Selmer varieties

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Postech

Option 1: Finish now.

Option 2: Proceed with lecture.

- 0. 'Philosophy'
- I. Non-abelian descent
- II. Diophantine finiteness
- III. Preliminary remarks on non-abelian duality
- IV. Explicit formulas.

### 0. 'Philosophy'

Objects of study:

Various Hom(Y, X), say for X and Y schemes;

 $\rightarrow X$  and Y anabelian schemes (Grothendieck);

 $\to X$  a generically smooth curve over  $Y = \operatorname{Spec}(\mathbb{Z}_S)$ , where  $\mathbb{Z}_S$  is the ring of S integers for some finite set S of primes.

The last case is the Diophantine geometry of curves: the study of its S-integral points.<sup>a</sup>

<sup>&</sup>lt;sup>a</sup>When X is projective, an S-integral point is the same as a rational point.

Usual approach emphasizes the differences between three cases: genus zero, genus one, and genus  $\geq 2$ .

However, we wish to focus on the parallels, especially between

(E, e),

a compact curve of genus one equipped with an integral point, and

(X,b),

a hyperbolic curve<sup>a</sup> equipped with an integral point.

<sup>a</sup>That is,  $X(\mathbb{C})$  has a hyperbolic metric. Equivalently, X is genus zero minus at least three points, genus one minus at least one point, or genus  $\geq 2$ .

#### I. Non-abelian descent

(E, e) elliptic curve over  $\mathbb{Z}_S$ . p prime not in S.  $T = S \cup \{p\}$ .  $G := \operatorname{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ . Kummer theory provides an injection  $E(\mathbb{Z}_S)/p^n E(\mathbb{Z}_S) \hookrightarrow H^1(G_T, E[P^n]).$ 

BSD conjectured an isomorphism

$$E(\mathbb{Z}_S) \otimes \mathbb{Z}_p \simeq H^1_f(G, T_p(E))$$

where

$$T_p(E) := \varprojlim E[p^n]$$

is the *p*-adic Tate module of E and the subscript f refers to local 'Selmer' conditions.

When  $X/\mathbb{Z}_S$  is a smooth hyperbolic curve and  $b \in X(\mathbb{Z}_S)$ , analogue of above construction is

 $X(\mathbb{Z}_S) \xrightarrow{\kappa} H^1_f(G, H^{et}_1(\bar{X}, \mathbb{Z}_p))$ 

using the p-adic étale homology

$$H_1^{et}(\bar{X},\mathbb{Z}_p) := \pi_1^{et,p}(\bar{X},b)^{ab}$$

of  $\bar{X} := X \times_{\operatorname{\mathbf{Spec}}(\mathbb{Q})} \operatorname{\mathbf{Spec}}(\bar{\mathbb{Q}}).$ 

Several different descriptions of this map.

But in any case, it factors through the Jacobian

 $X(\mathbb{Z}_S) \rightarrow J(\mathbb{Z}_S) \rightarrow H^1_f(G, T_pJ)$ 

using the isomorphism

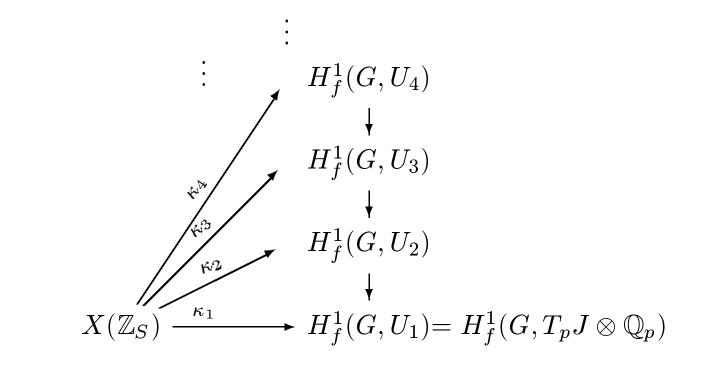
$$H_1^{et}(\bar{X}, \mathbb{Z}_p) \simeq T_p J,$$

where the first map is the Albanese map

$$x \mapsto [x] - [b]$$

and the second is again provided Kummer theory on the abelian variety J.

Consequently, difficult to disentangle  $X(\mathbb{Z}_S)$  from  $J(\mathbb{Z}_S)$ . Efforts of Weil, Mumford, Vojta. The theory of *Selmer varieties* refines this to a tower:



where the system  $\{U_n\}$  is the  $\mathbb{Q}_p$ -unipotent étale fundamental group  $\pi_1^{u,\mathbb{Q}_p}(\bar{X},b)$  of  $\bar{X}$ .

Brief remarks on the constructions.

1. The étale site of  $\bar{X}$  defines a category

 $\operatorname{Un}(\bar{X}, \mathbb{Q}_p)$ 

of locally constant unipotent  $\mathbb{Q}_p$ -sheaves on  $\overline{X}$ . A sheaf  $\mathcal{V}$  is unipotent if it can be constructed using successive extensions by the constant sheaf  $[\mathbb{Q}_p]_{\overline{X}}$ .

2. We have a fiber functor

 $F_b: \mathrm{Un}(\bar{X}, \mathbb{Q}_p) \rightarrow \mathrm{Vect}_{\mathbb{Q}_p}$ 

that associates to a sheaf  $\mathcal{V}$  its stalk  $\mathcal{V}_b$ . Then

$$U:=\mathrm{Aut}^{\otimes}(F_b),$$

the tensor-compatible automorphisms of the functor. U is a pro-algebraic pro-unipotent group over  $\mathbb{Q}_p$ .

3.

$$U = U^1 \supset U^2 \supset U^3 \supset \cdots$$

is the descending central series of U, and

$$U_n = U^{n+1} \backslash U$$

are the associated quotients. There is an identification

$$U_1 = H_1^{et}(\bar{X}, \mathbb{Q}_p) = V := T_p J \otimes \mathbb{Q}_p$$

at the bottom level and exact sequences

$$0 \to U^{n+1} \setminus U^n \to U_n \to U_{n-1} \to 0$$

for each n.

For example, for n = 2,

$$0 \to \wedge^2 V \to U_2 \to V \to 0,$$

for affine X.

When  $X = E \setminus \{e\}$  for an elliptic curve E, this becomes

$$0 \rightarrow \mathbb{Q}_p(1) \rightarrow U_2 \rightarrow V \rightarrow 0.$$

When X is compact, we get

$$0 \to [\wedge^2 V / \mathbb{Q}_p(1)] \to U_2 \to V \to 0,$$

where

$$\mathbb{Q}_p(1) \hookrightarrow \wedge^2 V$$

comes from the Weil pairing.

4. U has a natural action of G lifting the action on V, and  $H^1(G, U_n)$  denotes continuous Galois cohomology with values in the points of  $U_n$ . For  $n \ge 2$ , this is *non-abelian cohomology*, and hence, does not have the structure of a group.

5.  $H^1_f(G, U_n) \subset H^1(G, U_n)$  denotes a subset defined by local 'Selmer' conditions that require the classes to be

(a) unramified outside a set  $T = S \cup \{p\}$ , where S is the set of primes of bad reduction;

(b) and crystalline at p, a condition coming from p-adic Hodge theory.

6. The system

$$\cdots \rightarrow H_f^1(G, U_{n+1}) \rightarrow H_f^1(G, U_n) \rightarrow H_f^1(G, U_{n-1}) \rightarrow \cdots$$

is a pro-algebraic variety, the Selmer variety of X. That is, each  $H_f^1(G, U_n)$  is an algebraic variety over  $\mathbb{Q}_p$  and the transition maps are algebraic.

$$H_f^1(G, U) = \{H_f^1(G, U_n)\}$$

is the moduli space of principal bundles for U in the étale topology of  $\operatorname{Spec}(\mathbb{Z}[1/S])$  that are crystalline at p.

If  $\mathbb{Q}_T$  denotes the maximal extension of  $\mathbb{Q}$  unramified outside Tand  $G_T := \operatorname{Gal}(\mathbb{Q}_T/\mathbb{Q})$ , then  $H^1_f(G, U_n)$  is naturally realized as a closed subvariety of  $H^1(G_T, U_n)$ . For the latter, there are exact sequences

$$0 \to H^1(G_T, U^{n+1} \setminus U^n) \to H^1(G_T, U_n) \to H^1(G_T, U_{n-1}) \xrightarrow{\delta} H^2(G_T, U^{n+1} \setminus U^n)$$

in the sense of fiber bundles, and the algebraic structures are built up iteratively from the  $\mathbb{Q}_p$ -vector space structure on the

 $H^i(G_T, U^{n+1} \setminus U^n)$ 

and the fact that the boundary maps  $\delta$  are algebraic. (It is non-linear in general.)

So the underlying archimedean input is of Hermite-Minkowski type, leading to finite-dimensionality of the  $H^i(G_T, U^{n+1} \setminus U^n)$ . 7. The map

$$\kappa^{na} = \{\kappa_n\} : X(\mathbb{Z}_S) \longrightarrow H^1_f(G, U)$$

is defined by associating to a point x the principal U-bundle

$$P(x) = \pi_1^{u,\mathbb{Q}_p}(\bar{X}; b, x) := \operatorname{Isom}^{\otimes}(F_b, F_x)$$

of tensor-compatible isomorphisms from  $F_b$  to  $F_x$ , that is, the  $\mathbb{Q}_p$ -pro-unipotent étale paths from b to x.

For n = 1,

$$\kappa_1: X(\mathbb{Z}_S) \to H^1_f(G, U_1) = H^1_f(G, T_p J \otimes \mathbb{Q}_p)$$

reduces to the map from Kummer theory. But the map  $\kappa_n$  for  $n \geq 2$  does not factor through the Jacobian. Hence, suggests the possibility of separating the structure of  $X(\mathbb{Z}_S)$  from that of  $J(\mathbb{Z}_S)$ .

8. If one restricts U to the étale site of  $\mathbb{Q}_p$ , there are local analogues

$$\kappa_p^{na}: X(\mathbb{Z}_p) \to H^1_f(G_p, U_n)$$

that can be explicitly described using non-abelian p-adic Hodge theory. More precisely, there is a compatible family of isomorphisms

$$D: H^1_f(G_p, U_n) \simeq U_n^{DR} / F^0$$

to homogeneous spaces for quotients of the *De Rham fundamental* group

$$U^{DR} = \pi_1^{DR}(X \otimes \mathbb{Q}_p, b)$$

of  $X \otimes \mathbb{Q}_p$ .

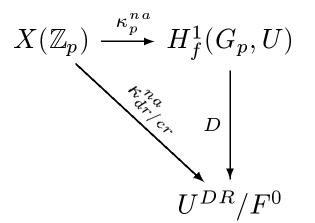
 $U^{DR}$  classifies unipotent vector bundles with flat connections on  $X \otimes \mathbb{Q}_p$ , and  $U^{DR}/F^0$  classifies principal bundles for  $U^{DR}$  with compatible Hodge filtrations and crystalline structures.

Given a crystalline principal bundle  $P = \operatorname{Spec}(\mathcal{P})$  for U,

$$D(P) = \operatorname{Spec}([\mathcal{P} \otimes B_{cr}]^{G_p}),$$

where  $B_{cr}$  is Fontaine's ring of *p*-adic periods. This is a principal  $U^{DR}$  bundle.

The two constructions fit into a diagram



whose commutativity reduces to the assertion that

$$\pi_1^{DR}(X \otimes; b, x) \otimes B_{cr} \simeq \pi_1^{u, \mathbb{Q}_p}(\bar{X}; b, x) \otimes B_{cr}$$

9. The map

$$\kappa^{na}_{dr/cr}: X(\mathbb{Z}_p) \rightarrow U^{DR}/F^0$$

is described using p-adic iterated integrals

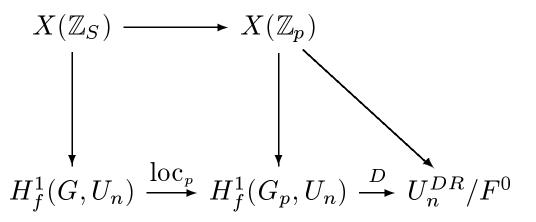
$$\int \alpha_1 \alpha_2 \cdots \alpha_n$$

of differential forms on X, and has a highly transcendental natural: For any residue disk  $]y[\subset X(\mathbb{Z}_p),$ 

$$\kappa^{na}_{dr/cr,n}(]y[) \subset U^{DR}_n/F^0$$

is Zariski dense for each n and its coordinates can be described as convergent power series on the disk.

10. The local and global constructions fit into a family of commutative diagrams



where the bottom horizontal maps are algebraic, while the vertical maps are transcendental. Thus, the difficult inclusion  $X(\mathbb{Z}_S) \subset X(\mathbb{Z}_p)$  has been replaced by the algebraic map  $\operatorname{loc}_p$ .

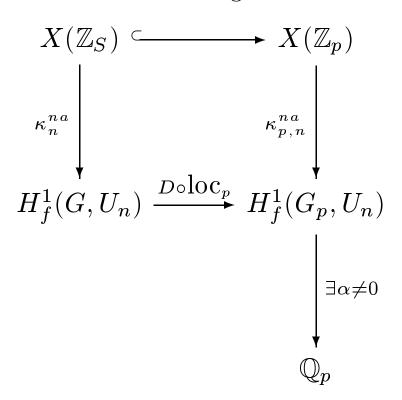
### **II.** Diophantine Finiteness

Theorem 1 Suppose

 $loc_p(H^1_f(G, U_n)) \subset H^1_f(G_p, U_n)$ 

is not Zariski dense for some n. Then  $X(\mathbb{Z}_S)$  is finite.

Theorem is a crude application of the methodology. Eventually would like refined descriptions of the image of the global Selmer variety, and hence, of  $X(\mathbb{Z}_S) \subset X(\mathbb{Z}_p)$  by extending the method of Chabauty and Coleman and the work of Coates-Wiles, Kolyvagin, Rubin, Kato on the conjecture of Birch and Swinnerton-Dyer. Idea of proof: There is a non-zero algebraic function  $\alpha$ 



vanishing on  $\text{loc}_p[H_f^1(G, U_n)]$ . Hence,  $\alpha \circ \kappa_{p,n}^{na}$  vanishes on  $X(\mathbb{Z}_S)$ . But using the comparison with the De Rham realization, we see that this function is a non-vanishing convergent power series on each residue disk.  $\Box$  -Hypothesis of the theorem expected to always hold for nsufficiently large, but difficult to prove. For example, Bloch-Kato conjecture on surjectivity of p-adic Chern class map, or Fontaine-Mazur conjecture on representations of geometric origin all imply the hypothesis for n >> 0.

That is, Grothendieck expected

Non-abelian 'finiteness of III' (= section conjecture)  $\Rightarrow$  finiteness of  $X(\mathbb{Z}_S)$ .

Instead we have:

'Higher abelian finiteness of III'  $\Rightarrow$  finiteness of  $X(\mathbb{Z}_S)$ .

Can prove the hypothesis in cases where the image of G inside  $\operatorname{Aut}(H_1(\bar{X}, \mathbb{Z}_p))$  is essentially abelian. That is, when

-X has genus zero;

 $-X = E \setminus \{e\}$  where E is an elliptic curve with complex multiplication;

-(with John Coates) X compact of genus  $\geq 2$  and  $J_X$  factors into abelian varieties with complex multiplication. For example, X might be

$$ax^n + by^n = cz^n,$$

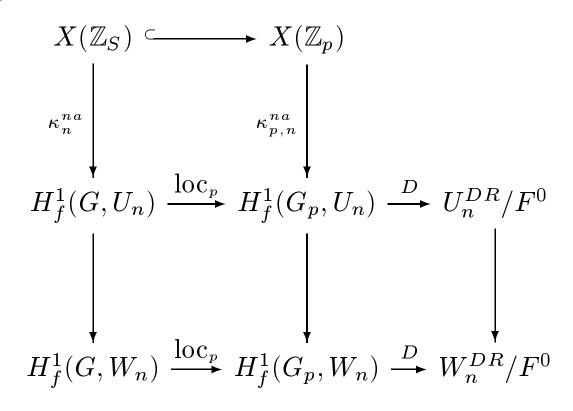
for  $n \geq 4$ .

In the CM cases, need to choose p to split inside the CM fields.

Idea: Construct a quotient

$$U {\rightarrow} W {\rightarrow} 0$$

and a diagram



such that

$$\dim H^1_f(G, W_n) < \dim W^{DR}_n / F^0$$

for n >> 0.

When  $J_X$  has CM, can construct a 'polylogarithmic quotient'<sup>a</sup>

W = U/[[U, U], [U, U]]

such that all CM characters

$$\chi_{i_1}\chi_{i_2}\cdots\chi_{i_n}$$

appearing in  $W^n/W^{n+1}$  have multiplicity one.

<sup>a</sup>The terminology is adopted by analogy with the quotient of the fundamental group of  $\mathbf{P}^1 \setminus \{0, 1, \infty\}$  that provides the natural setting for the theory of polylogarithms according to Beilinson and Deligne. Elementary Iwasawa theory can be used to show that

$$H^2(G_T, \chi_{i_1}\chi_{i_2}\cdots\chi_{i_n}) = 0$$

rather generically, allowing us to control

$$\dim H_f^1(G, W_n) \le \sum_{i=1}^n \dim H_f^1(G, W^i/W^{i+1}).$$
$$\le \sum_{i=1}^n \dim H^1(G_T, W^i/W^{i+1})$$

and show that it grows more slowly than

$$\dim W_n^{DR}/F^0.$$

## III. Preliminary remarks on non-abelian duality

As far as the arithmetic of curves is concerned one major goal of the theory is to give an explicit description of

 $X(\mathbb{Z}_S) \subset X(\mathbb{Z}_p).$ 

This should come from a non-abelian local-global duality together with a non-abelian explicit reciprocity law.<sup>a</sup> Wish to provide a hint of this idea using a very special situation:

$$X = E \setminus \{e\},\$$

where  $E_{\mathbb{Q}}$  is an elliptic curve such that

$$L(E_{\mathbb{Q}}, 1) = 0, \quad L'(E_{\mathbb{Q}}, 1) \neq 0.$$

Here, we will assume that E is in fact a minimal  $\mathbb{Z}$ -model of  $E_{\mathbb{Q}}$ and  $X = E \setminus \{e\}$ .

<sup>a</sup>Of course both notions are highly speculative at present.

Choose S so that  $E_S := E \times_{\operatorname{Spec}(\mathbb{Z})} \operatorname{Spec}(\mathbb{Z}_S)$  is smooth. Our assumptions imply that

 $\operatorname{III}(E)$ 

is finite and

$$\operatorname{rank} E(\mathbb{Z}) = \operatorname{rank} E(\mathbb{Z}_S) = \operatorname{rank} E(\mathbb{Q}) = 1,$$

but still difficult to analyze the inclusion

 $X(\mathbb{Z}) \subset E(\mathbb{Z}).$ 

Some archimedean progress using Diophantine approximation supplemented by LLL-algorithm. Here, we focus on non-archimedean techniques and the inclusion

$$X(\mathbb{Z}) \subset X(\mathbb{Z}_p).$$

Pick a tangential base-point  $b \in T_e E$  and only use  $U_2$ , identified with its Lie algebra  $L_2$ .  $L_2$  has a natural graded structure

$$L_2 = L[1] \oplus L[2]$$

such that  $L[1] \simeq L_1 \simeq V(E)$  and  $L[2] \simeq \mathbb{Q}_p(1)$  is the one-dimensional center of  $L_2$ . The group law on  $U_2$  can be thought of as a twisted operation on  $L_2$  given by<sup>a</sup>

$$(l_1 + l_2) * (l'_1 + l'_2) = l_1 + l'_1 + l_2 + l'_2 + (1/2)[l_1, l'_1].$$

The grading is compatible with the Galois action (in fact, with the motivic structure):

$$g(l_1 + l_2) = g(l_1) + g(l_2).$$

<sup>a</sup>This kind of structure is often referred to as a *Heisenberg group*.

If a is a cochain on  $G_T$  or  $G_p$  with values in  $U_2$ , then we can write

$$a = a_1 + a_2$$

with  $a_1$  taking values in L[1] and  $a_2$  taking values in L[2]. The cocycle condition for the group law is written in terms of these components as

$$da_1 = 0$$
$$da_2 = -(1/2)a_1 \cup a_1,$$

where the cup product of two cochains  $\xi$  and  $\eta$  is defined by

$$\xi \cup \eta(g,h) = [\xi(g),g\eta(h)].$$

We will construct a function on  $H^1_f(G_p, U_2)$  using secondary cohomological operations.

Let  $c: G_T \to \mathbb{Q}_p$  be the log of the *p*-adic cyclotomic character and  $c^p = c | G_p$ . Given a cocycle  $\xi = \xi_1 + \xi_2 : G_p \to U_2$ , the function is, in essence,

 $\xi \mapsto (c, \xi_1, \xi_1),$ 

where the bracket refers to a *Massey triple product*, taking values in

$$H^2(G_p, L[2]) \simeq \mathbb{Q}_p.$$

This notion comes from rational homotopy theory, and is usually defined for cohomology classes of an associative differential graded algebra A.

If

$$[a] \in H^1(A), \quad [b] \in H^1(A), \quad [c] \in H^1(A)$$

are classes with the property that

$$[a][b] = 0, \quad [b][c] = 0,$$

then we can solve the equations

$$dx = ab, \quad dy = bc.$$

We see then that

xc + ay

is a cocycle, defining a class in  $H^2(A)$ . Note that the class depends on the choice of x and y, a *defining system*. Well-defined class lives only inside

 $H^{2}(A)/[aH^{1}(A) + H^{1}(A)c].$ 

In our situation, the complex of cochains on  $G_p$  with values in

 $\mathbb{Q}_p \oplus L(1) \oplus L(2)$ 

forms an associative differential graded algebra. Given a cocycle

$$\xi = \xi_1 + \xi_2 : G_p \to U_2,$$

we have

$$[c^p] \cup [\xi_1] = 0,$$

since  $H^2(G_p, L(1)) = 0$ . Also,

$$[\xi_1] \cup [\xi_1] = 0,$$

since  $d(-2\xi_2) = \xi_1 \cup \xi_1$ .

Therefore, we can form the Massey triple product

 $(c^{p},\xi_{1},\xi_{1}) \in H^{2}(G_{p},L[2])/[c^{p} \cup H^{1}(G_{p},L[1])) + \xi_{1} \cup H^{1}(G_{p},L[1]].$ 

Unfortunately, zero.

But note that this naive Massey product does not use the full data of  $\xi$  or the strength of our assumptions. Firstly, part of a defining system for the Massey product is encoded in  $\xi$ :

$$d(-2\xi_2) = \xi_1 \cup \xi_1.$$

Secondly, if  $[\xi] \in H^1_f(G_p, U_2)$ , then  $[\xi_1] \in H^1_f(G_p, L[1])$  is in the image of the localization map

 $H_f^1(G, L[1]) \simeq H_f^1(G_p, L[1]).$ 

Hence, the equation

$$dx = c \cup \xi_1$$

makes sense globally.

Key point:

Using Using our restrictive hypotheses, there is a global solution

 $x^{glob}: G_T \rightarrow L[1]$ 

to the equation

 $dx = c \cup \xi_1.$ 

Uses the finiteness of III and a generator for  $E(\mathbb{Z})$ .

Proposition 2 The class

$$\psi_p(\xi) := [loc_p(x^{glob}) \cup \xi_1 + c^p \cup (-2\xi_2)] \in H^2(G_p, L[2])$$

is independent of all choices.

Thus,

$$\psi_p: H^1_f(G_p, U_2) \to \mathbb{Q}_p$$

is a well-defined algebraic function on the local Selmer variety. Remark: The map

$$\mathbb{Z}_p^* \otimes \mathbb{Q}_p \simeq H^1_f(G_p, L[2]) \hookrightarrow H^1_f(G_p, U_2) \xrightarrow{\psi_p} \mathbb{Q}_p$$

is the log map, and hence,  $\psi_p$  is non-zero.

Define ('refined Selmer variety')

 $H^1_{f,\mathbb{Z}}(G,U_2) \subset H^1_f(G,U_2)$ 

to be the intersection of the kernels of

$$\operatorname{loc}_{l}: H_{f}^{1}(G, U_{2}) \rightarrow H_{f}^{1}(G_{l}, U_{2})$$

for all  $l \neq p$ .

In fact, we have a commutative diagram

Joint work with A. Tamagawa.

Theorem 3 (local-global duality) The map

$$H^1_{f,0}(G, U_2) \stackrel{loc_p}{\to} H^1_f(G_p, U_2) \stackrel{\psi_p}{\to} \mathbb{Q}_p$$

is zero.

Proof is straightforward using the standard the exact sequence

 $0 \to H^2(G_T, \mathbb{Q}_p(1)) \hookrightarrow \oplus_{v \in T} H^2(G_v, \mathbb{Q}_p(1)) \to \mathbb{Q}_p \to 0.$ 

# **IV.** Explicit formulas

Choose a Weierstrass equation for E and let

$$\alpha = dx/y, \quad \beta = xdx/y.$$

Define

$$\log_{\alpha}(z) := \int_{b}^{z} \alpha, \quad \log_{\beta}(z) := \int_{b}^{z} \beta,$$
$$D_{2}(z) := \int_{b}^{z} \alpha \beta,$$

via (iterated) Coleman integration.

**Corollary 4** Suppose we have a point  $y \in X(\mathbb{Z})$  of infinite order. Then the set

$$X(\mathbb{Z}) \subset X(\mathbb{Z}_p)$$

lies inside the zero set of the analytic function

 $\log_{\alpha}^{2}(y))(D_{2}(z) - \log_{\alpha}(z)\log_{\beta}(z)) - \log_{\alpha}^{2}(z)(D_{2}(y) - \log_{\alpha}(y)\log_{\beta}(y)).$ 

Actually,

$$\psi_p \circ D^{-1} \circ \kappa_{DR/cr,2}^{na} = Res_e(vdx/y) \times [D_2(z) - \log_\alpha(z) \log_\beta(z) - (\frac{\log_\alpha(z)}{\log_\alpha(y)})^2 (D_2(y) - \log_\alpha(y) \log_\beta(y))],$$
  
where  $dv = xdx/y$ .

Remarks:

-In particular, the function

 $(D_2(z) - \log_{\alpha}(z) \log_{\beta}(z)) / (\log_{\alpha}(z))^2$ 

is constant on the integral points of infinite order.

-Parts of this construction generalize to affine curves X of genus  $g \ge 2$  whose Jacobians have Mordell-Weil rank g.

-Also to compact curves X provided

 $\operatorname{rank} NS(J_X) \ge 2.$ 

 $\rightarrow$  Possibility of computing points on curves of genus 2 with rank 2 Jacobians.