

# On the learnability of saltatory phonological alternations

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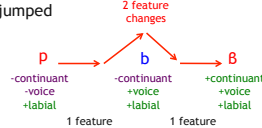
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## 1. Background and research questions

- How do people learn the phonological alternations of their language?
  - Is phonological learning the result of an **unbiased search** for patterns?<sup>[1]</sup>
  - Or is learning guided by **biases** against certain patterns?
    - What types of patterns are dispreferred by learners?
    - What is the nature of these biases?
    - How can we account for them in learning models?
- Much of the recent literature supports a **soft bias approach**: certain patterns are dispreferred, but may be learned given enough input.<sup>[2-5]</sup>

## 2. Case study: Saltatory alternations

- Saltatory alternation**: An alternation in which an intermediate non-alternating sound is "jumped over."<sup>[6]</sup>
- E.g. Campidanian Sardinian:<sup>[6]</sup>
  - /pani/ → [s'u b̥ai] 'the bread'
  - /binu/ → [s'u biu] 'the wine'
- Why are they interesting?
  - Relatively uncommon.
  - It has long been argued that alternations between more similar sounds are better than alternations between less similar.<sup>[7-8]</sup>
  - Accounting for them is not theoretically straightforward (e.g., classical OT<sup>[9]</sup> cannot).



## Acknowledgments

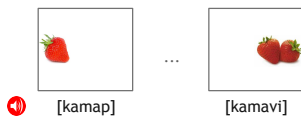
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## 3. The experiments: Are learners biased against saltatory alternations?

### Method

- Artificial language learning paradigm
- Participants: 20 UCLA undergrads per experiment.
- Procedure - 3 phases:

- Exposure**: Learn alternations by hearing pairs of nonwords with a singular and plural picture.



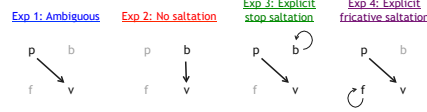
- Verification**: Tested on subset of exposure pairs. Participants choose between changing and non-changing auditory plural options (order counterbalanced).

e.g. [kamap] ... [kamavi] -or- [kamapi]

--Repeat Exposure/Verification until 80% accuracy--

- Generalization**: Same test on **novel nonwords**, including some ending in **untrained sounds**.

- Training input summary:



- Tested in Generalization phase on nonwords ending in [p, b, f] for each experiment.

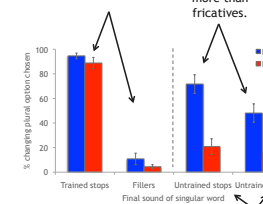
- Also included: analogous coronals [t, d, θ, ð].
- Equal number of **non-alternating fillers** (mostly sonorants) in training.

E.g. [luman] → [lumani]

### Results in generalization phase

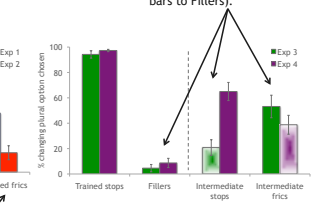
#### Experiments 1 & 2

- Trained pattern**: Good generalization to novel nonwords.
- Preference for changing untrained stops more than fricatives.



#### Experiments 3 & 4

- Explicitly saltatory cases**: some learning, but a lot more errors than on fillers, despite training (compare faded bars to Fillers).



- Untrained sounds**: Ps change intermediate sounds when ambiguously saltatory (Exp 1) much more than they do untrained non-intermediate sounds (Exp 2). = **dispreference for saltatory alternations!**

Participants learn saltatory alternations, but they are harder to learn—they continue to make errors that they don't make for fillers.

## 4. Modeling overview

- How can we account for the dispreferred status, but ultimate learnability, of saltatory alternations?
- I use **Maximum entropy grammar** models.<sup>[10]</sup>
- Implemented using the **Maxent Grammar Tool** (from Bruce Hayes's webpage).

### Provide model:

- A set of input forms
- For each input form, a set of candidates and the observed probability of each candidate winning
- A set of constraints and violations
- Prior (soft bias), 2 settings for each constraint:
  - $\mu$  = preferred weight for a constraint
  - $\sigma^2$  = how tightly a constraint weight is constrained to its  $\mu$  during learning
- Training data**: Same as experimental participants received.

### Model output:

- Constraint weights
- For each input form, the predicted probability of each candidate
- Same markedness constraints for all models:
  - \*V[-voice]V = penalize intervocalic voiceless sounds
  - \*V[-continuant]V = penalizes intervocalic stops

## 6. Conclusions

- Language learners disprefer saltatory alternations, but they are not unlearnable.
- A MaxEnt grammar model can account for both of these observations, provided that:
  - It has a more expressive set of constraints (such as the \*MAP variety).
  - It has a similarity bias, derived from confusion matrix data, preferring alternations between similar sounds.

## 5. The models

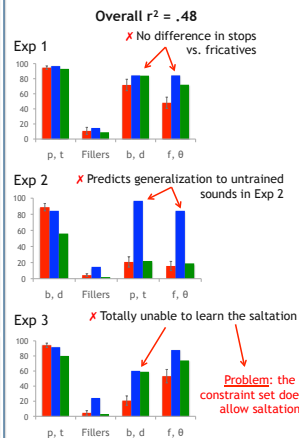
- Exp Results**
- Model Predictions**
- Best Possible Fit (Model directly trained on experimental results)**

### I. Traditional faithfulness<sup>[9]</sup>, Unbiased

#### Faithfulness constraints:

IDENT(voice), IDENT(cont), IDENT(son)

**Flat prior**: For all constraints,  $\mu = 0$ ,  $\sigma^2 = 0.5$



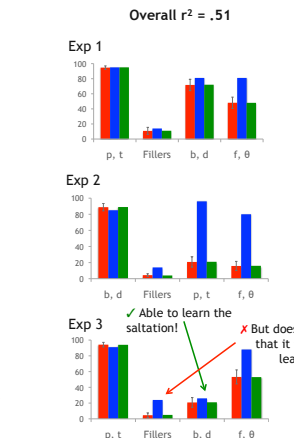
### II. \*MAP constraints, Unbiased

#### Faithfulness constraints:

More expressive \*MAP constraints<sup>[11]</sup> for every pair of sounds

E.g., \*MAP(p, v) = Don't map underlying /p/ to surface [v]

**Flat prior**: For all constraints,  $\mu = 0$ ,  $\sigma^2 = 0.5$

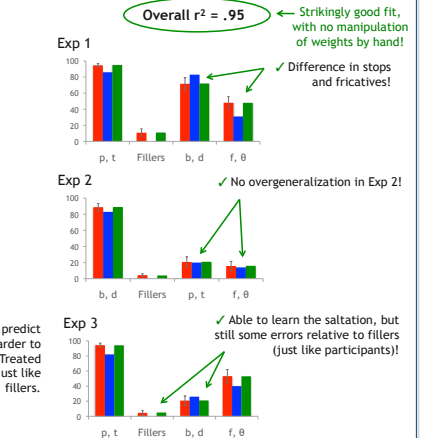


### III. \*MAP constraints, Similarity bias

#### Faithfulness constraints: \*MAP constraints

**Similarity bias prior** - similar to Steriade's P-map<sup>[8]</sup>:  
**Step 1**: Use **confusion matrix probabilities**<sup>[12]</sup> as input to a separate Maxent model with \*MAP constraints.  
**Result**: \*MAP constraints receive weights based on **confusability**; Constraints penalizing more confusable (i.e., more similar) sounds get lower weights.

E.g., \*MAP(b, v) has lower weight than \*MAP(p, v).  
**Step 2**: These weights become preferred weights ( $\mu$ ) in the main model. For all constraints,  $\sigma^2 = 0.5$ .



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