Simultaneous reconstruction of an obstacle and its Generalized Impedance Boundary Condition

Laurent Bourgeois, <u>Nicolas Chaulet</u> and Houssem Haddar

INRIA Saclay, France





INSTITUT NATIONAL DE RECHERCHE EN INFORMATIQUE ET EN AUTOMATIQUE



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The Generalized Impedance Boundary Conditions in acoustic scattering



 $\underline{Context}$:

- Imperfectly conducting obstacles
- Periodic coatings (homogenized model)
- Thin layers
- Thin periodic coatings

$$\begin{split} &\Delta u + k^2 u = 0 \\ &u = u^s + u^i \\ &\lim_{R \to \infty} \int_{|x|=R} \left| \frac{\partial u^s}{\partial r} - iku^s \right|^2 ds = 0 \end{split}$$

Advantages:

• ...

• Cheaper direct computation (no mesh refinement)

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$$\begin{split} &\Delta u + k^2 u = 0 \\ &u = u^s + u^i \\ &\lim_{R \to \infty} \int_{|x| = R} \left| \frac{\partial u^s}{\partial r} - iku^s \right|^2 ds = 0 \end{split}$$

Advantages:

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- Cheaper direct computation (no mesh refinement)
- Inverse problem less unstable

Inverse problem: recover D and \mathbf{Z} from the scattered field.

Outline

1 The forward and inverse problems

- 2 Uniqueness and stability for the inverse problem
 - The case of a single incident wave, known obstacle
 - The case of infinitely many incident plane waves
- 3 A steepest descent method to solve the inverse problem
 - Presentation of the method
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Example of generalized impedance boundary condition

Most commonly used impedance operator:

 $\mathbf{Z} = \lambda$ a function.

Here, we consider a more general model:

$$\mathbf{Z}u = \operatorname{div}_{\Gamma}(\eta \nabla_{\Gamma} u) + \lambda u.$$

For example, this corresponds to the first order approximation of the solution for thin coatings

$$\mathbf{Z}u = \operatorname{div}_{\Gamma}(\mu^{-1}\delta\nabla_{\Gamma}u) + \delta k^{2}\epsilon u$$

where

- ϵ and μ are the electromagnetic constants inside the coating,
- δ is the width of the coating (non necessarily constant).

Approximate model for a perfect conductor coated with a thin dielectric layer



In dimension 2: (Aslanyüreck, Haddar, Şahintürk [11]) $\mathbf{Z}_{1} = \frac{\partial}{\partial s} \delta \mu^{-1} \frac{\partial}{\partial s} + \delta k^{2} \epsilon$ $\mathbf{Z}_{2} = \frac{\partial}{\partial s} \left(\delta - \frac{\delta^{2}c}{2} \right) \mu^{-1} \frac{\partial}{\partial s} + \left(\delta + \frac{\delta^{2}c}{2} \right) k^{2} \epsilon.$

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The forward problem

Find $u = u^s + u^i$ such that

$$u^{s} \in \left\{ v \in \mathcal{D}'(\Omega), \ \varphi v \in H^{1}(\Omega) \ \forall \varphi \in \mathcal{D}(\mathbb{R}^{d}); \ v_{|\partial D} \in H^{1}(\partial D) \right\}$$

and

$$(\mathcal{P}) \quad \begin{cases} \Delta u + k^2 u = 0 \quad \text{in } \Omega := \mathbb{R}^d \setminus \overline{D} \\ \frac{\partial u}{\partial \nu} + \operatorname{div}_{\Gamma}(\eta \nabla_{\Gamma} u) + \lambda u = 0 \quad \text{on } \partial D \\ \lim_{R \to \infty} \int_{|x|=R} \left| \frac{\partial u^s}{\partial r} - iku^s \right|^2 ds = 0. \end{cases}$$

u exists and is unique if

Sm(λ) ≥ 0, Sm(η) ≤ 0 a.e. on ∂D (physical assumption)
 ℜe(η) ≥ c a.e. on ∂D for c > 0.

The inverse problem

 $\frac{\text{The far field map}}{\text{For } u^i(x,\hat{\theta}) = e^{ik\hat{\theta}\cdot x} \text{ define}}$ $T: (\lambda, \eta, \partial D, \hat{\theta}) \mapsto u^{\infty}(\hat{x}, \hat{\theta})$

where u^{∞} associated with u^s is defined in dimension d by

$$u^{s}(x) = \frac{e^{ikr}}{r^{(d-1)/2}} \left(u^{\infty}(\hat{x}) + \mathcal{O}\left(\frac{1}{r}\right) \right) \qquad r \longrightarrow +\infty.$$

The inverse problem

Given N far-fields $(u^{\infty}(\cdot, \hat{\theta}_j))_{j=1,\dots,N}$, retrieve λ , η and the geometry ∂D ,

$$(u^{\infty}(\cdot,\hat{\theta}_j))_{j=1,\cdots,N} \mapsto (\lambda,\eta,\partial D).$$

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Uniqueness for λ The case $\eta = 0$

Uniqueness for λ with a single incident wave (Colton & Kirsch 81)

Let ∂D be a Lipschitz domain. Let λ_1 and λ_2 be two continuous functions. If for some incident direction $\hat{\theta}_0$ we have

$$T(\lambda_1, 0, \partial D, \hat{\theta}_0) = T(\lambda_2, 0, \partial D, \hat{\theta}_0)$$

then $\lambda_1 = \lambda_2$.

Proof

If $T(\lambda_1, 0, \partial D, \hat{\theta}_0) = T(\lambda_2, 0, \partial D, \hat{\theta}_0)$ then $u_{\lambda_1} = u_{\lambda_2}$ in Ω . For $u = u_{\lambda_1}$ we have

$$\frac{\partial u}{\partial \nu} + \lambda_i u = 0 \quad i = 1, 2 \text{ on } \partial D$$

$$(\lambda_2 - \lambda_1)u = 0$$
 on ∂D .

 $\lambda_2 \neq \lambda_1 \text{ on } S \subset \partial D \implies u = 0 \text{ and } \frac{\partial u}{\partial \nu} = 0 \text{ on } S.$ Then u = 0 in Ω , contradiction with the radiation condition.

What happens if $\eta \neq 0$?

• Let λ_1 and λ_2 be two continuous functions,

• and let η_1 and η_2 be two complex constants such that

$$\left(T(\lambda_1,\eta_1,\partial D,\hat{\theta})=T(\lambda_2,\eta_2,\partial D,\hat{\theta}).\right)$$

Denote u_i the total field given by (λ_i, η_i) , then $u := u_1 = u_2$ outside D and on ∂D .

$$\frac{\partial u}{\partial \nu} + \eta_i \Delta_{\Gamma} u + \lambda_i u = 0 \quad i = 1, 2 \text{ on } \partial D$$
$$[(\eta_2 - \eta_1) \Delta_{\Gamma} \cdot + (\lambda_2 - \lambda_1)] u = 0 \quad \text{on } \partial D.$$
No conclusion!

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No conclusion!

One can actually find $(\lambda_1, \eta_1) \neq (\lambda_2, \eta_2)$ such that

$$T(\lambda_1, \eta_1, \partial D, \hat{\theta}) = T(\lambda_2, \eta_2, \partial D, \hat{\theta})$$

(Bourgeois & Haddar 10)

Uniqueness and Lipschitz stability λ and η piecewise constant

- Let $(\partial D_i)_{i=1,\dots,I}$ be a partition of ∂D ,
- let K_I be a compact subset of $L^{\infty}(\partial D)^2$ such that if $(\lambda, \eta) \in K_I$,

$$\lambda(x) = \sum_{i=1}^{I} \lambda_i \chi_{\partial D_i}(x), \qquad \eta(x) = \sum_{i=1}^{I} \eta_i \chi_{\partial D_i}(x)$$

and assumptions for the forward problem are satisfied.

Global stability for λ (Sincich 07)

There exists $C_{K_I}^{\lambda} > 0$ such that for all (λ^1, η) and (λ^2, η) in K_I ,

$$\|\lambda^1 - \lambda^2\| \le C_{K_I}^{\lambda} \|T(\lambda^1, \eta, \partial D) - T(\lambda^2, \eta, \partial D)\|.$$

[Proof: Appropriate Carleman estimates Continuity of the near field to far field map.]

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Uniqueness and Lipschitz stability λ and η piecewise constant

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- let K_I be a compact subset of $L^{\infty}(\partial D)^2$ such that if $(\lambda, \eta) \in K_I$,

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and assumptions for the forward problem are satisfied.

• $\forall i = 1, \cdots, I$ it exists $S_i \subset \partial D_i$ such that $\forall (\lambda, \eta) \in K_I$ $(\mathcal{H}) \qquad \Delta_{\Gamma} u_{\lambda, \eta} \neq 0 \quad \text{on } S_i.$

Global stability for η (Bourgeois, C. & Haddar 11)

There exists $C_{K_I}^{\eta} > 0$ such that for all (λ, η^1) and (λ, η^2) in K_I ,

$$\|\eta^1 - \eta^2\| \le C^{\eta}_{K_I} \|T(\lambda, \eta^1, \partial D) - T(\lambda, \eta^2, \partial D)\|.$$

[Proof: Appropriate Carleman estimates Continuity of the near field to far field map.]

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Uniqueness of the identification of λ , η and ∂D

Uniqueness

Let D_1 , D_2 be two C^2 open bounded sets and take (λ_1, η_1) and $(\lambda_2, \eta_2) \in L^{\infty} \times W^{1,\infty}$.

$$u_1^{\infty} = T(\lambda_1, \eta_1, \partial D_1)$$
 and $u_2^{\infty} = T(\lambda_2, \eta_2, \partial D_2)$

if $u_1^\infty(\hat{x},\hat{\theta})=u_2^\infty(\hat{x},\hat{\theta})\;\forall(\hat{x},\hat{\theta})\in (S^{d-1})^2$ then

$$D_1 = D_2$$
 and $(\lambda_1, \eta_1) = (\lambda_2, \eta_2).$

Main tools

– The mixed reciprocity principle: for z outside D_1 and D_2

$$v^{\infty}(-\hat{x},z) = u^s(z,\hat{x}),$$

leads to $D_1 = D_2$. - Density of $\{u(\cdot, \hat{\theta}), \ \hat{\theta} \in S^{d-1}\}$ in $H^1(\partial D)$ gives $(\lambda_1, \eta_1) = (\lambda_2, \eta_2)$.

Uniqueness still holds for a general symmetric surface operator \mathbf{Z} .

$$(\mathbf{Z}_1, D_1) \longrightarrow u_1^{\infty}, \, (\mathbf{Z}_2, D_2) \longrightarrow u_2^{\infty} \text{ and } u_1^{\infty}(\hat{x}, \hat{\theta}) = u_2^{\infty}(\hat{x}, \hat{\theta})$$



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• Assume that $D_1 \neq D_2$.

Mixed reciprocity principle: $4\pi v^{\infty}(-\hat{x}, z) = u^{s}(z, \hat{x})$ for $z \in \mathbb{R}^{3} \setminus \overline{D_{1} \cup D_{2}}$.



$$\downarrow u_1^s(x,x_0) = u_2^s(x,x_0) \quad \forall (x,x_0) \in (\mathbb{R}^N \setminus (D_1 \cup D_2))^2$$

$$(\mathbf{Z}_1, D_1) \longrightarrow u_1^{\infty}, (\mathbf{Z}_2, D_2) \longrightarrow u_2^{\infty} \text{ and } u_1^{\infty}(\hat{x}, \hat{\theta}) = u_2^{\infty}(\hat{x}, \hat{\theta})$$

► Assume that $D_1 \neq D_2$. Mixed reciprocity principle: $4\pi v^{\infty}(-\hat{x}, z) = u^s(z, \hat{x})$ for $z \in \mathbb{R}^3 \setminus \overline{D_1 \cup D_2}$.



$$\begin{array}{c} \downarrow \\ u_1^s(x,x_0) = u_2^s(x,x_0) \quad \forall (x,x_0) \in (\mathbb{R}^N \setminus (D_1 \cup D_2))^2 \\ \downarrow \\ \frac{\partial u_2^s}{\partial \nu}(x_1,x_n) + \mathbf{Z}_1 u_2^s(x_1,x_n) = \frac{\partial u_1^s}{\partial \nu}(x_1,x_n) + \mathbf{Z}_1 u_1^s(x_1,x_n) \\ = -\left(\frac{\partial \Phi_{x_n}}{\partial \nu}(x_1) + \mathbf{Z}_1 \Phi_{x_n}(x_1)\right) \\ \xrightarrow{x_n \longrightarrow x_1} \end{array}$$

$$(\mathbf{Z}_1, D_1) \longrightarrow u_1^{\infty}, (\mathbf{Z}_2, D_2) \longrightarrow u_2^{\infty} \text{ and } u_1^{\infty}(\hat{x}, \hat{\theta}) = u_2^{\infty}(\hat{x}, \hat{\theta})$$

▶ Assume that $D_1 \neq D_2$.

$$\frac{\partial u_2^s}{\partial \nu}(x_1, x_n) + \mathbf{Z}_1 u_2^s(x_1, x_n) \underset{x_n \longrightarrow x}{\longrightarrow} \infty$$

but $\partial_{\nu} u_2^s(x_1, x_1) + \mathbf{Z}_1 u_2^s(x_1, x_1)$ remains bounded!

Conclusion:
$$D_1 = D_2$$
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 $\begin{array}{c} x_n & \Phi_{x_n}(x_1) \to \infty \\ \\ x_1 & & \\ D_1 & & \\ D_2 \end{array}$

► For every $\hat{\theta}$, $u_1(\cdot, \hat{\theta})$ satisfies

$$\mathbf{Z}_1 u_1(\cdot, \hat{\theta}) = \mathbf{Z}_2 u_1(\cdot, \hat{\theta}).$$

The density of $\{u_1(\cdot, \hat{\theta}), \hat{\theta} \in S^{d-1}\}$ in $H^1(\partial D)$ gives

$$\mathbf{Z}_1 \varphi = \mathbf{Z}_2 \varphi \quad \forall \varphi \in H^1(\partial D).$$

Test with well chosen functions to have $(\lambda_1, \eta_1) = (\lambda_2, \eta_2)$.

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4 Numerical experiments

Solving the inverse problem with a finite number of incident waves



$$F(\lambda,\eta,\partial D) := \frac{1}{2} \sum_{j=1}^{I} \|T(\lambda,\eta,\partial D,\hat{\theta}_j) - u_{\text{obs}}^{\infty}(\cdot,\hat{\theta}_j)\|_{L^2(S_j)}^2$$

For minimizing F:

- we need partial derivatives of the far–field with respect to λ and η (quite standard),
- we need an appropriate derivative w.r.t. the obstacle.



Difficulty: the unknown impedances are supported by ∂D .

Derivative of the cost function with respect to the obstacle



 $\varepsilon \in C^{1,\infty}(\mathbb{R}^d, \mathbb{R}^d) \text{ is "small"}$ $f_{\varepsilon} := \mathrm{Id} + \varepsilon$ $\partial D_{\varepsilon} := f_{\varepsilon}(\partial D)$ $\lambda_{\varepsilon} := \lambda \circ f_{\varepsilon}^{-1}, \quad \eta_{\varepsilon} := \eta \circ f_{\varepsilon}^{-1}$

We define the derivative v_{ε} of the scattered field with respect to the geometry at point $(\lambda, \eta, \partial D)$ by

$$u^{s}(\lambda_{\varepsilon},\eta_{\varepsilon},\partial D_{\varepsilon}) - u^{s}(\lambda,\eta,\partial D) = v_{\varepsilon} + o(||\varepsilon||)$$

where $\varepsilon \mapsto v_{\varepsilon}$ is linear.

Derivative of the cost function with respect to the obstacle



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where $\varepsilon \mapsto v_{\varepsilon}$ is linear.

One may find f_{ε} such that $\partial D = f_{\varepsilon}(\partial D)$ and

$$F_{\lambda,\eta}'(\partial D) \cdot \varepsilon \neq 0.$$

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 $F_{\lambda,\eta}'(\partial D)$ does not satisfy the classical shape derivative's properties!

Derivative of the scattered field with respect to the obstacle

Let $(\lambda, \eta, \partial D)$ be given and analytics, for some small $\varepsilon \in C^{1,\infty}$ define

$$\partial D_{\varepsilon} = f_{\varepsilon}(\partial D), \quad \lambda_{\varepsilon} := \lambda \circ f_{\varepsilon}^{-1} \text{ and } \eta_{\varepsilon} := \eta \circ f_{\varepsilon}^{-1}.$$

Let $u_{\varepsilon}^{s}[u^{s}]$ be scattered field associated with $(\lambda_{\varepsilon}, \eta_{\varepsilon}, \partial D_{\varepsilon})[(\lambda, \eta, \partial D)]$.

$$u_{\varepsilon}^{s}(x) - u^{s}(x) = v_{\varepsilon}(x) + o(||\varepsilon||),$$

where $v_{\varepsilon}(x)$ is the solution of the scattering problem with

$$\begin{aligned} \frac{\partial v_{\varepsilon}}{\partial \nu} + \mathbf{Z} v_{\varepsilon} &= B_{\varepsilon} u \quad \text{on} \quad \partial D \\ B_{\varepsilon} u = (\varepsilon \cdot \nu) (k^2 - 2H\lambda) u + \operatorname{div}_{\Gamma} ((Id + 2\eta (R - H Id))(\varepsilon \cdot \nu) \nabla_{\Gamma} u) \\ &+ (\nabla_{\Gamma} \lambda \cdot \varepsilon) u + \operatorname{div}_{\Gamma} ((\nabla_{\Gamma} \eta \cdot \varepsilon) \nabla_{\Gamma} u) \\ &+ \mathbf{Z} ((\varepsilon \cdot \nu) \mathbf{Z} u) \,, \end{aligned}$$

with
$$2H := \operatorname{div}_{\Gamma} \nu$$
, $R := \nabla_{\Gamma} \nu$ and $\mathbf{Z} \cdot = \operatorname{div}_{\Gamma}(\eta \nabla_{\Gamma} \cdot) + \lambda \cdot$

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Main tools of the proof

- Domain derivative tools: Murat and Simon [73], Kirsch [93], Hettlich [94], Potthast [94].
- Green's theorems and integral representation of the scattered field: Kress and Päivärinta [99], Haddar and Kress [04].

Green's theorems and integral representation: write

$$u_{\varepsilon}^{s} - u^{s} = -\int_{\partial D} (B_{\varepsilon}u)w(\cdot, y)ds(y) + o(\|\varepsilon\|)$$

where for $y \in \Omega$ $w(\cdot, y) = w^s(\cdot, y) + \Phi(\cdot, y)$ is the Green function associated with the GIBC scattering problem

$$\begin{cases} \Delta w(\cdot, y) + k^2 w(\cdot, y) = \delta_y & \text{in } \Omega \\ \frac{\partial w}{\partial \nu} + \mathbf{Z}w = 0 & \text{on } \partial D \\ + \text{radiation condition.} \end{cases}$$

Sketch of the proof (1/2)

Volume extension of the surface objects between ∂D and ∂D_{ε}

 D_{ε} is outside D

 $D^{\star} = D_{\varepsilon} \setminus \overline{D}$

• We parametrize D^* with $f_{\varepsilon}^t := Id + t\varepsilon$ for $t \in [0, 1]$,

$$D^* \ni x_t = x_0 + t\varepsilon(x_0)$$

•
$$\lambda_t = \lambda \circ (f_{\varepsilon}^t)^{-1}, \quad \eta_t = \eta \circ (f_{\varepsilon}^t)^{-1}$$

- for a given $t, \partial D_t := f_{\varepsilon}^t(\partial D),$
- ν_t : outward unit normal of ∂D_t , the direction of ν_t depends on t!

•
$$\nabla_{\Gamma_t} \cdot := \left(\nabla \cdot - \frac{\partial \cdot}{\partial \nu_t} \nu_t \right) |_{\partial D_t}$$



Objective: write the
$$u_{\varepsilon}^{s} - u^{s}$$
 as
$$u_{\varepsilon}^{s}(x) - u^{s}(x) = -\int_{\partial D} (B_{\varepsilon}u)w(\cdot, x)ds + o(\|\varepsilon\|).$$

Integral representation formula for x outside D_{ε} :

$$u_{\varepsilon}^{s}(x) - u^{s}(x) = \int_{\partial D_{\varepsilon}} u_{\varepsilon} \left\{ \frac{\partial w}{\partial \nu_{\varepsilon}} + \operatorname{div}_{\Gamma_{\varepsilon}}(\eta_{\varepsilon} \nabla_{\Gamma_{\varepsilon}} w) + \lambda_{\varepsilon} w \right\} ds_{\varepsilon},$$

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Gauss divergence theorem

$$= \int_{D_{\varepsilon} \setminus \overline{D}} \operatorname{div} \left\{ u \nabla w - \eta_t (\nabla_{\Gamma_t} u \cdot \nabla_{\Gamma_t} w) \, \nu_t + \lambda_t u w \, \nu_t \right\} dx + o(\|\varepsilon\|)$$

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Gauss divergence theorem

$$= \int_{\partial D} (\varepsilon \cdot \nu) \int_0^1 \operatorname{div} \left(-\eta_t \nabla_{\Gamma_t} u \cdot \nabla_{\Gamma_t} w \nu_t + u \nabla w + \lambda_t u w \nu_t \right) \, dt \, ds + o(||\varepsilon||)$$

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Gauss divergence theorem and Taylor expansion:

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$$\operatorname{div}\left(\lambda_{t}uw\nu_{t}\right)|_{t=0} = uw\left(\nabla\lambda_{t}\right)|_{t=0}\cdot\nu + \lambda\frac{\partial(uw)}{\partial\nu} + \lambda uw\operatorname{div}(\nu_{t})|_{t=0}$$
$$(\nu\cdot\varepsilon)(\nabla\lambda_{t})|_{t=0}\cdot\nu = -\nabla_{\Gamma}\lambda\cdot\varepsilon$$

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A steepest descent method to solve the inverse coefficient problem



Numerical procedure:

- update alternatively λ , η and ∂D with a direction given by the partial derivative of the cost function,
- when we update the geometry we also transport the impedance coefficients to the new boundary.

The regularization procedure

$$F(\lambda,\eta,\partial D) = \frac{1}{2} \sum_{j=1}^{I} \|T(\lambda,\eta,\partial D,\hat{\theta}_j) - u_{\text{obs}}^{\infty}(\cdot,\hat{\theta}_j)\|_{L^2(S_j)}^2$$

We regularize the gradient, NOT the cost function, using a $H^1(\partial D)$ regularization.

▶ Descent direction for λ : $\delta\lambda$ that solves for every ϕ in some finite dimensional space:

$$\beta_{\lambda} \int_{\partial D} \nabla_{\Gamma}(\delta \lambda) \cdot \nabla_{\Gamma} \phi \, ds + \int_{\partial D} \delta \lambda \phi \, ds = -\alpha_{\lambda} \, F'_{\eta, \partial D}(\lambda) \cdot \phi$$

where β_{λ} is the regularization coefficient and α_{λ} is the descent coefficient.

▶ Do the same for $\delta\eta$ and $\delta(\partial D)$.

Numerical reconstruction

Finite elements method and remeshing procedure $using \ FreeFem++$



Reconstruction of the geometry with 2 incident waves and 1% noise on the far-field, $\lambda = ik/2$ and $\eta = 2/k$ being known

Numerical reconstruction Simultaneous reconstruction of λ , ∂D with $\eta = 0$



8 incident waves, 5% of noise on far-field data. We iterate only on the geometry.

$$B_{\varepsilon}u = (\nabla_{\Gamma}\lambda \cdot \varepsilon)u + \cdots$$

Numerical reconstruction

Simultaneous reconstruction of λ , η and ∂D



8 incident waves, 5% of noise on far-field data.





Application to the reconstruction of a coated obstacle



Reconstruction of an obstacle using the generalized impedance boundary condition model of order 1 minimizing

$$F(\epsilon, \delta, \Gamma) := \frac{1}{2} \sum_{j=1}^{I} \|T(\epsilon, \delta, \Gamma, \hat{\theta}_j) - u_{\text{obs,mince}}^{\infty}(\cdot, \hat{\theta}_j)\|_{L^2(S_j)}^2$$

with $\mu = 0.1$ known.

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Application to the reconstruction of a coated obstacle

Numerical results

Artificial data created with

- $\mu = 0.1$ is known,
- $\delta = 0.04l(1 0.4\sin(\theta))$ is unknown; *l* being the wavelength,
- $\epsilon = 2.5$ is unknown.

<u>Reconstructed</u> ϵ : 2.3.



Fails with a classical impedance boundary condition model!

Conclusion

- ▶ The inverse problem is ill-posed but not too much.
- ▶ It is solvable using a steepest descent method with regularization.
- ▶ Possible reconstruction of coated obstacles.

- ▶ Extension to the 3D Maxwell equations (ongoing work).
- ▶ The case of a general symmetric operator on the boundary?