where  $\phi_z^\infty(\hat{x}) := e^{-ikz \cdot \hat{x}}$ .

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## 2 Second step: justification

Find the space  $\Lambda$  and prove that

$$\mathcal{R}(G) = \mathcal{R}(F_\#^{1/2}).$$

$$F_\# = |\Re e(F)| + \Im m(F)$$

# Formal factorization

## *Support characterization*

Define the solving operator for the forward problem

$$\begin{aligned} G : V^* &\longrightarrow L^2(S^d) \\ f &\longmapsto u_f^\infty \end{aligned}$$

where  $u_f^\infty$  is the far field associated with the solution to  $(\mathcal{P}_{\text{vol}})$  with  $f$  in the second hand side.

$$z \in D \iff \phi_z^\infty \in \mathcal{R}(G)$$

Hint:

$$G = G_{\text{Dir}} \circ (\mathbf{Z} + n_e)^{-1}$$

where  $G_{\text{Dir}}$  is the solving operator for the Dirichlet problem and

$$z \in D \iff \phi_z^\infty \in \mathcal{R}(G_{\text{dir}})$$



# Formal factorization

## *Definition of the central operator*

For  $G_k(x)$  ( $= e^{ik|x|}/|x|$  in dimension 3) the radiating Green function for  $\Delta + k^2$  define

$$\begin{aligned}\mathrm{SL}_k(q)(x) &= \int_{\Gamma} G_k(x-y)q(y)ds(y), \quad x \in \mathbb{R}^d \setminus \Gamma, \\ \mathrm{DL}_k(q)(x) &= \int_{\Gamma} \frac{\partial G_k(x-y)}{\partial \nu(y)} q(y)ds(y), \quad x \in \mathbb{R}^d \setminus \Gamma,\end{aligned}$$

$$\begin{cases} \mathcal{S}_k := \mathrm{SL}_k|_{\Gamma}, \\ \mathcal{D}_k := \mathrm{DL}_k|_{\Gamma}, \end{cases} \quad \text{and} \quad \begin{cases} \mathcal{S}'_k := \partial_{\nu} \mathrm{SL}_k|_{\Gamma}, \\ \mathcal{D}'_k := \partial_{\nu} \mathrm{DL}_k|_{\Gamma}. \end{cases}$$

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Thus we can deduce

$$T := \mathbf{Z}\mathcal{S}_k\mathbf{Z}^* + \mathcal{D}'_k + \mathbf{Z}\mathcal{D}_k + \mathcal{S}'_k\mathbf{Z}^*$$



$T$  has to be defined from  $\Lambda$  to  $\Lambda^*$  for some Hilbert space  $\Lambda$ .  
 $\Lambda = V$  does not fit because  $\mathbf{Z}\mathcal{S}_k\mathbf{Z}^*$  not symmetric!

# Formal factorization

*Difficulty in the definition of  $T$*

$$T := \mathbf{Z} \mathcal{S}_k \mathbf{Z}^* + \mathcal{D}'_k + \mathbf{Z} \mathcal{D}_k + \mathcal{S}'_k \mathbf{Z}^*$$

$$\mathcal{S}_k : H^s(\Gamma) \rightarrow H^{s+1}(\Gamma)$$

We want  $T : \Lambda \rightarrow \Lambda^*$ .

*Consider*

$$\mathbf{Z} = \Delta_\Gamma,$$

$$V = H^1(\Gamma),$$

*then by taking  $\Lambda = V$  we have:*

$$T : H^1(\Gamma) \rightarrow H^{-2}(\Gamma).$$

*Right space :  $\Lambda = H^{3/2}(\Gamma) = \Delta_\Gamma^{-1}(H^{-1/2}(\Gamma))$*

# Careful definition of $T$ and rigorous factorization

If  $V$  is compactly embedded into  $H^{1/2}(\Gamma)$  define

$$\Lambda := \{u \in V, \mathbf{Z}^*u \in H^{-1/2}(\Gamma)\}$$

with

$$(u, v)_\Lambda := (u, v)_{H^{1/2}(\Gamma)} + (\mathbf{Z}^*u, \mathbf{Z}^*v)_{H^{-1/2}(\Gamma)}.$$

## Proposition

- $\mathbf{Z} + n_e : \Lambda \rightarrow H^{-1/2}(\Gamma)$  is an isomorphism,
- $G : \Lambda^* \rightarrow L^2(S^d)$  is continuous,
- $T : \Lambda \rightarrow \Lambda^*$  is continuous,

$$T = \mathbf{Z}S_k\mathbf{Z}^* + \mathcal{D}'_k + \mathbf{Z}\mathcal{D}_k + S'_k\mathbf{Z}^*$$

- $F = -GT^*G^*$ .

# Application of the factorization Theorem

## Theorem [Grinberg 2002]

If  $F = -GT^*G^*$  with

- 1  $G$  compact with dense range,
- 2  $\Re(T) = C + K$  with  $C$  coercive and  $K$  compact,
- 3  $-\Im(T^*)$  compact and strictly positive on  $\overline{\mathcal{R}(G^*)}$ ,

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then  $\mathcal{R}(G) = \mathcal{R}(F_{\#}^{1/2})$ .

Conclusion: if  $k^2$  is not an eigenvalue for the interior GIBC problem

$$z \in D \iff \phi_z^{\infty} \in \mathcal{R}(F_{\#}^{1/2})$$

---

$V$  is compactly embedded into  $H^{1/2}(\Gamma)$ ,

$\mathbf{Z}$  is an admissible impedance boundary operator.

# What if?

- Treated case: the embedding  $V \subset H^{1/2}(\Gamma)$  is compact,

$$\mathbf{Z} = \operatorname{div}_{\Gamma}(\mu \nabla_{\Gamma} \cdot) + \lambda \cdot$$

$$V = H^1(\Gamma)$$



# What if?

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- Symmetric case: the embedding  $H^{1/2}(\Gamma) \subset V$  is compact,

$$\mathbf{Z} = \lambda.$$

$$V = L^2(\Gamma)$$





# What if?

- Treated case: the embedding  $V \subset H^{1/2}(\Gamma)$  is compact,

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- Symmetric case: the embedding  $H^{1/2}(\Gamma) \subset V$  is compact,

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- Intermediate case: none of the compact embeddings hold.

$\Re e(T)$  fails to be signed!



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# Numerical framework

- $\mathbf{Z} = \operatorname{div}_{\Gamma}(\mu \nabla_{\Gamma} \cdot) + \lambda \cdot$ ,
- For  $N=50$ , the synthetic data are

$$\left\{ u_{i,j}^{\infty} \left( \frac{2i\pi}{N}, \frac{2j\pi}{N} \right) \right\}_{i,j=1,\dots,N}$$

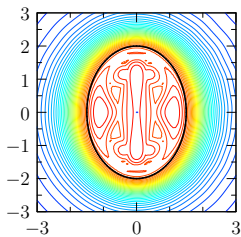
- The wavelength is equivalent to the size of the scatterer
- For each  $z$  in a given sampling grid we solve a discrete version of

$$F_{\#}^{1/2} g_z = \phi_z^{\infty}$$

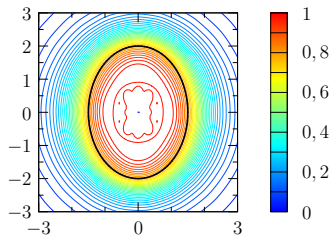
with **Tikhonov-Morozov** regularization and plot

$$z \longmapsto \frac{1}{\|g_z\|}.$$

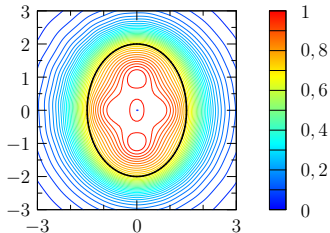
# Influence of $\mu$



(a)  $\mu = 100$

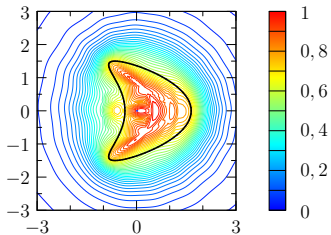


(b)  $\mu = 1$

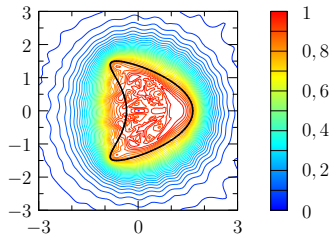


(c)  $\mu = 0.1$

# Influence of the wavelength

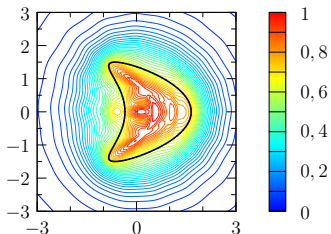


(d)  $\mu = 1$ , wavelength = 3

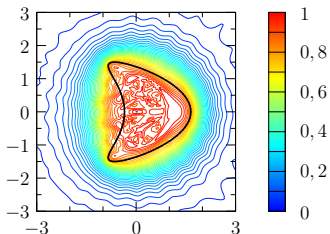


(e)  $\mu = 1$ , wavelength = 1.5

# Influence of the wavelength



(d)  $\mu = 1$ , wavelength = 3



(e)  $\mu = 1$ , wavelength = 1.5

Thank you for your attention!