

Motives and Diophantine geometry

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Goals:

I. Understand what a theory of (mixed) motives is expected to do;

II. Understand what it cannot do;

from the viewpoint of Diophantine geometry. And then

III. discuss a proposed remedy:

abelian theory \rightarrow non-abelian theory

homology \rightarrow homotopy

Elementary fact underlying our discussion:

$$x \neq y$$

$$\Rightarrow \pi_1(X; b, x) \neq \pi_1(X; b, y)$$

Classical expectation:

Variety X

$$\rightsquigarrow \zeta(X, s) = \prod L(H^i(X), s)^{(-1)^i} = \dots$$

(zeta-function of X)

\rightsquigarrow Diophantine invariants of X .

Example:

$X = \text{Spec}(F)$, $F = \mathbb{Q}(\sqrt{D})$ real quadratic field with discriminant D . Then

$$\zeta(X, s) = \sum_m \frac{1}{N(m)^s}$$

as m runs over ideals of F .

But there is a decomposition

$$\zeta(X, s) = \zeta(s)L(\chi_D, s)$$

where

$$L(\chi_D, s) = \sum_n \frac{\chi_D(n)}{n^s}$$

and χ_D is the character of the quadratic field computed easily using the Legendre symbol.

Formula for the class number h_X of X :

$$h_X = \frac{\sqrt{D}}{2 \ln u} L(X, 1), \quad D > 0$$

$$h_X = \frac{\epsilon \sqrt{|D|}}{2\pi} L(X, 1), \quad D < 0$$

where u is the fundamental unit of F and ϵ is the number of roots of unity.

Very efficient formula for computing the class number.

Second example:

E/\mathbb{Q} elliptic curve defined by minimal equation

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

Then

$$\zeta(E, s)$$

defined using number of points N_p mod p for almost all primes p , i.e., the number of solutions to this equation in \mathbf{F}_p .

Note: Solutions in finite fields are computed readily. But set of rational solutions

$$E(\mathbb{Q}) \simeq \mathbb{Z}^r \times (\text{finite abelian group})$$

quite mysterious. For example, when is it infinite, i.e., $r \neq 0$?

Decomposition:

$$\zeta(E, s) = \zeta(s)\zeta(s - 1)/L(E, s)$$

where

$$L(E, s) = L_{bad} \prod_{(p, \Delta_E)=1} (1 - a_p p^{-s} + p^{1-2s})^{-1}$$

and $a_p = p - N_p$.

Here, Δ_E is the discriminant of E and L_{bad} is the product of finitely many factors of the form $1/(1 - a_p p^{-s})$ incorporating the primes of bad reduction. Precise definition should go through the Galois action on the *Tate module* of E .

That is, for any prime l , we consider the l^n -torsion subgroups

$$E[l^n]$$

of $E(\bar{\mathbb{Q}})$ which fit into an inverse system

$$\cdots \rightarrow E[l^3] \xrightarrow{l} E[l^2] \xrightarrow{l} E[l]$$

The l -adic Tate module of E is defined by

$$T_l E := \varprojlim E[l^n] (\simeq \mathbb{Z}_l^2)$$

and it admits a natural action of

$$\Gamma = \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$$

In general, still a difficult action to understand in detail.

We can write

$$L(E, s) = \prod L_p(E, s),$$

an *Euler product*, and $L_p(E, s)$ depends only on the restriction of the action to $\text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p)$.

Exact sequence

$$0 \rightarrow I_p \rightarrow \text{Gal}(\bar{\mathbb{Q}}_p/\mathbb{Q}_p) \rightarrow \text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p) \rightarrow 0$$

so the space of inertia invariants

$$(T_l E)^{I_p}$$

admits an action of

$$\text{Gal}(\bar{\mathbb{F}}_p/\mathbb{F}_p) = \langle Fr_p \rangle$$

For any $l \neq p$

$$L_p(E, s) = \det([Id - p^{-s} Fr_p] | (T_l E)^{I_p})^{-1}$$

When $(p, \Delta_E) = 1$, I_p -action is trivial, so determinant is of form

$$1 - a_p p^{-s} + b_p p^{-2s}$$

For $p | \Delta_E$, becomes of the form

$$1 - a_p p^{-s}$$

or

$$1$$

The product expression for L converges only for $\operatorname{Re}(s)$ large (actually, $\operatorname{Re}(s) > 3/2$).

To compute $L(E, s)$ efficiently, use *modular forms*. Among the very non-trivial examples of Langlands' correspondence.

$$L(E, s) = L(f_E, s)$$

'Motivic L-function equals automorphic L-function.'

Proved in this case by Wiles then Breuil-Conrad-Diamond Taylor.

Such an equality endows the motivic L -function with an analytic continuation and a functional equation.

Algorithm:

Find conductor

$$N_E = \prod_{p|\Delta_E} p^{f_p}$$

of E . There is an efficient algorithm for computing f_p using the conductor-discriminant formula of Ogg:

$$f_p = \text{ord}_p(\Delta_p) + 1 - c_p$$

where c_p is the number of components in the minimal regular model of E . (Can compute all these quantities using Tate's algorithm.)

Then look for a modular cusp form

$$f_E = \sum a_n q^n$$

for $\Gamma_0(N_E)$ of weight two which is a Hecke eigen-new-form determined by the a_p .

With that, we get

$$(2\pi)^s \Gamma(s) L(E, s) = \int_0^\infty f_E(iy) y^{s-1} dy$$
$$= \int_{1/\sqrt{N_E}}^\infty f_E(iy) y^{s-1} dy \pm \int_{1/\sqrt{N_E}}^\infty f_E(iy) y^{1-s} dy$$

convergent for all s .

Conjecture (BSD):

$$\text{ord}_{s=1} L(E, s) = \text{rank} E(\mathbb{Q})$$

in particular,

$E(\mathbb{Q})$ is finite iff $L(E, 1) \neq 0$.

Known for 'most E ' by work of Gross-Zagier and Kolyvagin. More precisely, known for E such that

$$\text{ord}_{s=0} L(E, s) \leq 1$$

Such conditions hard to verify computationally.

But can sometimes easily verify

$$L(E, 1) = 0.$$

Functional equation:

$$\Lambda(E, s) = N_E^{s/2} (2\pi)^{-s} \Gamma(s) L(E, s)$$

satisfies

$$\Lambda(E, s) = w_E \Lambda(E, 2 - s),$$

where w_E is the *sign of the functional equation*.

Can be computed efficiently using

$$w_E = w_\infty \prod_p w_p$$

and some simple recipes for the local terms.

Thus, when $w_E = -1$,

$$L(E, 1) = 0.$$

If we then verify numerically that

$$L'(E, 1) \neq 0,$$

conclude that $E(\mathbb{Q})$ is infinite, in fact,

$$\simeq \mathbb{Z} \times \text{finite group}$$

That is,

-computation of L -function,

-functional equation,

-and known case of BSD

can sometimes give us complete structure of $E(\mathbb{Q})$.

Even more: In this case, there is an interesting algorithm for using f_E and Heegner points on $X_0(N_E)$ to actually find a point of infinite order on E .

Continuation of BSD: If r is the order of vanishing, then

$$\begin{aligned} & (s - 1)^{-r} L(E, s)|_{s=1} \\ &= |Sha(E)| R_E \Omega \prod_{p|\Delta_E} c_p / |E(\mathbb{Q})(tor)|^2 \end{aligned}$$

relating L -values to many other *refined arithmetic invariants* of E .

Advice for beginners: Start by spending one day of the week studying each term of the formula (and rest weekends).

Brief discussion of terms.

Important distinction: Rational terms versus transcendental terms.

Rational terms:

$Sha(E)$: The Tate-Shafarevich group of E , conjectured to be finite. Classifies locally trivial torsors for E . Analogous to a class group. (More later.)

$E(\mathbb{Q})(tor)$: (finite) torsion subgroup of $E(\mathbb{Q})$.

c_p : Tamagawa number.

$$c_p = (E(\mathbb{Q}_p) : E^0(\mathbb{Q}_p))$$

Transcendental terms:

R_E : Regulator of E computed using canonical height \langle, \rangle and basis $\{P_1, P_2, \dots, P_r\}$ for $E(\mathbb{Q})/E(\mathbb{Q})(tor)$.

$$R_E := |\det(\langle P_i, P_j \rangle)|$$

Thus, R_E is the covolume of the Mordell-Weil group, in a manner analogous to the classical regulator of number fields (covolume of units).

Ω : real period (or 2 times real period)

$$\Omega = \int_{E(\mathbb{R})} |\omega|$$

where

$$\omega = dx/(2y + a_1x + a_3)$$

General principle: L-functions useful for the study of Diophantine problems, in relation to both *general formulas* and *specific techniques*.

In fact, the rough picture for elliptic curves admits a vast generalization.

X/\mathbb{Q} smooth projective variety of dimension d . Then

$$\zeta(X, s) = \prod_i L(H^i(X), s)^{(-1)^i}$$

Each $L(H^i(X), s)$ could decompose further in a natural way.

For example, if $X = \text{Spec}(F)$ where F is a number field Galois over \mathbb{Q} , then

$$\zeta(X, s) = L(H^0(X), s) = \prod_{\rho} L(\rho, s)^{\dim(\rho)}$$

as ρ runs over irreducible representations of $\text{Gal}(F/\mathbb{Q})$ and $L(\rho, s)$ is an Artin L-function.

For $X_0(N)$ modular curve,

$$\zeta(X_0(N), s) = \zeta(s)\zeta(s-1)/L(H^1(X), s)$$

and

$$L(H^1(X), s) = \prod_i L(f_i, s)$$

where the f_i are modular forms of weight 2.

Warning:

Such decompositions are finite-products. Completely different in nature from infinite Euler product decomposition.

In fact, each of the factors themselves admit an Euler product, say,

$$L(f_i, s) = \prod_p L_p(f_i, s) \sim \prod_p (1 - a_p p^{-s} + p^{1-2s})^{-1}$$

and have the *general analytic shape* of the $L(H^i(X), s)$.

Motives

are the objects of a geometric nature that should account for the natural decomposition of the zeta functions of varieties.

For example, there should be a motive

$$H^i(X).$$

But if

$$L(H^i(X), s) = \prod L_{ij}(s)$$

in a natural way, then should have a natural decomposition

$$H^i(X) = \prod M_{ij}$$

in an abelian category of motives.

Examples:

-Artin motives corresponding to Artin L-functions.

-Motives for modular forms.

-Motives occurring as factors of Shimura varieties.

Analogy:

G : group \rightsquigarrow

$\mathbb{Q}[G]$: group algebra living in the linear category of vector spaces with finite group action.

But then

$$\mathbb{Q}[G] \simeq \prod_{\rho} \dim(\rho) V_{\rho}$$

That is, a non-linear object like a group, when put into an appropriate linear category, decomposes naturally.

Similarly, expect an category Mot together with a functor

$$M : Var \rightarrow Mot$$

from the category of varieties, such that for any variety X , a decomposition

$$M(X) \simeq \prod M_i$$

accounts for the decomposition of the zeta function of X .

This way, the natural invariants of X should also ‘decompose’ enabling us to analyze them piece by piece.

The study of irreducible motives should admit a simplicity analogous to the study of irreducible representations.

For any motive M , expect analytic continuation and functional equation for $L(M, s)$ based upon Langlands' correspondence:

'motivic L-function=automorphic L-function'

generalizing all known examples, e.g., class field theory analysis of abelian Artin L-functions.

Diophantine applications should follow, from general formulas to specific techniques, following the lines of BSD.

Recall: for elliptic curve over \mathbb{Q} ,

$$\text{ord}_{s=1} L(E, s) = \text{rank} E(\mathbb{Q})$$

and

$$\begin{aligned} & (s - 1)^{-r} L(E, s)|_{s=1} \\ &= |Sha(E)| R_E \Omega \prod_{p|\Delta_E} c_p / |E(\mathbb{Q})(tor)|^2 \end{aligned}$$

Conjectures:

Deligne: generalizes Ω using comparison between algebraic De Rham cohomology and \mathbb{Q} -coefficient singular cohomology together with Hodge structure.

Beilinson: generalizes interpretation of order of vanishing and R_E using higher regulator maps from motivic cohomology.

Bloch and Kato: generalize the rational terms.

Next lecture: Some cohomological techniques related to *mixed* motives.